

# 5G NR

## A Network Simulation & Emulation Software

Ву



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## 1 Introduction

NetSim 5G NR library allows for end-to-end simulation of all layers of the protocol stack as well as applications running over the network. The simulation is discrete event and done at a packet level abstraction. This 5G library is architected to connect to the base component of NetSim (and in turn to other components), which provides functionalities such as TCP/IP network stack, Wireless protocols, Routing algorithms, Mobility, Output Metrics, Animation, Traces etc. The 5G library is based on Rel 15 / 3GPP 38.xxx series.

NetSim's protocol source C code shipped along with (standard / pro versions) is modular and customizable to help researchers to design and test their own 5G protocols.

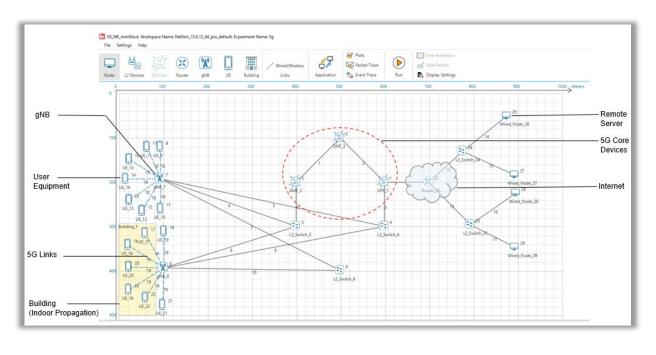




Figure 1-1: NetSim's 5G NR design window, the results dashboard and the plots window

## 2 Simulation GUI

Open NetSim, Go to New Simulation → 5G NR.

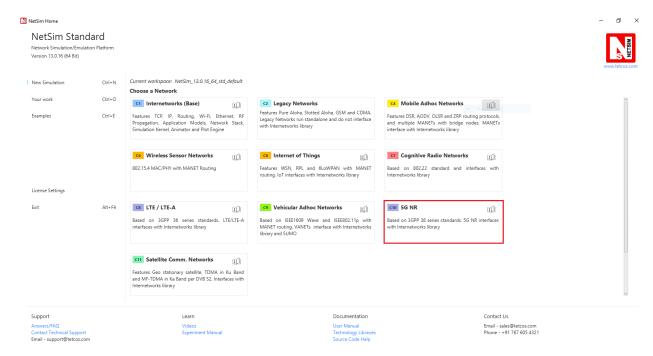


Figure 2-1: NetSim Home Screen

## 2.1 NetSim 5G Network Setup

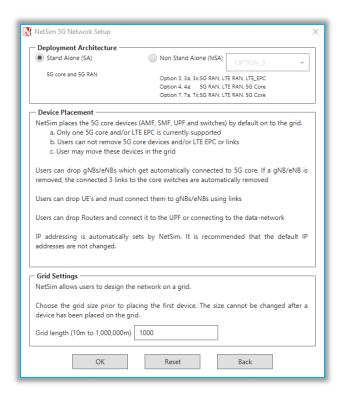


Figure 2-2: NetSim 5G Network Setup window

## 2.1.1 Deployment Architecture

The deployment options have been primarily grouped into 2 categories. Standalone (SA) option where there is only one independent access network (LTE or 5G NR) that is connected to either the EPC or the 5G Core and the Non-Standalone options where both LTE and 5G NR radio access technologies are present, where one of the access networks assist the other in connecting to either an EPC or a 5GC.

### **Stand Alone**

In 5G Stand-alone mode of operation in NetSim, the network can be created using the 5G Core devices which includes a single AMF, SMF and UPF to which the gNB can be connected via Layer 2 Switches. The RAN part consists of gNBs and UEs and the UEs can handle both Uplink and Downlink data transfer to and from the Data Network (DN) via the UPF.

#### **Non-Stand Alone**

In the Non-Stand-alone mode of operation in NetSim, the users can design the network scenario using different deployment options.

The NSA modes in NetSim's 5G module includes:

- 1. Option 3 where only LTE core/ EPC is present and no 5G Core devices are present. Option 3 is categorized into:
  - a. Option 3: Only eNB connects to EPC and eNB and gNB connects to the XN interface.
  - b. Option 3a: Both eNB and gNB connects to the EPC. No XN interface.
  - **c. Option 3x:** Both eNB and gNB connects to the EPC. eNB and gNB connects to the XN interface.
- 2. Option 4 where only 5G Core devices are present, and EPC is not available. Option 4 is categorized into:
  - **a. Option 4:** Only gNB connects to all the 5G Core interfaces. eNB connects to the XN interface.
  - **b. Option 4a:** gNB connects to all 5G Core interfaces and eNB connects to AMF and UPF through respective interfaces.
- 3. Option 7 where only 5G Core devices are present, and EPC is not available. Option 7 is categorized into:
  - **a. Option 7:** eNB connects to all 5G Core interfaces. gNB connects only to the XN interface.
  - **b. Option 7a:** gNB connects to all the 5G Core interfaces. eNB connects to AMF and UPF through the respective interfaces.
  - **c. Option 7x:** gNB and eNB connects to all the 5G Core interfaces.

### 2.1.2 Device Placement

NetSim places the 5G core devices (AMF, SMF, UPF and Switches) / LTE EPC by default on to the grid.

- a. Only one 5G Core and/or LTE EPC is currently supported.
- b. Users cannot remove 5G Core devices and/or LTE EPC or links.
- c. User may move these devices in the grid.
  - Users can drop gNBs/eNBs which get automatically connected to 5G Core. If a gNB/eNB is removed, the connected links to the core switches are automatically removed.
  - Users can drop UEs and must connect them to gNBs/eNBs using links.
  - Users can drop Routers and connect them to the UPF/EPC for connecting to the datanetwork (DN).
  - IP addressing is automatically set by NetSim. It is recommended not to change the default IP addresses.

## 2.1.3 NSA Deployment Device Connectivity

The device connectivity rules are explained below. Example screen shots are available in <u>5G- Non-Stand-alone (NSA)</u>

## 2.1.3.1 Option 3 / 3a / 3x

- UE should be mandatorily be connected to the master node (MN) first. In option 3, the MN is eNB
- UE should be mandatorily be connected to the secondary node (SN) next. In option 3, the SN is the gNB
- UE cannot be connected to any other device.
- The data (external) network connects to the EPC. This is achieved by first connecting a router (let's call it R1) to the EPC.
- Switches, nodes, APs and other routers can now be connected to R1
- Connectivity rules for the devices within the data network is per the Internetwork library document.

### 2.1.3.2 Option 4 / 4a

- UE should be mandatorily be connected to the master node (MN) first. In option 4, the MN is gNB
- UE should be mandatorily be connected to the secondary node (SN) next. In option 4, the SN is the eNB
- UE cannot be connected to any other device.

- The data (external) network connects to the 5G core through the UPF. This is achieved by first connecting a router (let's call it R1) to the EPC.
- Switches, nodes, APs and other routers can now be connected to R1
- Connectivity rules for the devices within the data network is per the Internetwork library document.

## 2.1.3.3 Option 7 / 7 / 7x

- UE should be mandatorily be connected to the master node (MN) first. In option 7, the MN is eNB
- UE should be mandatorily be connected to the secondary node (SN) next. In option 7, the SN is the gNB
- UE cannot be connected to any other device.
- The data (external) network connects to the 5G core through the UPF. This is achieved by first connecting a router (let's call it R1) to the EPC.
- Switches, nodes, APs and other routers can now be connected to R1.
- Connectivity rules for the devices within the data network is per the Internetwork library document.

## 2.1.4 Grid Settings

- NetSim allows users to design the network on a grid.
- Users can choose the grid size prior to placement of the first device. The grid size cannot be changed after a device has been placed on the grid.
- The grid length can be in the range of 10m to 1,000,000m.

## 2.2 Create Scenario

5G NR comes with a palette of various devices like Wired & Wireless Nodes, L2 Switch & Access Point, AMF (Access and Mobility Management Function), UPF (User Plane Function), SMF (Session Management Function) & Router, gNB (Equivalent of eNB in LTE), UE (User Equipment), and Building.

## 2.3 Devices Specific to NetSim 5G NR Library

- **UE (mmWave UE)** User Equipment. It has a single LTE NR interface with an infinite buffer.
- gNB (mmWave eNB) Equivalent of eNB in Ite. It has a 5G RAN interface for wireless connectivity to UEs, 5G\_N3 interface for wired connectivity to UPF through L2\_Switch, 5G\_N1\_N2 interface for wired connectivity to AMF though L2\_Switch, and 5G\_XN interface for wired connectivity between the gNB's though L2\_Switch. gNB has an infinite buffer.

- **UPF** (**User Plane Function**) User Plane Function has 5G\_N4 interface for wired connectivity to SMF, 5G\_N3 interface for wired connectivity to gNB though L2\_Switch, and 5G\_N6 interface for wired connectivity to Routers in NG core which in turn can connect to Switches, APs, Servers etc.
- **SMF** (Session Management Function) Session Management Function has 5G\_N11 interface for wired connectivity to AMF and 5G\_N4 interface for wired connectivity to UPF.
- AMF (Access and Mobility Management Function) Access and Mobility Management
  Function has 5G\_N11 interface for wired connectivity to SMF and 5G\_N1\_N2 interface for
  wired connectivity to gNB's through L2 Switch.
- Building Users can place gNBs, UEs inside buildings to simulate indoor RF propagation effects.



Figure 2-3: 5G NR Device Palette in GUI

## 2.3.1 Devices: Click and drop into environment

- a. AMF, UPF, and SMF:
  - Exactly one set of these devices are automatically placed by NetSim into the environment and connected appropriately to switches.
  - These devices are part of the 5G core.
  - These devices which are placed onto the environment cannot be deleted by the user.
- b. Add a gNB:
  - Click the gNB icon on the toolbar and place the gNB in the grid it will automatically connect to the L2 Switches connected to the AMF and UPF.
  - gNBs can also be placed inside the building based on the network scenario created.
  - Every gNB should be connected to at least one UE.
- c. Add a User Equipment (UE):
  - Click the UE icon on the toolbar and place the UE in the grid.
  - UE's can also be placed inside the building based on the network scenario created. The UE's are always assumed to be connected to one gNB.
  - A UE can never be connected to more than one gNB, and neither can it be out-of-range of all gNBs.
- d. Add a Router: Click on **Router** and drop it onto the environment. At least one Router should be connected to a **UPF.** A router is not a mandatory requirement.

- e. Add a L2 Switch or Access Point: Click the L2 Devices > L2\_Switch icon or L2 Devices > Access\_Point icon on the toolbar and place the device in the grid.
- f. Add a Wired Node and Wireless Node: Click the Node > Wired\_Node icon or Node > Wireless\_Node icon on the toolbar and place the device in the grid.
- g. Add a Building: Click the **Building** icon on the toolbar and place the building in the grid.
  - Buildings will have an impact on RF propagation losses if Pathloss\_Shadowfading\_O2I is selected
  - A building occupies a minimum 1 cell on the grid and a maximum size equal to the complete grid. The default size is 10 cells \* 10 cells.
  - An empty space of 10 cells \* 10 cells within the grid is required to place a building.
  - Two buildings cannot be overlap one another.
  - The resizing corners of a building includes South and East edges and South-East corner.
  - The maximum number of buildings supported in NetSim is ten (10)
- h. Connect the devices in 5G NR network by using Wired/Wireless Links present in the top ribbon/toolbar. While connecting gNB and UE, the following connections are allowed:
  - Outdoor gNB to Outdoor UE.
  - Outdoor gNB to Indoor UE.
  - Indoor gNB to Indoor UE.
  - Connecting Indoor gNB to Outdoor UE is not allowed in NetSim.

Based on gNBs/UEs placed inside or outside of the buildings NetSim automatically determines the indoor/outdoor propagation.

- i. Configure an application as follows:
  - Click the application icon on the top ribbon/toolbar.
  - Specify the source and destination devices in the network.
  - Specify other parameters as per the user requirement.
- j. Set the properties of UPF, AMF, SMF, gNB, UE, and other devices as follows:
  - Right-click an UPF, AMF, and SMF click Properties and modify the interface and layer-wise properties to your requirement.
  - Right-click a gNB or UE, click Properties and specify the parameters.
    - The TX\_Power\_per\_layer (dBm) parameter (Interface 5G\_RAN Physical\_Layer) is local and if you change this parameter in gNB or UE, manually update the parameter for the other devices.
    - The PDCP\_Header\_Compression, PDCP\_Discard\_Delay\_Timer, and PDCP\_Out\_of \_Order\_Delivery parameters (Interface 5G\_RAN - DataLink\_Layer) are local and if you change any of these parameters in gNB or UE, manually update the parameter for the other devices.

- Right-click an Access\_Point, L2\_Switch, Wireless\_Node or Wired\_Node and specify the parameters.
  - The Interface\_Wireless Physical Layer and Interface\_Wireless DataLink Layer parameters are local and if you change any of these parameters in Access\_Point or Wireless\_Node, manually update the parameter for the other devices.

## 2.4 GUI Parameters in 5G NR

The 5G NR parameters can be accessed by right clicking on a gNB or UE and selecting Interface Wireless (5G RAN) Properties → Datalink and Physical Layers.

	gNB Properties						
	Interface (5G RAN) – Datalink Layer						
Parameter	Туре	Range	Description				
	Local	Round Robin	The scheduler serves equal portion to each queue in circular order, handling all processes without priority.				
Scheduling	Local	Proportional Fair	Schedules in proportional to the CQI of the UEs				
Туре	Local	Max Throughput	Schedules to maximize the total throughput of the network by giving scheduling priority accordingly				
	Local	Fair Scheduling	Fair scheduling is a method of assigning resources to job such that all jobs get, on average, an equal share of resources over time.				
UE Measurement Report Interval	Local	120 ms - 40960 ms	It is a time interval between UE Measurement Report				
PDCP Header Compression	Link Global	True / False	Header compression of IP data flows using the ROHC protocol, Compresses all the static and dynamic fields.				
PDCP Discard Delay Timer	Link Global	50/150/300/500/750/ 1500	The discard Timer expires for a PDCP SDU, or the successful delivery of a PDCP SDU is confirmed by PDCP status report, the				

			transmitting PDCP entity shall discard the PDCP SDU along with the corresponding PDCP Data PDU.
PDCP Out of Order Delivery	Link Global	True / False	Complete PDCP PDUs can be delivered out-of-order from RLC to PDCP. RLC delivers PDCP PDUs to PDCP after the PDU reassembling.
PDCP T Reordering Timer	Link Global	0-500ms	This timer is used by the receiving side of an AM RLC entity and receiving AM RLC entity in order to detect loss of RLC PDUs at lower layer.
RLC T Status Prohibit	Link Global	0-2400ms	This timer is used by the receiving side of an AM RLC entity in order to prohibit transmission of a STATUS PDU.
RLC T Reassembly	Link Global	0-200ms	This timer is used by the receiving side of an AM RLC entity and receiving UM RLC entity in order to detect loss of RLC PDUs at lower layer. If t-Reassembly is running, t-Reassembly shall not be started additionally, i.e. only one t-Reassembly per RLC entity is running at a given time.
RLC T Poll Retransmit	Link Global	5-4000ms	This is used by the transmitting side of an AM RLC entity in order to retransmit a poll.
RLC Poll Byte	Link Global	1kB-40mB	This parameter is used by the transmitting side of each AM RLC entity to trigger a poll for every pollByte bytes.
RLC Poll PDU	Link Global	p4-p65536 (in multiples of 8)	This parameter is used by the transmitting side of each AM RLC entity to trigger a poll for every pollPDU PDUs.

	Link Global		This parameter is used by the
RLC Max Retx		t1, t2, t3, t4, t6, t8, t16,	transmitting side of each AM RLC
Threshold		t32	entity to limit the number of
			retransmissions of an AMD PDU.

Note: For detailed information on RLC, please refer RLC (Based on specification 38.322)

Interface (5G_RAN) – Physical Layer					
Parameter	Туре	Range	Description		
Frame Duration (ms)	Fixed	10ms	Length of the frame.		
Sub Frame Duration (ms)	Fixed	1ms	Length of the Sub-frame.		
Subcarrier Number Per PRB	Fixed	12	NR defines physical resource block (PRB) where the number of subcarriers per PRB is the same for all numerologies.		
gNB Height	Local	10-150m	It is the height of the gNB.		
TX Power (dBM)	Local	-40dBM to 50dBM	It is the signal intensity of the transmitter. The higher the power radiated by the transmitter's antenna the greater the reliability of the communications system.		
CA_Type	Local	INTER_BAND_CA INTRA_BAND_CO NTIGUOUS_CA INTRA_BAND_NO NCONTIGUOUS_ CA SINGLE_BAND	Carrier Aggregation (CA) is used in LTE/5G in order to increase the bandwidth, and thereby increase the bitrate. CA options are intra-band (contiguous and non-contiguous) and inter-band		
CA_Configuration	Local	Depends on CA Type	Drop down provides the various bands available for the selected CA type (Eg: n78, n258, n261 etc)		

CA_Count	Fixed	Depends on CA Type	Single or multiple carriers depending on the CA_Type chosen
Duplex Mode  Note: For detail	Local	TDD, FDD  on to Frequency Rar	In TDD, the upstream and downstream transmissions occur at different times and share the same channel.  In FDD, there are different frequency bands used uplink and downlink, The UL and DL transmission an occur simultaneously  nge (FR1 & FR2), Please, refer
		PHY Layer	,, ,
Slot Type	Local	Mixed, Downlink, Uplink,	Mixed supports DL and UI traffic  Downlink supports only DL traffic  Uplink supports only UL traffic
Frequency Range	Local	FR1 & FR2	Frequency band for 5G NR is separated into two frequency ranges. First, is Frequency Range 1 (FR1) that includes sub-6 GHz and Frequency Range 2 (FR2) that includes frequency bands in the mmWave range.
DL/UL Ratio	Local	a:b	Represents the ratio in which slots are assigned to downlink and uplink transmissions. The value is in the form of a:b::DL:UL. Note that the ratio 1:0 or 0:1 might lead to NIL data transmissions since the initial attachment procedures require both UL and DL control packet transmissions.
Operating Band	Fixed	n34, n38, n39, n40, n41, n50, n51, n77, n78, n79, n257,	The 5G-NR operates in different operating bands corresponding to

		n258, n259, n260, n261	Frequency Ranges FR1 and FR2 respectively.
F_Low (MHz)	Fixed	2010-4400 MHz	Lowest frequency of the Uplink/Downlink operating band.
F_High (MHz)	Fixed	2025-5000 MHz	Highest frequency of the Uplink/Downlink operating band.
Numerology	Local	μ = 0, 1, 2, 3	It is the numerology value which represents the subcarrier spacing.
Channel Bandwidth (MHz)	Local	5-400 MHz	The frequency range that constitutes the channel.
PRB Count	Local	11-264	PRB stands for physical resource block. The PRB count is determined automatically by NetSim as per the other inputs and cannot be edited in the GUI.
Guard Band (KHz)	Local	242.5-9860 KHz	Guard band is the unused part of the radio spectrum between radio bands, for the purpose of preventing interference.
Subcarrier Spacing	Local	15-120 KHz	Subcarriers are mapped on the subset/superset of the PRB grid for subcarrier spacing of 15kHz in a nested manner in the frequency domain. The value for Subcarrier Spacing is set to 15 kHz.
Bandwidth PRB	Local	180-1440 KHz	Physical Resource Block Bandwidth is a range of frequencies occupied by the radio communication signal to carry most of PRB energy.
Slot per Frame	Local	10, 20, 40, 80	Slot within a frame is depending on the slot configuration.

Slot per Subframe	Local	1, 2, 4, 8	Slot within a Subframe is depending on the slot configuration.
Slot Duration (ms)	Local	125, 250, 500, 1000 ms	Slot duration gets different depending on numerology. The general tendency is that slot duration gets shorter as subcarrier spacing gets wider.
Cyclic Prefix	Local	Normal, Extended	Cyclic prefix is used to reduce ISI (Inter Symbol Interference), If you completely turn off the signal during the gap, it would cause issues for an amplifier. To reduce this issue, we copy a part of a signal from the end and paste it into this gap. This copied portion prepended at the beginning is called 'Cyclic Prefix'.
Symbol per Slot	Local	12, 14	The number of OFDM symbols within a slot is 14 for all slot configurations.
Symbol Duration (ms)	Local	71.43, 35.71, 17.86, 8.93, 20.83	Symbol duration is depending on the subcarrier spacing.
ANTENNA			
TX_Antenna_Count	Local	64, 128 in gNB (1. 2. 4. 8. 16 in	The number of transmit antennas.  Power is split equally among the transmit antennas.
RX_Antenna_Count	Local	1, 2, 4, 8, 16, 32, 64, 128 in gNB (1, 2, 4, 8, 16 in UE)	The number of receive antennas
PDSCH CONFIG			
MCS Table	Local	QAM64,	MCS (Modulation Coding Scheme) is related to Modulation Order.

X Overhead  PUSCH CONFIG	Local	XOH0, XOH6, XOH12, XOH18	Accounts for overhead from CSI-RS, CORESET, etc. If the xOverhead in PDSCH-ServingCellconfig is not configured (a value from 0, 6, 12, or 18), N_oh^PRB the is set to 0.
MCS Table	Local	QAM64, QAM256	MCS (Modulation Coding Scheme) is related to Modulation Order.
Transform Precoding	Local	Enable/Disable	Transform Precoding is the first step to create DFT-s-OFDM waveform.  Transform Precoding is to spread UL data in a special way to reduce PAPR (Peak-to-Average Power Ratio) of the waveform. In terms of mathematics, Transform Precoding is just a form of DFT (Digital Fourier Transform).
CSIREPORT CONFIG			
CQI Table	Local	Table1, Table2, Table3	The CQI indices and their interpretations are chosen from Table 1 or Table 3 for reporting CQI based on QPSK, 16QAM, and 64QAM. The CQI indices and their interpretations are chosen from Table 2 for reporting CQI based on QPSK, 16QAM, 64QAM and 256QAM.
CHANNEL MODEL			
Pathloss Model	Local	3GPPTR38.901- 7.4.1 NONE	None represents an ideal channel with no pathloss.  TR 38.901_Standard Table 7.4.2-1 means pathloss will be calculated per the formulas in this standard
Outdoor Scenario	Local	Rural Macro (RMa)	For RMa, we need to specify the Building Height and Street Width.  Buildings can be used in the scenario.  UEs can be inside/outside buildings

	Local	Urban Macro (UMa) Urban Micro (UMi)	but gNBs can only be outside buildings.  Buildings can be used in the scenario.  UEs can be inside/outside buildings but gNBs can only be outside buildings.  Buildings can be used in the scenario.  UEs can be inside/outside buildings but gNBs can only be outside buildings.
Building Height	Local	5-50m	It is the height of the building in meters. The building-height parameter shown in gNB, eNB Physical layer is for the Rural Macro environment.  However, the properties won't be available when users choose other environments like Urban Macro or Urban Micro.  Note: This Building-height parameter is an input parameter to the stochastic pathloss model and is not related to the "building" that can dragged and dropped into the environment.  Buildings in the GUI are present to differentiate between indoor and outdoor propagation. They do not have height as a parameter.
Street Width	Local	5-50m	It is the width of the street in meters.
Indoor Scenario	Fixed	Indoor Office	Automatically chosen by NetSim in case the UE is within an indoor building.  The Indoor Office parameter will be automatically chosen by NetSim depending on the scenario (whether the device is inside the building or outside).

			In the case of LTE, this parameter will be automatically chosen as Outdoor since buildings are not present.		
Indoor Office Type	Local	Mixed-Office Open-Office	The pathloss will be per the chosen option when the UE is within a building		
LOS_NLOS Selection	Fixed	3GPPTR38.901- Table 7.4.2-1 USER_DEFINED	This choice determines how NetSim decides if the gNB-UE communication is Line-of-sight or Non-Line-of-Sight. In case of USE_DEFINED the LOS probability is user defined. Else it is standards defined.		
LOS Probability	Local	0 to 1	If LOS Probability =1, the LOS mode is set to Line-of-Sight and if the LOS Probability =0, the LOS mode as set to Non-Line-of-Sight. For a value in between the LOS is determined probabilistically.  By default, value is set to 1.		
Shadow Fading Model	Local	NONE LOG_NORMAL	Select NONE to Disable Shadowing Select LOG_NORMAL to Enable Shadowing Model, and the Std dev would be per 3GPP TR38.901-Table 7.4.1-1		
Fading and Beamforming	Local	NO_FADING, RAYLEIGH_WIT H_EIGEN_BEAM FORMING	See section 3.9.3		
O2I_Building_Penetr ation_Model	Local	None, Low Loss Model, High Loss Model,	The composition of low and high loss is a simulation parameter that should be determined by the user of the channel models and is dependent on the buildings and the deployment scenarios.  None to disable O2I Loss.  Low-loss model is applicable to RMa.  High-loss model is applicable to UMa and UMi.		

			Additional Loss model can be set to		
Additional L	.oss	NONE,	None or MATLAB, if set to MATLAB		
Model	Local	MATLAB	then MATLAB will be automatically		
			called by NetSim during execution.		

Propagation Model: Refer mmWave Propagation Models (Per 3GPPTR38.900 Channel Model) for technical information.

UE Properties								
Interface (5G_RAN) – Physical Layer								
Parameter	Туре	Range	Description					
UE Height (m)	Local	1-22.5	It is the height of the UE in meters					
TX Power (dBM)	Local	-40dBM to 50dBM	It is the signal intensity of the transmitter.  The higher the power radiated by the transmitter's antenna the greater the reliability of the communications system.					
ANTENNA								
TX_Antenna_Count	Local	1,2,4,8,16	Number of transmit antennas. NetSim uses this parameter in MIMO operations.					
RX_Antenna_Count	Local	1,2,4,8,16	Number of receive antennas. NetSim uses this parameter in MIMO operations.					
Beamforming Gain (dB)	Local	0dB to 100dB	The antenna gain provided by signal processing techniques for directional transmissions					

## 3 Model Features

## 3.1 5G NR Stack

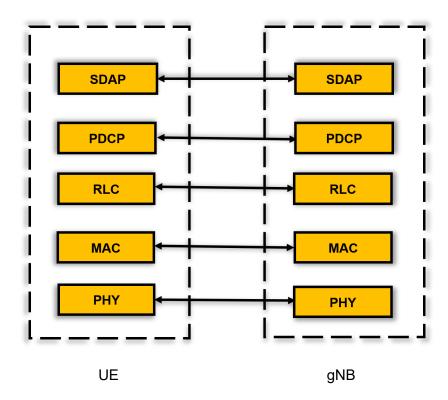


Figure 3-1: User Plane Protocol Stack

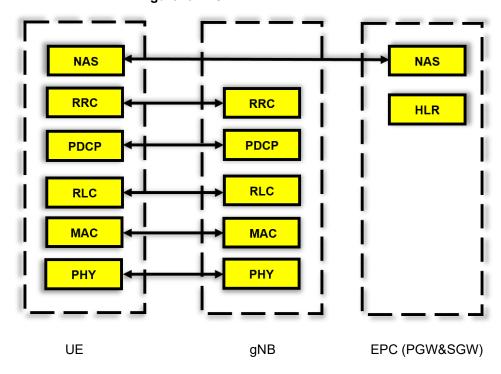
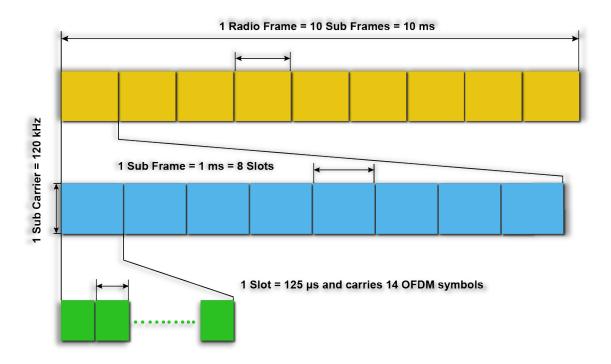


Figure 3-2: Control Plane Protocol Stack

## 3.2 The 5G Frame Structure

5G NR supports a flexible OFDM numerology to support diverse spectrum bands/types and deployment models. The numerology,  $\mu$ , can take values from 0 to 4 and specifies the Sub-Carrier-Spacing (SCS) as  $15 \times 2^{\mu}$  kHz and a slot length of  $\frac{1}{2^{\mu}}$  ms. With  $\mu$  varying from 0 to 4, SCS varies from 15 to 240 kHz. In NetSim, for data channels FR1 supports  $\mu$  = 0, 1, 2 and FR2 supports  $\mu$  = 2, 3. The setting  $\mu$  = 0 corresponds to the LTE (4G) system configuration.

In the time domain (to support backwards compatibility with LTE) the frame length in 5G NR is set to 10 ms, and each frame is composed of 10 subframes of 1 ms each. The 1 ms subframe is then divided into one or more slots in 5G, whereas LTE had exactly two slots in a subframe. The slot size is defined based on  $\mu$ , and the number of slots is  $2^{\mu}$ . The number of OFDM symbols per slot is 14 for a configuration using normal cyclic prefix. For extended cyclic prefix, the number of OFDM symbols per slot is 12. See section 3.9.7.2 - Numerologies, for more information.



**Figure 3-3:** NR Frame Structure when numerology  $\mu$  is set to 3

In the frequency domain, the number of subcarriers per physical resource block (PRB) is fixed to 12, and the Sub-Carrier-Spacing (SCS) is  $15 \times 2^{\mu}$  kHz. The MAC scheduler allocates PRB as PHY resources, for a specific slot, during transmission.

## 3.3 SDAP (Specification: 37.324)

5G-NR User contains PHY, MAC, RLC, and PDCP same as LTE and has introduced a new layer named as SDAP (Service Data Adaptation Protocol). The features in NetSim SDAP are:

- Mapping between a QoS flow and a data radio bearer (DRB) (Due to new QoS framework)
- Marking QoS flow ID (QFI) in both DL and UL packets (DL: due to reflective QoS and UL: due to new QoS framework)

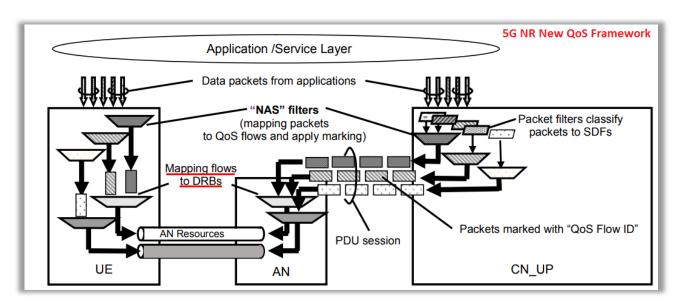


Figure 3-4: 5G Quality Of Services (QoS)

The source code is available in ../LTE\_NR/LTENR\_SDAP.c The main functions in SDAP are static void LTENR\_SDAP\_SETMODE(NETSIM\_ID d, NETSIM\_ID in, NetSim\_PACKET\* packet)
This function maps the QoS Type to RLC mode as follows

```
switch (packet->nQOS)
{
    case QOS_nrtPS:
    case QOS_ertPS:
    case QOS_trtPS:
    case QOS_UGS:
        rlcMode = LTENR_RLCMODE_UM;
        break;
    case QOS_BE:
    default:
        rlcMode = LTENR_RLCMODE_AM;
        break;
}
```

The logical channel is also set in this function

void fn\_NetSim\_LTENR\_SDAP\_HandleMacOut()

Note that LTE NR as of NetSim v12 only supports unicast transmissions (broadcast/multicast is not supported). This function determines where the current device is connected. If it is a UE it finds the associated gNB, else if the current device is a gNB it finds the associated UEs.

The SDAP header is then added which contains the QFI

static void LTENR\_SDAP\_SENDTONETWORK(NETSIM\_ID d, NETSIM\_ID in, NetSim\_PACKET\* packet)

This function is called when a packet is in MAC-IN. The function creates the Network Event, sets all the Event-Details and sends the packet to IP layer.

static void LTENR\_SDAP\_FORWARDTOOTHERINTERFACE(NETSIM\_ID d, NETSIM\_ID in, NetSim\_PACKET\* packet)

If a packet is received in one interface it is forwarded to all other interfaces. This is done in order to send the packet to the next hop.

void fn\_NetSim\_LTENR\_SDAP\_HandleMacIn()

This function decides whether the packet must be sent to another interface or sent to network layer. The header is stripped off.

## 3.3.1 5G QoS characteristics (Specification 23.501)

## 3.3.1.1 **General**

This clause specifies the 5G QoS characteristics associated with 5QI. The characteristics describe the packet forwarding treatment that a QoS Flow receives edge-to-edge between the UE and the UPF in terms of the following performance characteristics:

- 1 Resource Type (GBR, Delay critical GBR or Non-GBR);
- 2 Priority Level.
- 3 Packet Delay Budget.
- 4 Packet Error Rate.
- 5 Averaging windows (for GBR and Delay-critical GBR resource type only).
- 6 Maximum Data Burst Volume (for Delay-critical GBR resource type only).

The 5G QoS characteristics should be understood as guidelines for setting node specific parameters for each QoS Flow e.g., for 3GPP radio access link layer protocol configurations.

Standardized or pre-configured 5G QoS characteristics, are indicated through the 5QI value, and are not signaled on any interface, unless certain 5G QoS characteristics are modified.

**NOTE:** As there are no default values specified, pre-configured 5G QoS characteristics have to include all of the characteristics listed above.

Signaled 5G QoS characteristics are provided as part of the QoS profile and shall include all of the characteristics listed above.

### 3.3.1.2 Resource Type

The Resource Type determines if dedicated network resources related to a QoS Flow-level Guaranteed Flow Bit Rate (GFBR) value are permanently allocated (e.g. by an admission control function in a radio base station).

GBR QoS Flows are therefore typically authorized "on demand" which requires dynamic policy and charging control. A GBR QoS Flow uses either the GBR resource type or the Delay-critical GBR resource type. The definition of PDB and PER are different for GBR and Delay-critical GBR resource types, and the MDBV parameter applies only to the Delay-critical GBR resource type.

A Non-GBR QoS Flow may be pre-authorized through static policy and charging control. A Non-GBR QoS Flow uses only the Non-GBR resource type.

## 3.3.1.3 Priority Level

The Priority Level associated with 5G QoS characteristics indicates a priority in scheduling resources among QoS Flows. The lowest Priority Level value corresponds to the highest priority.

The Priority Level shall be used to differentiate between QoS Flows of the same UE, and it shall also be used to differentiate between QoS Flows from different UEs.

In the case of congestion, when all QoS requirements cannot be fulfilled for one or more QoS Flows, the Priority Level shall be used to select for which QoS Flows the QoS requirements are prioritized such that a QoS Flow with Priority Level value N is prioritized over QoS Flows with higher Priority Level values (i.e. N+1, N+2, etc.). In the case of no congestion, the Priority Level should be used to define the resource distribution between QoS Flows. In addition, the scheduler may prioritize QoS Flows based on other parameters (e.g., resource type, radio condition) in order to optimize application performance and network capacity.

Every standardized 5QI is associated with a default value for the Priority Level -specified in QoS characteristics Table). Priority Level may also be signaled together with a standardized 5QI to the -RAN, and if it is received, it shall be used instead of the default value.

Priority Level may also be signaled together with a pre-configured 5QI to the (R)AN, and if it is received, it shall be used instead of the pre-configured value.

## 3.3.1.4 Packet Delay Budget

The Packet Delay Budget (PDB) defines an upper bound for the time that a packet may be delayed between the UE and the UPF that terminates the N6 interface. For a certain 5QI the value of the PDB is the same in UL and DL. In the case of 3GPP access, the PDB is used to support the configuration of scheduling and link layer functions (e.g., the setting of scheduling priority weights and HARQ target operating points). For GBR QoS Flows using the Delay-critical resource type, a packet delayed more than PDB is counted as lost if the data burst is not exceeding the MDBV within the period of PDB and the QoS Flow is not exceeding the GFBR. For GBR QoS Flows with GBR resource type, the PDB shall be interpreted as a maximum delay with a confidence level of 98 percent if the QoS flow is not exceeding the GFBR.

**NOTE 1:** The delay between the UPF terminating N6 and the 5G-AN has to be subtracted from a given PDB to derive the packet delay budget that applies to the radio interface. For a standardized 5QI, the delay between a UPF terminating N6 and a 5G-AN is specified in the QoS characteristics Table. For a non-standardized 5QI, the delay between a UPF terminating N6 and a 5G-AN is assumed to be consistently configured in the network.

Services using a GBR QoS Flow and sending at a rate smaller than or equal to the GFBR can in general assume that congestion related packet drops will not occur.

**NOTE 2:** Exceptions (e.g., transient link outages) can always occur in a radio access system which may then lead to congestion related packet drops. Packets surviving congestion related packet dropping may still be subject to non-congestion related packet losses (see PER below).

Services using Non-GBR QoS Flows should be prepared to experience congestion-related packet drops and delays. In uncongested scenarios, 98 percent of the packets should not experience a delay exceeding the 5QI's PDB.

The PDB for Non-GBR and GBR resource types denotes a "soft upper bound" in the sense that an "expired" packet, e.g., a link layer SDU that has exceeded the PDB, does not need to be discarded and is not added to the PER. However, for a Delay critical GBR resource type, packets delayed more than the PDB are added to the PER and can be discarded or delivered depending on local decision.

#### 3.3.1.5 Packet Error Rate

The Packet Error Rate (PER) defines an upper bound for the rate of PDUs (e.g. IP packets) that have been processed by the sender of a link layer protocol (e.g. RLC in RAN of a 3GPP access) but that are not successfully delivered by the corresponding receiver to the upper layer (e.g. PDCP in RAN of a 3GPP access). Thus, the PER defines an upper bound for a rate of non-congestion related packet losses. The purpose of the PER is to allow for appropriate link layer protocol configurations (e.g., RLC and HARQ in RAN of a 3GPP access). For every 5QI the value of the PER is the same

in UL and DL. For GBR QoS Flows with Delay critical GBR resource type, a packet which is delayed more than PDB is counted as lost and included in the PER unless the data burst is exceeding the MDBV within the period of PDB or the QoS Flow is exceeding the GFBR.

## 3.3.1.6 Averaging Window

Each GBR QoS Flow shall be associated with an Averaging window. The Averaging window represents the duration over which the GFBR and MFBR shall be calculated (e.g., in the (R)AN, UPF, UE).

Every standardized 5QI (of GBR and Delay-critical GBR resource type) is associated with a default value for the Averaging window (specified in QoS characteristics Table). The averaging window may also be signaled together with a standardized 5QI to the (R)AN and UPF, and if it is received, it shall be used instead of the default value.

The Averaging window may also be signaled together with a pre-configured 5QI to the (R)AN, and if it is received, it shall be used instead of the pre-configured value.

### 3.3.1.7 Maximum Data Burst Volume

Each GBR QoS Flow with Delay-critical resource type shall be associated with a Maximum Data Burst Volume (MDBV).

MDBV denotes the largest amount of data that the 5G-AN is required to serve within a period of 5G-AN PDB (i.e. 5G-AN part of the PDB).

Every standardized 5QI (of Delay-critical GBR resource type) is associated with a default value for the MDBV (specified in QoS characteristics Table 5.7.4.1). The MDBV may also be signaled together with a standardized 5QI to the (R)AN, and if it is received, it shall be used instead of the default value.

The MDBV may also be signaled together with a pre-configured 5QI to the (R)AN, and if it is received, it shall be used instead of the pre-configured value.

### 3.3.2 Standardized 5QI to QoS characteristics mapping

The one-to-one mapping of standardized 5QI values to 5G QoS characteristics is specified in **Table 3-1:** Standardized 5QI to QoS characteristics mapping.

5QI Value	Resource Type	Default Priority Level	Packet Delay Budget	Packet Error Rate	Default Maximum Data Burst Volume (NOTE 2)	Default Averaging Window	Example Services
1	GBR	20	100 ms (NOTE 11, NOTE 13)	10 <sup>-2</sup>	N/A	2000 ms	Conversational Voice
2	(NOTE 1)	40	150 ms (NOTE 11, NOTE 13)	10 <sup>-3</sup>	N/A	2000 ms	Conversational Video (Live Streaming)
3 (NOTE 14)		30	50 ms (NOTE 11, NOTE 13)	10 <sup>-3</sup>	N/A	2000 ms	Real Time Gaming, V2X messages  Electricity distribution – medium voltage, Process automation - monitoring
4		50	300 ms (NOTE 11, NOTE 13)	10 <sup>-6</sup>	N/A	2000 ms	Non-Conversational Video (Buffered Streaming)
65 (NOTE 9, NOTE 12)		7	75 ms (NOTE 7, NOTE 8)	10 <sup>-2</sup>	N/A	2000 ms	Mission Critical user plane Push To Talk voice (e.g., MCPTT)
66 (NOTE 12)		20	100 ms (NOTE 10, NOTE 13)	10 <sup>-2</sup>	N/A	2000 ms	Non-Mission-Critical user plane Push To Talk voice
67 (NOTE 12)		15	100 ms (NOTE 10, NOTE 13)	10 <sup>-3</sup>	N/A	2000 ms	Mission Critical Video user plane
75 (NOTE 14)							
5	Non-GBR	10	100 ms NOTE 10, NOTE 13)	10 <sup>-6</sup>	N/A	N/A	IMS Signalling
6	(NOTE 1)	60	300 ms (NOTE 10, NOTE 13)	10 <sup>-6</sup>	N/A	N/A	Video (Buffered Streaming) TCP-based (e.g., www, e- mail, chat, ftp, p2p file sharing, progressive video, etc.)

7		70	100 ms (NOTE 10, NOTE 13)	10 <sup>-3</sup>	N/A	N/A	Voice, Video (Live Streaming) Interactive Gaming
8		80	300 ms (NOTE 13)	10 <sup>-6</sup>	N/A	N/A	Video (Buffered Streaming) TCP-based (e.g., www, e- mail, chat, ftp, p2p file sharing, progressive
9		90					video, etc.)
69 (NOTE 9, NOTE 12)		5	60 ms (NOTE 7, NOTE 8)	10 <sup>-6</sup>	N/A	N/A	Mission Critical delay sensitive signalling (e.g., MC-PTT signalling)
70 (NOTE 12)		55	200 ms (NOTE 7, NOTE 10)	10 <sup>-6</sup>	N/A	N/A	Mission Critical Data (e.g. example services are the same as 5QI 6/8/9)
79		65	50 ms (NOTE 10, NOTE 13)	10 <sup>-2</sup>	N/A	N/A	V2X messages
80		68	10 ms (NOTE 5, NOTE 10)	10 <sup>-6</sup>	N/A	N/A	Low Latency eMBB applications Augmented Reality
82	Delay Critical GBR	19	10 ms (NOTE 4)	10 <sup>-4</sup>	255 bytes	2000 ms	Discrete Automation (see TS 22.261 [2])
83		22	10 ms (NOTE 4)	10 <sup>-4</sup>	1354 bytes (NOTE 3)	2000 ms	Discrete Automation (see TS 22.261 [2])
84		24	30 ms (NOTE 6)	10 <sup>-5</sup>	1354 bytes (NOTE 3)	2000 ms	Intelligent transport systems (see TS 22.261 [2])
85		21	5 ms (NOTE 5)	10 <sup>-5</sup>	255 bytes	2000 ms	Electricity Distribution- high voltage (see TS 22.261 [2])

- NOTE 1: A packet which is delayed more than PDB is not counted as lost, thus not included in the PER.
- NOTE 2: It is required that default MDBV is supported by a PLMN supporting the related 5QIs.
- NOTE 3: This MDBV value is set to 1354 bytes to avoid IP fragmentation for the IPv6 based, IPSec protected GTP tunnel to the 5G-AN node (the value is calculated as in Annex C of TS 23.060 [56] and further reduced by 4 bytes to allow for the usage of a GTP-U extension header).
- NOTE 4: A delay of 1 ms for the delay between a UPF terminating N6 and a 5G-AN should be subtracted from a given PDB to derive the packet delay budget that applies to the radio interface.
- NOTE 5: A delay of 2 ms for the delay between a UPF terminating N6 and a 5G-AN should be subtracted from a given PDB to derive the packet delay budget that applies to the radio interface.
- NOTE 6: A delay of 5 ms for the delay between a UPF terminating N6 and a 5G-AN should be subtracted from a given PDB to derive the packet delay budget that applies to the radio interface.
- NOTE 7: For Mission Critical services, it may be assumed that the UPF terminating N6 is located "close" to the 5G\_AN (roughly 10 ms) and is not normally used in a long distance, home routed roaming situation. Hence delay of 10 ms for the delay between a UPF terminating N6 and a 5G\_AN should be subtracted from this PDB to derive the packet delay budget that applies to the radio interface.
- NOTE 8: In both RRC Idle and RRC Connected mode, the PDB requirement for these 5Qls can be relaxed (but not to a value greater than 320 ms) for the first packet(s) in a downlink data or signalling burst in order to permit reasonable battery saving (DRX) techniques.
- NOTE 9: It is expected that 5QI-65 and 5QI-69 are used together to provide Mission Critical Push to Talk service (e.g., 5QI-5 is not used for signalling). It is expected that the amount of traffic per UE will be similar or less compared to the IMS signalling.
- NOTE 10: In both RRC Idle and RRC Connected mode, the PDB requirement for these 5Qls can be relaxed for the first packet(s) in a downlink data or signalling burst in order to permit battery saving (DRX) techniques.
- NOTE 11: In RRC Idle mode, the PDB requirement for these 5Qls can be relaxed for the first packet(s) in a downlink data or signalling burst in order to permit battery saving (DRX) techniques.
- NOTE 12: This 5QI value can only be assigned upon request from the network side. The UE and any application running on the UE is not allowed to request this 5QI value.
- NOTE 13: A delay of 20 ms for the delay between a UPF terminating N6 and a 5G-AN should be subtracted from a given PDB to derive the packet delay budget that applies to the radio interface.
- NOTE 14: This 5QI is not supported as it is only used for transmission of V2X messages over MBMS bearers as defined in TS 23.285 [72].

#### Table 3-1: Standardized 5QI to QoS characteristics mapping

- **NOTE 1:** For Standardized 5QI to QoS characteristics mapping, the table will be extended/updated to support service requirements for 5G, e.g., ultralow latency service.
- **NOTE 2:** It is preferred that a value less than 64 is allocated for any new standardised 5QI of non-GBR Resource Type. This is to allow for option 1 to be used as described in clause 5.7.1.3 (as the QFI is limited to less than 64).

## 3.4 RLC (Based on specification 38.322)

NetSim RLC entity is based on 3GPP Technical specification 38.322. The RLC layer sits between PDCP and MAC layer. The RLC has three different modes of operation: TM (Transparent Mode), UM (Unacknowledge Mode) and AM (Acknowledge mode) as shown in diagram below **Figure 3-5.** 

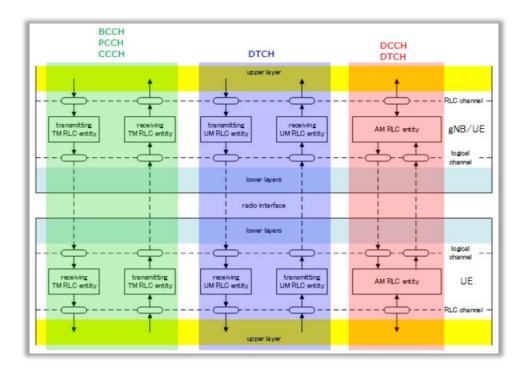


Figure 3-5: RLC Modes of operation and RLC Entities

A brief summary of key features of these modes is as follows:

- TM: No RLC Header, Buffering at Tx only, No Segmentation/Reassembly, No feedback (i.e, No ACK/NACK)
- UM: RLC Header, Buffering at both Tx and Rx, Segmentation/Reassembly, No feedback (i.e., No ACK/NACK)
- AM: RLC Header, Buffering at both Tx and Rx, Segmentation/Reassembly, Feedback (i.e., ACK/NACK)

Each of these modes can both transmit and receive data. In TM and UM, separate entity is used for transmission and reception, but in AM a single RLC entity perform both transmission and reception,

NetSim implements all the 7 entities for the RLC that are shown in *Figure 3-5: RLC Modes of operation and RLC Entities.* Note that each of logical channels use a specific RLC mode:

- BCCH, PCCH, CCCH use RLC TM only.
- DCCH use RLC AM only.
- DTCH use RLC UM or AM. (Which mode is used for each DTCH channel, is determined by RRC message).

The RLC entities provide the RLC service interface to the upper PDCP layer and the MAC service interface to the lower MAC layer. The RLC entities use the PDCP service interface from the upper PDCP layer and the MAC service interface from the lower MAC layer.

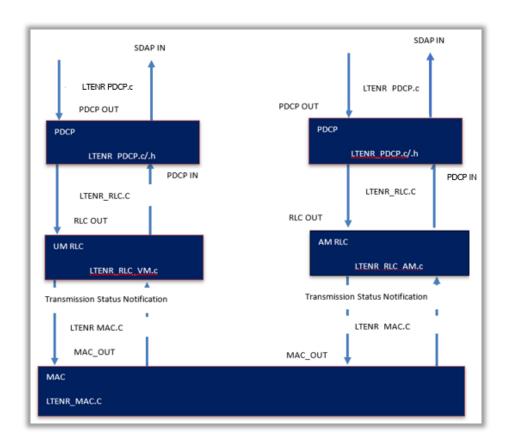


Figure 3-6: Implementation Model of PDCP, RLC and MAC entities

The main call at the transmit side RLC is done in the function fn NetSim LTENR RLC HandlePDUFromUpperLayer() in the file LTENR RLC.c

Then the MAC Layer calls the following function in LTE\_NR\_RLC.c

UINT fn\_NetSim\_LTENR\_RLC\_BufferStatusNotification(NETSIM\_ID d, NETSIM\_ID in, NETSIM\_ID r, NETSIM\_ID rin, LTENR\_LOGICALCHANNEL logicalChannel) to know the buffer status in order to do the scheduling

Once the MAC Layer allocates resources it calls the following function in LTE\_NR\_RLC.c

void fn\_NetSim\_LTENR\_RLC\_TransmissionStatusNotification(NETSIM\_ID d, NETSIM\_ID in, NETSIM\_ID r, NETSIM\_ID rin, UINT size, LTENR\_LOGICALCHANNEL logicalChannel)

UM stands for 'Unacknowledged Mode'. 'Unacknowledged Mode' means 'it does not require any reception response from the other party'. 'Reception response' simply mean 'ACK' or 'NACK' from the other party. (UM mode is similar to TM mode in that it does not require any ACK/NACK from the other party, but it is different from TM in that it has its own header)

Per the figure below the RLC transmit side (All the RLC UM functionality is available in the file LTENR RLC UM.c in the project LTE NR).

Buffers the data and generates RLC Header. This is handled in NetSim by the function void
 LTENR RLC UM HandlePDUFromUpperLayer()

- Segmentation of the RLC SDU and modification RLC Header (Some fields in RLC header may be changed based on the segmentation status)
- Adds RLC header.
  - The above two functionalities are handled in NetSim by the function UINT LTENR\_RLC\_UM\_SEND\_PDU(NETSIM\_ID d, NETSIM\_ID in,NETSIM\_ID r, NETSIM\_ID rin, UINT size, LTENR\_LOGICALCHANNEL logicalChannel) which in turn calls the function static NetSim\_PACKET\* LTENR\_RLC\_UM\_FRAGMENT\_PACKET(NetSim\_PACKET\* p, UINT size, UINT sn) and the function static int LTENR\_RLC\_UM\_ADD\_HDR(NetSim\_PACKET\* p

**NOTE:** If you compare this in LTE process, it seems that UM RLC does not perform any 'Concatenation'. According to following statement from 38.322 v0.1.0, the 'concatenation' process is moved to MAC layer.

From RAN2 NR#1: Working assumption on no RLC concatenation taken at RAN2#96 is confirmed (i.e., concatenation of RLC PDUS is performed in MAC).

The main call at the receive side RLC is done in the function *void* fn NetSim LTENR RLC HandleMACIN() in the file LTENR RLC.c

The RLC on the receive side:

- Buffers. Here the RLC waits for all the fragments to arrive.
  - This is handled in NetSim by the function void LTENR\_RLC\_UM\_RECEIVE\_PDU(). If there is no fragments then call LTENR\_CallPDCPIN(); else call LTENR RLC UM RECEIVE PDU WITH SN();
- Reorders, if required
- Strips the RLC header
- Reassembles
  - The above three functionalities are handled in NetSim by the code in the region #pragma region RLC\_UM\_RECEPTIONBUFFER

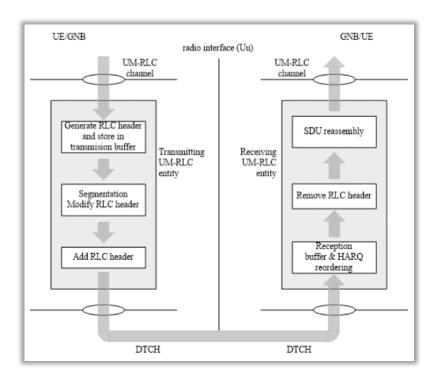


Figure 3-7: RLC UM working

## **NetSim GUI RLC Configurable parameters**

The following timers are configured per TS 38.331 [5]:

- a. t-PollRetransmit: This timer is used by the transmitting side of an AM RLC entity in order to retransmit a poll. Default value in NetSim is set to 5 ms. Range is provided in the GUI dropdown menu.
- b. t-Reassembly: This timer is used by the receiving side of an AM RLC entity and receiving UM RLC entity in order to detect loss of RLC PDUs at lower layer. If t-Reassembly is running, t-Reassembly shall not be started additionally, i.e. only one t-Reassembly per RLC entity is running at a given time. Default value in NetSim is set to 5 ms. Range is provided in the GUI dropdown menu.
- c. *t-StatusProhibit*: This timer is used by the receiving side of an AM RLC entity in order to prohibit transmission of a STATUS PDU. Default value in NetSim is set to 5 ms. Range is provided in the GUI dropdown menu.
  - The following parameters are configured per TS 38.331 [5]:
- d. *maxRetxThreshold*: This parameter is used by the transmitting side of each AM RLC entity to limit the number of retransmissions corresponding to an RLC SDU, including its segments. Default value in NetSim is set to 1. Range is provided in the GUI dropdown menu.
- e. **pollPDU**: This parameter is used by the transmitting side of each AM RLC entity to trigger a poll for every *pollPDU* PDUs. Default value in NetSim is set to 4 PDUs. Range is provided in the GUI dropdown menu.

f. *pollByte*: This parameter is used by the transmitting side of each AM RLC entity to trigger a poll for every *pollByte* bytes. Default value in NetSim is set as 25 KB. Range is provided in the GUI dropdown menu.

# 3.5 RLC-AM (Based on specification 38.322)

AM' stands for 'Acknowledge Mode'. This mean an ACK/NACK is required from the receiver unlike RLC-UM where no ACK/NACK is required from the receiver. The code for RLC-AM mode is written in the file LTENR RLC AM.c

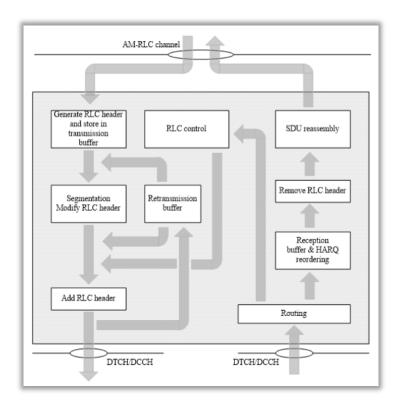


Figure 3-8: RLC AM Working

The functionality of RLC-AM is:

After RLC transmitters does the segmentation/concatenation process, it adds RLC header and then it creates two identical copies and transmit the one copy of the data out to lower layer (MAC) and sends another copy to Retransmission buffer.

If the RLC gets Nack or does not get any response from the receiver for a certain period of time, the RLC PDU in the retransmission buffer gets transmitted again. If the RLC get ACK, the copy of the packet in retransmission buffer is discarded.

There are four buffers maintained in RLC-AM. There is no size defined in the standard and hence NetSim implements an infinite buffer (see LTENR\_RLC.h and LTENR\_RLCBuffer.c for related code). There are 3 buffers for for transmit operations and 1 for receive operation:

1. Transmission buffer: Queues SDUs received from higher layer (PDCP)

- 2. Transmitted buffer: Queues SDUs that has been transmitted but for which ACK/NACK has not yet received.
- 3. Re-transmission Buffer: Queues RLC SDUs which are considered for retransmission. (i.e. for which NACK has been received)
- 4. Reception Buffer: Queues fragments of SDUs (receiver side)

The MAC sub layer then seeks a Buffer Status Report from the RLC.

The entry functions for RLC-AM is defined in the section #pragma region RLCAM\_OUT. The first function called is *void LTENR\_RLCAM\_HandlePDUFromUpperLayer()* 

Here the packet is added to the Transmission Buffer. Then based on the MAC scheduler, the MAC layer sends a notification to RLC, which in turn sends a packet by first checking the Re Transmission Buffer followed by the Transmission-Buffer. These functions are also in the same region.

The T\_POLLRetransmit determines if a packet needs to be re-transmitted. If RLCAM- Ack is not received packet is moved from transmitted buffer to retransmission buffer. The codes for T\_POLLRetransmit are in the section #pragma region RLCAM\_T\_POLLRetransmit.

#### 3.5.1 Transmit Operations

The transmitting side of an AM RLC entity shall prioritize transmission of RLC control PDUs over AMD PDUs. The transmitting side of an AM RLC entity shall prioritize transmission of AMD PDUs containing previously transmitted RLC SDUs or RLC SDU segments over transmission of AMD PDUs containing not previously transmitted RLC SDUs or RLC SDU segments. The transmitting side of an AM RLC entity shall maintain a transmitting window according to the state variable

TX Next Ack as follows:

- a SN falls within the transmitting window if TX\_Next\_Ack <= SN < TX\_Next\_Ack + AM Window Size;
- a SN falls outside of the transmitting window otherwise.

The transmitting side of an AM RLC entity shall not submit to lower layer any AMD PDU whose SN falls outside of the transmitting window.

For each RLC SDU received from the upper layer, the AM RLC entity shall:

- associate a SN with the RLC SDU equal to TX\_Next and construct an AMD PDU by setting the SN of the AMD PDU to TX\_Next;
- increment TX\_Next by one.

When submitting an AMD PDU that contains a segment of an RLC SDU, to lower layer, the transmitting side of an AM.

#### RLC entity shall:

- set the SN of the AMD PDU to the SN of the corresponding RLC SDU.

The transmitting side of an AM RLC entity can receive a positive acknowledgement (confirmation of successful reception by its peer AM RLC entity) for an RLC SDU by the following:

- STATUS PDU from its peer AM RLC entity.

When receiving a positive acknowledgement for an RLC SDU with SN = x, the transmitting side of an AM RLC entity shall:

- send an indication to the upper layers of successful delivery of the RLC SDU;
- set TX\_Next\_Ack equal to the SN of the RLC SDU with the smallest SN, whose SN falls within the range

TX\_Next\_Ack <= SN <= TX\_Next and for which a positive acknowledgments has not been received yet.

## 3.5.2 Receive Operations

The receiving side of an AM RLC entity shall maintain a receiving window according to the state variable RX\_Next as follows:

- a SN falls within the receiving window if RX Next <= SN < RX Next + AM Window Size;
- a SN falls outside of the receiving window otherwise.

When receiving an AMD PDU from lower layer, the receiving side of an AM RLC entity shall:

- either discard the received AMD PDU or place it in the reception buffer.
- if the received AMD PDU was placed in the reception buffer:
- update state variables, reassemble and deliver RLC SDUs to upper layer and start/stop t-Reassembly as needed when t-Reassembly expires, the receiving side of an AM RLC entity shall:
- update state variables and start t-Reassembly as needed.

After submitting an AMD PDU including a poll to lower layer, the transmitting side of an AM RLC entity shall:

- set POLL SN to the highest SN of the AMD PDU among the AMD PDUs submitted to lower layer;
- if t-PollRetransmit is not running:
- start t-PollRetransmit.
- else:
- restart t-PollRetransmit

## 3.5.3 Actions when a RLC PDU is received from a lower layer

At the Receive side the functionality is handled in the section #pragma region RLCAM\_IN. The entry function in the receive side is void LTENR\_RLC\_AM\_RECEIVE\_PDU(). The receives the AMPDU and checks if

- 1. It is within the receive window.
- 2. The packet is not already received i.e. not duplicate

If both the conditions are true the AMPDU is placed in the Reception Buffer and starts the ReassemblyTimer. If the PDU has a PollRequest then it starts constructing the StatusPDU. The code for this is in the section #pragma region RLCAM STATUSPDU SEND

## 3.5.4 Reception of a STATUS report

Upon reception of a STATUS report from the receiving RLC AM entity the transmitting side of an AM RLC entity shall:

- if the STATUS report comprises a positive or negative acknowledgement for the RLC SDU with sequence number equal to POLL SN:
- if t-PollRetransmit is running:
- stop and reset t-PollRetransmit

# 3.6 PDCP (Based on specification 38.322)

The PDCP layer receives a packet (data/control) from the upper layer, executes the PDCP functions and then transmits it to a lower layer.

PDCP Entity: The PDCP entities are located in the PDCP sublayer. NetSim currently implements one PDCP entity per UE (users can add more by modifying the code). The same PDCP entity is associated with both the control and the user plane.

The source code files related to PDCP are:

- LTENR PDCP.c
- LTENR PDCP.h

and the primitives are void fn\_NetSim\_LTENR\_PDCP\_TransmitPDCPSDU(), to send the PDCP SDU to a lower layer, and fn\_NetSim\_LTENR\_PDCP\_ReceivePDCPSDU()

The PDCP functionality supported (see LTENR\_PDCP.c) in NetSim is:

- Transmit PDCP SDU
  - Sets the PDCP Sequence Number
  - Adds RLC Header.
  - Calls RLC service primitive.

- PDCP Association
  - o This call back function is invoked when the UE associates/dissociates from a gNB.
- Maintenance of PDCP sequence numbers (to know more check the PDCP entity structure)
- Discard Timer:
  - When the discardTimer expires for a PDCP SDU, or the successful delivery of a PDCP SDU is confirmed by PDCP status report, the transmitting PDCP entity shall discard the PDCP SDU along with the corresponding PDCP Data PDU.
  - Discarding a PDCP SDU already associated with a PDCP SN causes a SN gap in the transmitted PDCP Data PDUs, which increases PDCP reordering delay in the receiving PDCP entity.
  - NetSim Specific (can be seen in the event trace upon completion of simulation)
    - Event Type: TIMER EVENT
    - Sub Event Type: PDCP DISCARDTIMER
- Transmission Buffer (size is assumed to infinite): This is where PDCP SDU's are stored before being sent down to a lower layer.
- PDCP Entity: The PDCP Entity structure is defined in LTENR PDCP.h
- PDCP State variables.
- TREORDERING Timer.
- Receive buffer.

# 3.7 5G NR radio interface data plane implementation overview

An overview of the 5G NR Radio interface data plane operation is as follows:

- Higher layer packets arrive at the RLC buffer for each UE and each gNB
- SNR calculations (per 3GPP standard propagation models) are done for each associated
   UE-gNB pair at the start of simulation and again at every mobility event.
- At each cell (per gNB currently) frame of length 10ms is started. Each frame in turn starts 10 sub frames each 1ms and each sub frame starts a certain number of slots based on numerology.
- At each slot, for each associated UE-gNB pair the MCS is calculated based on SNR.
- PHY in-turn notifies the MAC about the slot start.
- The MAC sub layer in turn asks the buffer status report from the RLC layer and invokes the MAC scheduler. It then notifies the RLC of the transmission.
- The RLC then transmits the transport block to the PHY layer.

# 3.8 MAC Layer

#### 3.8.1 Overview

NetSim 5G NR MAC implements the following features:

- Multiplexing/de-multiplexing of MAC SDUs into/from transport blocks for DL-SCH and UL-SCH data transfer
- Buffer status reporting
- MAC Scheduler

#### 3.8.2 MAC Scheduler

At each gNB the MAC Scheduler decides the PRB allocation, in a slot, for each carrier. The max schedulers work as follows:

- Round Robin: It divides the available PRBs among the active flows, i.e., those logical channels which have a non-empty RLC queue. The MCS for each user is calculated according to the received CQIs.
- Proportional fair: It allocates PRBs in proportion to the channel quality in the active flows.
- Max throughput: It allocates PRBs to the active flow(s) to maximize the achievable rate.
- Fair Scheduler: It ensures equal throughputs for all active flows.

Note that these are MAC scheduling algorithms, and they are not based on the QoS set in the Application.

# 3.9 PHY Layer

### 3.9.1 Overview

NetSim 5G NR PHY implements the following features:

- FR1 and FR2 bands
  - FR1 TDD bands: n34 (2010 MHz 2025 MHz), n38 (2570 MHz 2620 MHz), n39 (1880 MHz 1920 MHz), n40 (2300 MHz 2400 MHz), n41 (2496 MHz 2690 MHz), n50 (1432 MHz 1517 MHz), n51 (1427 MHz 1432 MHz), n77 (3300 MHz 4200 MHz), n78 (3300 MHz 3800 MHz), n79 (4400 MHz 5000 MHz)
  - FR2 TDD Bands: n257 (26500 MHz 29500 MHz), n258 (24250 MHz 27500 MHz), n260 (37000 MHz – 40000 MHz), n261 (27500 MHz – 28350 MHz) Downlink and uplink transmission using OFDMA.
- Flexible sub-carrier spacing in the NR frame structure using multiple numerologies.
  - $\circ$  FR1 numerology  $\mu = 0, 1, 2$
  - $\circ$  FR2 numerology  $\mu = 2, 3$
- Uplink and Downlink transmission using OFDMA.

- CQI reporting.
  - CQI-MCS
  - MCS-TBS

# 3.9.2 PHY implementation

NetSim is a packet level simulator for simulating the performance of end-to-end applications over various packet transport technologies. NetSim can scale to simulating networks with 100s of end-systems, routers, switches, etc. NetSim provides estimates of the statistics of application-level performance metrics such as throughput, delay, packet-loss, and statistics of network-level processes such as buffer occupancy, collision probabilities, etc.

In order to achieve a scalable simulation, that can execute in reasonable time on desktop level computers, in all networking technologies the details of the physical layer techniques have been abstracted up to the point that bit-error probabilities can be obtained from which packet error probabilities are obtained.

Of all the wireless access technologies implemented in NetSim, the most sophisticated is 5G NR, in which the physical layer utilizes a variety of techniques that go well beyond even 4G LTE. These include multiple subcarrier bandwidths in the same system, slot lengths that depend on the subcarrier bandwidth, flexible time-division duplexing, a wide range of constellation sizes and coding rates, multiuser MIMO-OFDM, etc. Particularly with regard to MIMO-OFDM, with the attendant channel estimation (the errors therein), and the complexities of signal processing, NetSim has taken the design decision to replace these by idealized, symbol level models, where the statistics of the effective stochastic channel gains, and the statistics of the effective stochastic noise and interference are modelled in an idealized setting. Such models then permit the calculation of the required bit error rates, and thereby code block error rates, etc.

#### Overview of the 5G NR PHY:

- 5G NR utilizes an implementation of OFDMA, with several different carrier bandwidths, and a wide range of modulation and coding schemes.
- Users would be sharing the same RF bandwidth but would be using different modulation schemes and thus obtaining different bit rates. As the devices involved in the communication move around, the radio channel between them also keeps changing.
- The received SNR is determined from pathloss calculated per the 3GPP's stochastic propagation models. The models provide signal attenuation as an output. Several parameters are used in the model, including the distance between the transmitter and the receiver.
- A CQI is computed for all the symbols in one TB, based on the SNR calculated on the data channels (DL and UL). The SNR calculation is done at the start of the simulation, then every

UE measurement interval and also at every instant a UE moves. In calculating SNR, the noise power is obtained from  $N = k \times T \times B$ .

- Based on the SNR, the Adaptive Modulation and Coding (AMC) functionality determines the values of Q, the modulation order, and R, the code rate, in the TBS formula. The SNR is computed on a per UE level for UL and DL.
- The transport block size in NetSim is as per the MAC procedure for TBS determination standardized in TS 38.214 Section 5.1.3.2 (DL) and 6.1.4.2 (UL).
- An approximate estimate of the TBS per carrier is.

$$n_{info} = R \times \log_2 Q \times \nu \times n_{sc}^{rb} \times n_{symbol} \times N_{PRB} \times (1 - OH)$$

Where R is the code rate, Q is the modulation order, v is the number of MIMO layers,  $n_{SC}^{rb}$  is the number of sub carriers per resource block,  $n_{symbol}$  is the number of symbols per slot,  $N_{PRB}$  is the number of PRBs and OH is the overheads specified in the standard.

The available PHY resource is shared dynamically between the users, with the resource allocation being dynamically adjusted per user demands and channel conditions. The MAC Scheduler determines the data (how much to and from, which UE and gNB) that is to be transmitted, from the higher layer RLC buffer, in units of Physical Resource Blocks (PRBs). It is transmitted at a rate determined using R, code rate and Q, modulation order of the UE – gNB channel.

# 3.9.3 Beamforming in NetSim

- For a transmitter (gNB or eNB) with t antennas and a receiver with r antennas, the  $r \times t$  channel gain matrix (between every transmit-receive antenna pair) has complex Gaussian elements. We assume in the standard model that the complex Gaussian elements are statistically independent across elements, and each element is a circularly symmetric Gaussian. We denote this matrix by H.
- For the channel matrix H being defined as above, the Wishart Matrix is defined as follows:

$$W = H H^{\dagger} \quad r < t,$$

$$W = H^{\dagger}H \quad r \ge t$$

Therefore, letting  $m=\min(r,t)$ , W is an  $m\times m$  nonnegative definite matrix, with eigenvalues  $\lambda_1\geq \lambda_2\geq \lambda_3\geq \cdots \geq \lambda_L>0=\lambda_{L+1}=\cdots=\lambda_m.$  It is these eigenvalues that are used in the parallel SISO models described below.

• NetSim permits the user to enable or disable a stochastic fading model. Fading is modelled by the elements of *H* being time varying, with some coherence time. Such time variation results in the eigenvalues of *W* also varying. NetSim models such time variation by letting the user define a *coherence time* during which the eigenvalues are kept fixed. For each (*r*, *t*)

value, NetSim maintains a list of samples of eigenvalues for the corresponding Wishart matrix. To model fading, a new set of eigenvalues is used by NetSim in successive coherence times.

 Putting the above discussions together, if fast fading with eigen-beamforming is enabled in NetSim's GUI, then the MIMO link is modelled by several SISO channels (see below), with the symbol level channel gain being derived from the eigenvalues of the Wishart matrix.

$$BeamFormingGain(dB) = 10 \log_{10}(EigenValue)$$

It must be noted that the eigenvectors are not required as they are only a part of the receive and transmit signal processing, and NetSim only needs to work with the equivalent symbol-by-symbol flat fading SISO channels.

• If fast fading is disabled, NetSim reduces the MIMO transmission to a set of parallel, independent channels with constant gain, since the Beam forming gain does not change with time.

## 3.9.4 MIMO Beamforming Assumptions in NetSim

NetSim makes the following assumptions to simplify MIMO operations for a packet-simulator:

- Operation in spatial multiplexing mode only and not in transmit diversity mode.
- The  $LayerCount = Min(N_t, N_r)$  where  $N_t$  is the number of transmit antennas and  $N_r$  is equal to the number of receive antennas.
- Channel state information known at the receiver and at the transmitter.
- Each layer is reduced to a flat fading SISO channel, i.e., for layer  $j, 1 \le j \le LayerCount$ ,

$$y_j = \sqrt{\lambda_j} \, x_j + w_j$$

where,  $x_j$  is the symbol transmitted,  $\lambda_j$  is the corresponding eigenvalue of the Wishart matrix obtained as in the previous section,  $w_j$  is circular symmetric complex Gaussian noise, and  $y_j$  is the complex valued baseband received symbol.

- Since the distance between the transmitter and receiver is much larger than the antenna spacings, a common pathloss is assumed for every layer. The pathloss is modelled, as usual, using distance dependent pathloss (power law), log normal shadowing, and a statistical model for fast fading (e.g., Rayleigh fading).
- Then, given the transmit power in the symbol  $x_j$ , the layer SNR can be obtained directly from the flat fading SISO equivalent model displayed above.
- It is assumed that the transmit power is equally split between all *Layers* transmitted. At a high SNR, (iterative) water-filling will lead to nearly equal power allocation across all subcarriers and all layers. Ref (Andrea Goldsmith).

- Downlink parallel transmission to multiple users is enabled by utilising multiple parallel resource blocks. Within each resource block, all MIMO layers are transmitted to the same UE.
- UEs receive no interference from other gNBs, and a gNB does not receive interference from UEs connected to any other gNB.
- Error free channel: This arises due to the practical fact that the adaptive MCS algorithm chooses the modulation order and coding scheme based on the SNR, in such a way that the data is decoded successfully at the receiver with a very high probability.
- The MAC scheduler will assign the subcarriers to the UEs. If required all available subcarriers
  can also be assigned to a single UE.

#### 3.9.5 PHY: Omitted Features

The currently omitted features include:

- BLER
  - NetSim currently assumes an error free channel. While received SNR is calculated
     NetSim currently does not further calculate the BLER based on the received SNR
- HARQ
- Physical control channels
  - While calculating the TBS capacity, a fixed overhead is reduced to account for the control channels. This overhead fraction varies for UL and DL, across FR1 and FR2, and is provided in the standard.
- Antenna modelling
- Random access procedure
- Reporting/receiving control information/
- Power control
- Inter gNB interference.

## 3.9.6 NR Frequency Bands

The definition of frequency ranges is per the table given below Table 3-2.

Frequency range designation	Corresponding frequency range
FR1	410 MHz – 7125 MHz
FR2	24250 MHz – 52600 MHz

Table 3-2: NR Frequency Bands Ranges

## 3.9.6.1 NR Band - FR 1

The FR1 bands (per 3GPP TS 38.101-1 V15.5.0 (2019-03)) implemented in NetSim are those that run.

TDD in Duplex mode, namely n34, n38, n39, n40, n41, n50, n51, n77, n78, n79, as shown below **Table 3-3.** 

FDD in Duplex mode, namely n1, n3, n7, n8, n20, n25, n28, n66, n70, and n71 as shown below **Table 3-3.** 

NR operating band	Uplink (UL) <i>operating band</i> BS receive / UE transmit F <sub>UL_low</sub> - F <sub>UL_high</sub>	Downlink (DL) <i>operating</i> band BS transmit / UE receive F <sub>DL_low</sub> - F <sub>DL_high</sub>	Duplex Mode
n1	1920 MHz – 1980 MHz	2110 MHz – 2170 MHz	FDD
<del>n2</del>	1850 MHz – 1910 MHz	<del>1930 MHz – 1990 MHz</del>	FDD
n3	1710 MHz – 1785 MHz	1805 MHz – 1880 MHz	FDD
<del>n5</del>	824 MHz – 849 MHz	869 MHz - 894 MHz	FDD
n7	2500 MHz – 2570 MHz	2620 MHz – 2690 MHz	FDD
n8	880 MHz – 915 MHz	925 MHz – 960 MHz	FDD
<del>n12</del>	699 MHz - 716 MHz	729 MHz - 746 MHz	FDD
n20	832 MHz – 862 MHz	791 MHz – 821 MHz	FDD
n25	1850 MHz – 1915 MHz	1930 MHz – 1995 MHz	FDD
n28	703 MHz – 748 MHz	758 MHz – 803 MHz	FDD
n34	2010 MHz – 2025 MHz	2010 MHz – 2025 MHz	TDD
n38	2570 MHz – 2620 MHz	2570 MHz – 2620 MHz	TDD
n39	1880 MHz – 1920 MHz	1880 MHz – 1920 MHz	TDD
n40	2300 MHz – 2400 MHz	2300 MHz – 2400 MHz	TDD
n41	2496 MHz – 2690 MHz	2496 MHz – 2690 MHz	TDD
n50	1432 MHz – 1517 MHz	1432 MHz – 1517 MHz	TDD
n51	1427 MHz – 1432 MHz	1427 MHz – 1432 MHz	TDD
n66	1710 MHz – 1780 MHz	2110 MHz – 2200 MHz	FDD
n70	1695 MHz – 1710 MHz	1995 MHz – 2020 MHz	FDD
n71	663 MHz – 698 MHz	617 MHz – 652 MHz	FDD
<del>n74</del>	1427 MHz – 1470 MHz	1475 MHz – 1518 MHz	FDD
<del>n75</del>	N/A	1432 MHz – 1517 MHz	SDL
<del>n76</del>	N/A	1427 MHz – 1432 MHz	SDL
n77	3300 MHz – 4200 MHz	3300 MHz – 4200 MHz	TDD

n78	3300 MHz – 3800 MHz	3300 MHz – 3800 MHz	TDD
n79	4400 MHz – 5000 MHz	4400 MHz – 5000 MHz	TDD
<del>n80</del>	<del>1710 MHz – 1785 MHz</del>	N/A	SUL
n81	880 MHz – 915 MHz	N/A	SUL
<del>n82</del>	832 MHz - 862 MHz	N/A	SUL
n83	<del>703 MHz – 748 MHz</del>	N/A	SUL
<del>n84</del>	<del>1920 MHz – 1980 MHz</del>	N/A	SUL
<del>n86</del>	<del>1710 MHz – 1780 MHz</del>	N/A	SUL

Table 3-3: NR operating bands in FR1 in NetSim

## 3.9.6.1.1 Maximum transmission bandwidth configuration

The maximum transmission bandwidth configuration  $N_{RB}$  for each UE channel bandwidth and subcarrier spacing is specified below **Table 3-4**.

SCS (kHz)	5MHz	10MHz	15MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
(KHZ)	N <sub>RB</sub>	N <sub>RB</sub>	N <sub>RB</sub>	$N_{RB}$	N <sub>RB</sub>							
15	25	52	79	106	133	160	216	270	N/A	N/A	N/A	N/A
30	11	24	38	51	65	78	106	133	162	217	245	273
60	N/A	11	18	24	31	38	51	65	79	107	121	135

Table 3-4: Maximum transmission bandwidth configuration NRB

## 3.9.6.1.2 Minimum guardband and transmission bandwidth configuration

The minimum guardband for each UE channel bandwidth and SCS is specified below Table 3-5.

SCS (kHz)	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
15	242.5	312.5	382.5	452.5	522.5	592.5	552.5	692.5	N/A	N/A	N/A	N/A
30	505	665	645	805	785	945	905	1045	825	925	885	845
60	N/A	1010	990	1330	1310	1290	1610	1570	1530	1450	1410	1370

Table 3-5: Minimum guardband for each UE channel bandwidth and SCS (kHz)

**NOTE:** The minimum guardbands have been calculated using the following equation: (BW<sub>Channel</sub> x 1000 (kHz) -  $N_{RB}$  x SCS x 12) / 2 - SCS/2, where  $N_{RB}$  are from Table 5.3.2-1.

#### 3.9.6.2 NR Band - FR 2

The FR2 bands (per 3GPP TS 38.101-2 V15.5.0 (2019-03)) implemented in NetSim as shown below **Table 3-6.** 

Operating Band	Uplink (UL) operating band  BS receive  UE transmit  Fullow - Fulhigh		Downlink (DL) operating band  BS transmit  UE receive			Duplex Mode	
n257	26500 MHz	_	29500 MHz	26500 MHz	w '	29500 MHz	TDD
n258	24250 MHz	_	27500 MHz	24250 MHz	-	27500 MHz	TDD
n260	37000 MHz	_	40000 MHz	37000 MHz	_	40000 MHz	TDD
n261	27500 MHz	_	28350 MHz	27500 MHz	_	28350 MHz	TDD

Table 3-6: NR operating bands in FR2 in NetSim

## 3.9.6.2.1 Maximum transmission bandwidth configuration

The maximum transmission bandwidth configuration  $N_{RB}$  for each UE channel bandwidth and subcarrier spacing is specified below.

scs	50 MHz	100 MHz	200 MHz	400 MHz
(kHz)	N <sub>RB</sub>	N <sub>RB</sub>	N <sub>RB</sub>	N <sub>RB</sub>
60	66	132	264	N/A
120	32	66	132	264

Standards Table 5.3.2-1: Maximum transmission bandwidth configuration NRB

## 3.9.6.2.2 Minimum guardband and transmission bandwidth configuration

The minimum guardband for each UE channel bandwidth and SCS is specified below.

SCS (kHz)	50 MHz	100 MHz	200 MHz	400 MHz
60	1210	2450	4930	N/A
120	1900	2420	4900	9860

Standards Table 5.3.2-1: Minimum guardband for each UE channel bandwidth and SCS (kHz)

**NOTE:** The minimum guardbands have been calculated using the following equation: (BW<sub>Channel</sub> x 1000 (kHz) -  $N_{RB}$  x SCS x 12) / 2 - SCS/2, where  $N_{RB}$  are from Table 5.3.2-1.

The minimum guardband of receiving BS SCS 240 kHz SS/PBCH block for each UE channel bandwidth is specified below.

SCS (kHz)	100 MHz	200 MHz	400 MHz
240	3800	7720	15560

Standards Table 5.3.3-2: Minimum guardband (kHz) of SCS 240 kHz SS/PBCH block

**NOTE:** The minimum guardband in Table 5.3.3-2 is applicable only when the SCS 240 kHz SS/PBCH block is received adjacent to the edge of the UE channel bandwidth within which the SS/PBCH block is located.

#### 3.9.7 UE channel bandwidth

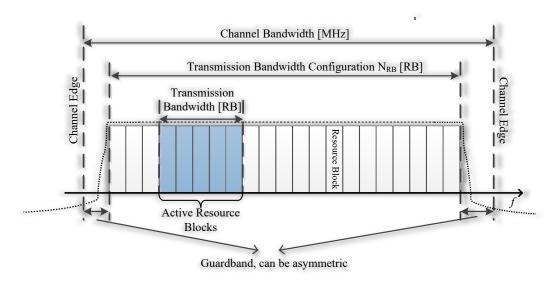
#### 3.9.7.1 **General**

The UE channel bandwidth supports a single NR RF carrier in the uplink or downlink at the UE. From a BS perspective, different UE channel bandwidths may be supported within the same spectrum for transmitting to and receiving from UEs connected to the BS. Transmission of multiple carriers to the same UE (CA) or multiple carriers to different UEs within the BS channel bandwidth can be supported.

From a UE perspective, the UE is configured with one or more BWP / carriers, each with its own UE channel bandwidth. The UE does not need to be aware of the BS channel bandwidth or how the BS allocates bandwidth to different UEs.

The placement of the UE channel bandwidth for each UE carrier is flexible but can only be completely within the BS channel bandwidth.

The relationship between the channel bandwidth, the guardband and the maximum transmission bandwidth configuration is shown in **Figure 5.3.3-1**.



**Standards Figure 5.3.3-1:** Definition of the channel bandwidth and the maximum transmission bandwidth configuration for one NR channel

#### 3.9.8 Frame structure and physical resources

#### 3.9.8.1 General

Throughout this document, unless otherwise noted, the size of various fields in the time domain is expressed in time units  $T_{\rm c}=1/(\Delta f_{\rm max}\cdot N_{\rm f})$  where  $\Delta f_{\rm max}=480\cdot 10^3$  Hz and  $N_{\rm f}=4096$  The constant  $\kappa=T_{\rm s}/T_{\rm c}=64$  where  $T_{\rm s}=1/(\Delta f_{\rm ref}\cdot N_{\rm f,ref})$ ,  $\Delta f_{\rm ref}=15\cdot 10^3$  Hz and  $N_{\rm f,ref}=2048$ .

## 3.9.8.2 Numerologies

Multiple OFDM numerologies are supported as given by **Table 4.2-1** where  $\mu$  and the cyclic prefix for a bandwidth part are obtained from the higher-layer parameter *subcarrierSpacing* and *cyclicPrefix*, respectively.

$\mu$	$\Delta f = 2^{\mu} \cdot 15 [\text{kHz}]$	Cyclic prefix	
0	15	Normal	
1	30	Normal	
2	60	Normal, Extended	
3	120	Normal	
4	240	Normal	

Standards Table 4.2-1: Supported transmission numerologies

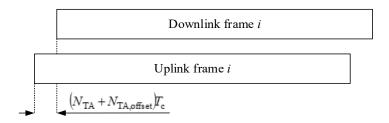
#### 3.9.9 Frame structure

#### 3.9.9.1 Frames and subframes

Downlink and uplink transmissions are organized into frames with  $T_{\rm f} = (\Delta f_{\rm max} N_{\rm f}/100) \cdot T_{\rm c} = 10 \, {\rm ms}$  duration, each consisting of ten subframes of  $T_{\rm sf} = (\Delta f_{\rm max} N_{\rm f}/1000) \cdot T_{\rm c} = 1 \, {\rm ms}$  duration. The number of consecutive OFDM symbols per subframe is  $N_{\rm symb}^{\rm subframe} = N_{\rm symb}^{\rm slot} N_{\rm slot}^{\rm subframe}$ . Each frame is divided into two equally-sized half-frames of five subframes each with half-frame 0 consisting of subframes 0-4 and half-frame 1 consisting of subframes 5-9.

There is one set of frames in the uplink and one set of frames in the downlink on a carrier.

Uplink frame number i for transmission from the UE shall start  $T_{\text{TA}} = (N_{\text{TA}} + N_{\text{TA,offset}})T_{\text{c}}$  before the start of the corresponding downlink frame at the UE where  $N_{\text{TA offset}}$  is given by [5, TS 38.213].



Standards Figure 4.3.1-1: Uplink-downlink timing relation

#### 3.9.9.2 Slots

For subcarrier spacing configuration  $\mu$ , slots are numbered  $n_{\rm s}^{\mu} \in \left\{0,...,N_{\rm slot}^{\rm subframe,\mu}-1\right\}$  in increasing order within a subframe and  $n_{\rm s,f}^{\mu} \in \left\{0,...,N_{\rm slot}^{\rm frame,\mu}-1\right\}$  in increasing order within a frame. There are  $N_{\rm symb}^{\rm slot}$  consecutive OFDM symbols in a slot where  $N_{\rm symb}^{\rm slot}$  depends on the cyclic prefix as given by **Tables 4.3.2-1** and **4.3.2-2**. The start of slot  $n_{\rm s}^{\mu}$  in a subframe is aligned in time with the start of OFDM symbol  $n_{\rm s}^{\mu}N_{\rm symb}^{\rm slot}$  in the same subframe.

OFDM symbols in a slot can be classified as 'downlink', 'flexible', or 'uplink'. Signaling of slot formats is described in subclause 11.1 of [5, TS 38.213].

In a slot in a downlink frame, the UE shall assume that downlink transmissions only occur in 'downlink' or 'flexible' symbols.

In a slot in an uplink frame, the UE shall only transmit in 'uplink' or 'flexible' symbols.

A UE not capable of full-duplex communication among a group of cells is not expected to transmit in the uplink in one cell within the group of cells earlier than  $N_{\text{Rx-Tx}}T_{\text{c}}$  after the end of the last received downlink symbol in the same or different cell within the group of cells where  $N_{\text{Rx-Tx}}$  is given by. **Table 4.3.2-3** 

A UE not capable of full-duplex communication among a group of cells is not expected to receive in the downlink in one cell within the group of cells earlier than  $N_{\text{Tx-Rx}}T_{\text{c}}$  after the end of the last transmitted uplink symbol in the same or different cell within the group of cells where  $N_{\text{Tx-Rx}}$  is given by **Table 4.3.2-3**.

μ	$N_{ m symb}^{ m slot}$	$N_{ m slot}^{ m frame}$	$N_{ m slot}^{ m subframe, m{\mu}}$
0	14	10	1
1	14	20	2
2	14	40	4
3	14	80	8
4	14	160	16

**Standards Table 4.3.2-1**: Number of OFDM symbols per slot, slots per frame, and slots per subframe for normal cyclic prefix

μ	$N_{ m symb}^{ m slot}$	$N_{ m slot}^{ m frame, m{\mu}}$	$N_{ m slot}^{ m subframe}$
2	12	40	4

**Standards Table 4.3.2-2**: Number of OFDM symbols per slot, slots per frame, and slots per subframe for extended cyclic prefix

Transition time	FR1	FR2
N <sub>Tx-Rx</sub>	25600	13792
N <sub>Rx-Tx</sub>	25600	13792

Standards Table 4.3.2-3: Transition time  $N_{Rx-Tx}$  and  $N_{Tx-Rx}$ 

## 3.9.10 Overview of physical channels

An uplink physical channel corresponds to a set of resource elements carrying information originating from higher layers. The following uplink physical channels are defined:

- Physical Uplink Shared Channel, PUSCH
- Physical Uplink Control Channel, PUCCH
- Physical Random-Access Channel, PRACH

A downlink physical channel corresponds to a set of resource elements carrying information originating from higher layers. The following downlink physical channels are defined:

- Physical Downlink Shared Channel, PDSCH
- Physical Broadcast Channel, PBCH
- Physical Downlink Control Channel, PDCCH.

## 3.9.11 Channel state information

## 3.9.11.1 Channel quality indicator (CQI)

The CQI indices and their interpretations are given in Table 5.2.2.1-2 or Table 5.2.2.1-4 for reporting CQI based on QPSK, 16QAM and 64QAM. The CQI indices and their interpretations are given in Table 5.2.2.1-3 for reporting CQI based on QPSK, 16QAM, 64QAM and 256QAM.

Based on an unrestricted observation interval in time unless specified otherwise in this Subclause, [and an unrestricted observation interval in frequency-TBD], the UE shall derive for each CQI value reported in uplink slot *n* the highest CQI index which satisfies the following condition:

- A single PDSCH transport block with a combination of modulation scheme, target code rate
  and transport block size corresponding to the CQI index, and occupying a group of downlink
  physical resource blocks termed the CSI reference resource, could be received with a transport
  block error probability not exceeding:
- 0.1, if the higher layer parameter *cqi-Table* in *CSI-ReportConfig* configures 'table1' (corresponding to **Table 5.2.2.1-2**), or 'table2' (corresponding to **Table 5.2.2.1-3**), or

- 0.00001, if the higher layer parameter *cqi-Table* in *CSI-ReportConfig* configures 'table3' (corresponding to **Table 5.2.2.1-4**).

If a UE is not configured with higher layer parameter *timeRestrictionForChannelMeasurements*, the UE shall derive the channel measurements for computing CSI value reported in uplink slot n based on only the NZP CSI-RS, no later than the CSI reference resource, (defined in TS 38.211[4]) associated with the CSI resource setting.

If a UE is configured with higher layer parameter *timeRestrictionForChannelMeasurements* in *CSI-ReportConfig*, the UE shall derive the channel measurements for computing CSI reported in uplink slot n based on only the most recent, no later than the CSI reference resource, occasion of NZP CSI-RS (defined in [4, TS 38.211]) associated with the CSI resource setting.

If a UE is not configured with higher layer parameter *timeRestrictionForInterferenceMeasurements*, the UE shall derive the interference measurements for computing CSI value reported in uplink slot n based on only the CSI-IM and/or NZP CSI-RS for interference measurement no later than the CSI reference resource associated with the CSI resource setting.

If a UE is configured with higher layer parameter *timeRestrictionForInterferenceMeasurements* in *CSI-ReportConfig*, the UE shall derive the interference measurements for computing the CSI value reported in uplink slot n based on the most recent, no later than the CSI reference resource, occasion of CSI-IM and/or NZP CSI-RS for interference measurement (defined in [4, TS 38.211]) associated with the CSI resource setting.

For each sub-band index s, a 2-bit sub-band differential CQI is defined as:

- Sub-band Offset level (s) = sub-band CQI index (s) - wideband CQI index.

The mapping from the 2-bit sub-band differential CQI values to the offset level is shown in Table

Sub-band differential CQI value	Offset level
0	0
1	1
2	≥ 2
3	≤-1

Standards Table 5.2.2.1-1: Mapping sub-band differential CQI value to offset level

A combination of modulation scheme and transport block size corresponds to a CQI index if:

- the combination could be signaled for transmission on the PDSCH in the CSI reference resource according to the Transport Block Size determination described in Subclause 5.1.3.2, and
- the modulation scheme is indicated by the CQI index, and
- the combination of transport block size and modulation scheme when applied to the reference resource results in the effective channel code rate which is the closest possible to the code

rate indicated by the CQI index. If more than one combination of transport block size and modulation scheme results in an effective channel code rate equally close to the code rate indicated by the CQI index, only the combination with the smallest of such transport block sizes is relevant.

CQI index	modulation	code rate x 1024	Efficiency	
0		out of range		
1	QPSK	78	0.1523	
2	QPSK	120	0.2344	
3	QPSK	193	0.3770	
4	QPSK	308	0.6016	
5	QPSK	449	0.8770	
6	QPSK	602	1.1758	
7	16QAM	378	1.4766	
8	16QAM	490	1.9141	
9	16QAM	616	2.4063	
10	64QAM	466	2.7305	
11	64QAM	567	3.3223	
12	64QAM	666	3.9023	
13	64QAM	772	4.5234	
14	64QAM	873	5.1152	
15	64QAM	948	5.5547	

Standards Table 5.2.2.1-2: 4-bit CQI Table

CQI index	modulation	code rate x 1024	Efficiency	
0		out of range		
1	QPSK	78	0.1523	
2	QPSK	193	0.3770	
3	QPSK	449	0.8770	
4	16QAM	378	1.4766	
5	16QAM	490	1.9141	
6	16QAM	616	2.4063	
7	64QAM	466	2.7305	
8	64QAM 567		3.3223	
9	64QAM	666	3.9023	
10	10 64QAM 772		4.5234	
11	11 64QAM 873		5.1152	
12	256QAM	256QAM 711		
13	256QAM	256QAM 797		
14	256QAM	885	6.9141	
15	256QAM	948	7.4063	

Standards Table 5.2.2.1-3: 4-bit CQI Table 2

CQI index	modulation	code rate x 1024	Efficiency
0		out of range	
1	QPSK	30	0.0586
2	QPSK	50	0.0977
3	QPSK	78	0.1523
4	QPSK	120	0.2344
5	QPSK	193	0.3770
6	QPSK	308	0.6016
7	QPSK	449	0.8770
8	QPSK	PSK 602	
9	16QAM	16QAM 378	
10	16QAM	490	1.9141
11	11 16QAM 616		2.4063
12	12 64QAM 466		2.7305
13	64QAM	567	3.3223
14	64QAM	666	3.9023
15	64QAM	772	4.5234

Standards Table 5.2.2.1-4: 4-bit CQI Table 3

# 3.9.12 Efficiency

In NetSim efficiency is calculated per the Shannon rate as

$$Efficiency = log_2(1 + \frac{E_b}{N_0})$$

Where  $\frac{E_b}{N_0}$  is the ratio of the signal to noise in linear power scale, while SNR is the ratio in logarithmic decibel scale.

# 3.9.13 Modulation order, target code rate, and TBS determination

To determine the modulation order, target code rate, and transport block size(s) in the physical downlink shared channel, the UE shall first.

- read the 5-bit modulation and coding scheme field ( $I_{MCS}$ ) in the DCI to determine the modulation order ( $Q_m$ ) and target code rate (R) based on the procedure defined in Subclause 5.1.3.1, and
- read *redundancy version* field (*rv*) in the DCI to determine the redundancy version.

and second

- the UE shall use the number of layers (v), the total number of allocated PRBs before rate matching ( $n_{PRB}$ ) to determine to the transport block size based on the procedure defined in Subclause 5.1.3.2.

The UE may skip decoding a transport block in an initial transmission if the effective channel code rate is higher than 0.95, where the effective channel code rate is defined as the number of downlink information bits (including CRC bits) divided by the number of physical channel bits on PDSCH.

The UE is not expected to handle any transport blocks (TBs) in a 14 consecutive-symbol duration for normal CP (or 12 for extended CP) ending at the last symbol of the latest PDSCH transmission within an active BWP on a serving cell whenever

$$2^{\max(0,\mu-\mu')} \cdot \sum_{i \in S} \left[ \frac{C_i'}{L_i} \right] x_i \cdot F_i > \left[ \frac{X}{4} \right] \cdot \frac{1}{R_{LBRM}} \cdot TBS_{LBRM}$$

where, for the serving cell,

- S is the set of TBs belonging to PDSCH(s) that are partially or fully contained in the consecutive-symbol duration
  - for the ith TB
  - Ci' is the number of scheduled code blocks for as defined in [5, 38.212].
  - L<sub>i</sub> is the number of OFDM symbols assigned to the PDSCH
  - $x_i$  is the number of OFDM symbols of the PDSCH contained in the consecutive-symbol duration
  - $F_i = \max_{j=0,\dots,J-1} (\min(k_{0,i}^j + E_i^j, N_{cb,i}))$  based on the values defined in Subclause 5.4.2.1 [5, TS 38.212]
    - $k_{0,i}^{j}$  is the starting location of RV for the *j*th transmission
    - $E_i^j = \min(E_r)$  of the scheduled code blocks for the jth transmission
    - N<sub>ch,i</sub> is the circular buffer length
    - J-1 is the current (re)transmission for the *i*th TB
  - $\mu'$  corresponds to the subcarrier spacing of the BWP (across all configured BWPs of a carrier) that has the largest configured number of PRBs
    - in case there is more than one BWP corresponding to the largest configured number of PRBs, μ' follows the BWP with the largest subcarrier spacing.
  - $\mu$  corresponds to the subcarrier spacing of the active BWP
  - R<sub>LBRM</sub> = 2/3 as defined in Subclause 5.4.2.1 [5, TS 38.212]

- TBS<sub>LBRM</sub> as defined in Subclause 5.4.2.1 [5, TS 38.212]
- X as defined for downlink in Subclause 5.4.2.1 [5, TS 38.212].

If the UE skips decoding, the physical layer indicates to higher layer that the transport block is not successfully decoded.

Within a cell group, a UE is not required to handle PDSCH(s) transmissions in slot  $s_j$  in serving cell-j, and for j = 0,1,2... J-1, slot  $s_j$  overlapping with any given point in time, if the following condition is not satisfied at that point in time:

$$\sum_{j=0}^{J-1} \frac{\sum_{m=0}^{M-1} V_{j,m}}{T_{slot}^{\mu(j)}} \le DataRate$$

where,

- *J* is the number of configured serving cells belonging to a frequency range
- for the *j-th* serving cell,
  - *M* is the number of TB(s) transmitted in slot s<sub>i</sub>.
  - $T_{s/ot}^{\mu(j)} = 10^{-3}/2^{\mu(j)}$ , where  $\mu(j)$  is the numerology for PDSCH(s) in slot  $s_j$  of the j-th serving cell.
  - for the *m*-th TB,  $V_{j,m} = C' \cdot \left| \frac{A}{C} \right|$ 
    - A is the number of bits in the transport block as defined in Subclause 7.2.1 [5, TS 38.212]
    - *C* is the total number of code blocks for the transport block defined in Subclause 5.2.2 [5, TS 38.212].
    - C' is the number of scheduled code blocks for the transport block as defined in Subclause 5.4.2.1 [5, TS 38.212]
- *DataRate* [Mbps] is computed by the approximate maximum data rate given by Subclause 4.1.2 in [13, TS 38.306] from the band/band combination signaling for the J carriers, including the scaling factor *f(i)*.

For a *j*-th serving cell, if higher layer parameter *processingType2Enabled* of *PDSCH-ServingCellConfig* is configured for the serving cell and set to *enable*, or if at least one  $I_{MCS} > W$  for a PDSCH, where W = 28 for MCS tables 5.1.3.1-1 and 5.1.3.1-3, and W = 27 for MCS table 5.1.3.1-2, the UE is not required to handle PDSCH transmissions, if the following condition is not satisfied:

$$\frac{\sum_{m=0}^{M-1} V_{j,m}}{L \times T_{s}^{\mu}} \leq DataRateCC$$

where

- L is the number of symbols assigned to the PDSCH

- M is the number of TB(s) in the PDSCH
- $T_{\scriptscriptstyle S}^{\mu}=\frac{10^{-3}}{2^{\mu}\cdot N_{\scriptscriptstyle Symb}^{\scriptscriptstyle Slot}}$  where  $\mu$  is the numerology of the PDSCH
- for the *m*-th TB,  $V_{j,m} = C' \cdot \left| \frac{A}{C} \right|$ 
  - A is the number of bits in the transport block as defined in Subclause 7.2.1 [5, TS 38.212]
  - C is the total number of code blocks for the transport block defined in Subclause 5.2.2 [5, TS 38.212]
  - C' is the number of scheduled code blocks for the transport block as defined in Subclause 5.4.2.1 [5, TS 38.212]
- DataRateCC [Mbps] is computed by the approximate maximum data rate given by Subclause 4.1.2 in [13, TS 38.306] from the band/band combination signaling for the serving cell, including the scaling factor *f(i)*.

## 3.9.13.1 Modulation order and target code rate determination

For the PDSCH scheduled by a PDCCH with DCI format 1\_0 or format 1\_1 with CRC scrambled by C-RNTI, MCS-C-RNTI, TC-RNTI, CS-RNTI, SI-RNTI, RA-RNTI, or P-RNTI, or for the PDSCH scheduled without corresponding PDCCH transmissions using the higher-layer-provided PDSCH configuration *SPS-config*,

if the higher layer parameter *mcs-Table* given by *PDSCH-Config* is set to 'qam256', and the PDSCH is scheduled by a PDCCH with DCI format 1 1 with CRC scrambled by C-RNTI

- the UE shall use  $I_{MCS}$  and Table 5.1.3.1-2 to determine the modulation order  $(Q_m)$  and Target code rate (R) used in the physical downlink shared channel.

elseif the UE is not configured with MCS-C-RNTI, the higher layer parameter *mcs-Table* given by *PDSCH-Config* is set to 'qam64LowSE', and the PDSCH is scheduled by a PDCCH in a UE-specific search space with CRC scrambled by C-RNTI

- the UE shall use  $I_{MCS}$  and **Table 5.1.3.1-3** to determine the modulation order  $(Q_m)$  and Target code rate (R) used in the physical downlink shared channel.

elseif the UE is configured with MCS-C-RNTI, and the PDSCH is scheduled by a PDCCH with CRC scrambled by MCS-C-RNTI

- the UE shall use  $I_{MCS}$  and **Table 5.1.3.1-3** to determine the modulation order  $(Q_m)$  and Target code rate (R) used in the physical downlink shared channel.

elseif the UE is not configured with the higher layer parameter *mcs-Table* given by *SPS-config*, the higher layer parameter *mcs-Table* given by *PDSCH-Config* is set to 'qam256',

- if the PDSCH is scheduled by a PDCCH with DCI format 1\_1 with CRC scrambled by CS-RNTI or
- if the PDSCH is scheduled without corresponding PDCCH transmission using SPS-config,
- the UE shall use  $I_{MCS}$  and **Table 5.1.3.1-2** to determine the modulation order  $(Q_m)$  and Target code rate (R) used in the physical downlink shared channel.

elseif the UE is configured with the higher layer parameter *mcs-Table* given by *SPS-config* set to 'qam64LowSE'

- if the PDSCH is scheduled by a PDCCH with CRC scrambled by CS-RNTI or
- if the PDSCH is scheduled without corresponding PDCCH transmission using SPS-config,
- the UE shall use  $I_{MCS}$  and **Table 5.1.3.1-3** to determine the modulation order  $(Q_m)$  and Target code rate (R) used in the physical downlink shared channel.

#### else

- the UE shall use  $I_{MCS}$  and **Table 5.1.3.1-1** to determine the modulation order  $(Q_m)$  and Target code rate (R) used in the physical downlink shared channel.

end

The UE is not expected to decode a PDSCH scheduled with P-RNTI, RA-RNTI, SI-RNTI and  $Q_m > 2$ 

MCS Index	Modulation Order	Target code Rate <i>R</i> x [1024]	Spectral	
<b>I</b> мсs	Qm	efficiency		
0	2	120	0.2344	
1	2	157	0.3066	
2	2	193	0.3770	
3	2	251	0.4902	
4	2	308	0.6016	
5	2	379	0.7402	
6	2	449	0.8770	
7	2	526	1.0273	
8	2	602	1.1758	
9	2	679	1.3262	
10	4	340	1.3281	
11	4	378	1.4766	
12	4	434	1.6953	
13	4	490	1.9141	
14	4	553	2.1602	
15	4	616	2.4063	
16	4	658	2.5703	
17	6	438	2.5664	
18	6	466	2.7305	
19	6	517	3.0293	
20	6	567	3.3223	
21	6	616	3.6094	
22	6	666	3.9023	
23	6	719	4.2129	
24	6	772	4.5234	
25	6	822	4.8164	
26	6	873	5.1152	
27	6	910 5.3320		
28	6	948 5.5547		
29	2	Reserved		
30	4	Reserved		
31	6	Reserve	d	

Standards Table 5.1.3.1-1: MCS index table 1 for PDSCH

MCS Index	Modulation Order	Target code Rate R x [1024] Spectral		
Imcs	Qm	efficiency		
0	2	120	0.2344	
1	2	193	0.3770	
2	2	308	0.6016	
3	2	449	0.8770	
4	2	602	1.1758	
5	4	378	1.4766	
6	4	434	1.6953	
7	4	490	1.9141	
8	4	553	2.1602	
9	4	616	2.4063	
10	4	658	2.5703	
11	6	466	2.7305	
12	6	517	3.0293	
13	6	567	3.3223	
14	6	616	3.6094	
15	6	666	3.9023	
16	6	719	4.2129	
17	6	772	4.5234	
18	6	822	4.8164	
19	6	873	5.1152	
20	8	682.5	5.3320	
21	8	711	5.5547	
22	8	754	5.8906	
23	8	797	6.2266	
24	8	841	6.5703	
25	8	885	6.9141	
26	8	916.5 <b>7.1602</b>		
27	8	948 <b>7.4063</b>		
28	2	Reserved		
29	4	Reserve		
30	6	Reserved		
31	8	Reserved		

Standards Table 5.1.3.1-2: MCS index table 2 for PDSCH

MCS Index	Modulation Order	Target code Rate <i>R</i> x [1024] Spectral			
Imcs	Qm	efficiency			
0	2	30 <b>0.0586</b>			
1	2	40	0.0781		
2	2	50	0.0977		
3	2	64	0.1250		
4	2	78	0.1523		
5	2	99	0.1934		
6	2	120	0.2344		
7	2	157	0.3066		
8	2	193	0.3770		
9	2	251	0.4902		
10	2	308	0.6016		
11	2	379	0.7402		
12	2	449	0.8770		
13	2	526	1.0273		
14	2	602	1.1758		
15	4	340	1.3281		
16	4	378	1.4766		
17	4	434 <b>1.6953</b>			
18	4	490 1.9141			
19	4	553	2.1602		
20	4	616	2.4063		
21	6	438	2.5664		
22	6	466	2.7305		
23	6	517	3.0293		
24	6	567	3.3223		
25	6	616	3.6094		
26	6	666	3.9023		
27	6	719 <b>4.2129</b>			
28	6	772 <b>4.5234</b>			
29	2	Reserved			
30	4	Reserved			
31	6	Reserved			

Standards Table 5.1.3.1-3: MCS index table 3 for PDSCH

## 3.9.14 Transport block size (TBS) determination

The procedure for TBS determination is standardized in TS 38.214 Section 5.1.3.2 (DL) and 6.1.4.2 (UL). The standard specifies the TBS determination through Step 1, Step 2, Step 3, and Step 4, all which are implemented in NetSim.

In case the higher layer parameter <code>maxNrofCodeWordsScheduledByDCI</code> indicates that two codeword transmission is enabled, then one of the two transport blocks is disabled by DCI format

 $1_{-}1$  if  $I_{MCS} = 26$  and if  $rv_{id} = 1$  for the corresponding transport block. If both transport blocks are enabled, transport block 1 and 2 are mapped to codeword 0 and 1 respectively. If only one transport block is enabled, then the enabled transport block is always mapped to the first codeword.

For the PDSCH assigned by a PDCCH with DCI format 1\_0 or format 1\_1 with CRC scrambled by C-RNTI, MCS-C-RNTI, TC-RNTI, CS-RNTI, or SI-RNTI, if **Table 5.1.3.1-2** is used and  $0 \le I_{MCS} \le 27$   $65 \le I_{MCS} \le 27$ , or a table other than **Table 5.1.3.1-2** is used and  $0 \le I_{MCS} \le 28$   $65 \le I_{MCS} \le 28$ , the UE shall, except if the transport block is disabled in DCI format 1\_1, first determine the TBS as specified below:

- 1) The UE shall first determine the number of REs ( $N_{RE}$ )  $N_{RE}$ ) within the slot.
  - A UE first determines the number of REs allocated for PDSCH within a PRB ( $N_{RE}$ ) by  $N_{RE}^{'} = N_{sc}^{RB} \cdot N_{symb}^{sh} N_{DMRS}^{PRB} N_{oh}^{PRB}$ , where  $N_{sc}^{RB} = 12$  is the number of subcarriers in a physical resource block,  $N_{symb}^{sh}$  N<sub>symb</sub><sup>slot</sup> is the number of symbols of the PDSCH allocation within the slot,  $N_{DMRS}^{PRB}$  N<sub>symb</sub><sup>PRB</sup> is the number of REs for DM-RS per PRB in the scheduled duration including the overhead of the DM-RS CDM groups without data, as indicated by DCI format 1\_1 or as described for format 1\_0 in Subclause 5.1.6.2, and  $N_{oh}^{PRB}$  N<sub>oh</sub><sup>PRB</sup> is the overhead configured by higher layer parameter  $N_{so}^{PRB}$  is not configured (a value from 0, 6, 12, or 18), the  $N_{oh}^{PRB}$  is set to 0. If the PDSCH is scheduled by PDCCH with a CRC scrambled by SI-RNTI, RA-RNTI or P-RNTI,  $N_{oh}^{PRB}$  is assumed to be 0.
  - A UE determines the total number of REs allocated for PDSCH ( $N_{RE}$ )N<sub>RE</sub>) by  $N_{RE} = \min\left(156, N_{RE}^{'}\right) \cdot n_{PRB} \text{ N}_{RE} = \overline{N}_{RE}^{'} * n_{PRB}, \text{ where } n_{PRB} \text{ is the total number of allocated}$  PRBs for the UE.
- 2) Intermediate number of information bits ( $N_{info}$ ) TBS<sub>temp</sub>)is obtained by  $N_{info} = N_{RE} \cdot R \cdot Q_m \cdot v$ TBS<sub>temp</sub> =  $N_{RE} * R * Q_m * v$ .

If 
$$N_{\inf o} \le 3824$$

Use step 3 as the next step of the TBS determination.

else

Use step 4 as the next step of the TBS determination.

end if

- 3) When  $N_{\inf o} \leq 3824$ , TBS is determined as follows
  - quantized intermediate number of information bits  $N_{\inf o}' = \max \left( 24, 2^n \cdot \left\lfloor \frac{N_{\inf o}}{2^n} \right\rfloor \right)$ , where  $n = \max(3, \lfloor \log_2(N_{\inf o}) \rfloor 6)$ .
  - use **Table 5.1.3.2-1** find the closest TBS that is not less than  $N_{\mathrm{inf}\,o}^{'}$  .

Index	TBS	Index	TBS	Index	TBS	Index	TBS
1	24	31	336	61	1288	91	3624
2	32	32	352	62	1320	92	3752
3	40	33	368	63	1352	93	3824
4	48	34	384	64	1416		
5	56	35	408	65	1480		
6	64	36	432	66	1544		
7	72	37	456	67	1608		
8	80	38	480	68	1672		
9	88	39	504	69	1736		
10	96	40	528	70	1800		
11	104	41	552	71	1864		
12	112	42	576	72	1928		
13	120	43	608	73	2024		
14	128	44	640	74	2088		
15	136	45	672	75	2152		
16	144	46	704	76	2216		
17	152	47	736	77	2280		
18	160	48	768	78	2408		
19	168	49	808	79	2472		
20	176	50	848	80	2536		
21	184	51	888	81	2600		
22	192	52	928	82	2664		
23	208	53	984	83	2728		
24	224	54	1032	84	2792		
25	240	55	1064	85	2856		
26	256	56	1128	86	2976		
27	272	57	1160	87	3104		
28	288	58	1192	88	3240		

29	304	59	1224	89	3368	
30	320	60	1256	90	3496	

**Standards Table**: TBS for  $N_{\inf o} \le 3824$ 

- 4) When  $N_{\inf o} > 3824$ , TBS is determined as follows.
  - quantized intermediate number of information bits

$$N_{\inf o} = \max\left(3840, 2^n \times round\left(\frac{N_{\inf o} - 24}{2^n}\right)\right)$$
, where  $n = \lfloor \log_2(N_{\inf o} - 24) \rfloor - 5$  and ties in the

round function are broken towards the next largest integer.

- if  $R \le 1/4$ 

$$TBS = 8 \cdot C \cdot \left[ \frac{N'_{\text{inf }o} + 24}{8 \cdot C} \right] - 24$$
, where  $C = \left[ \frac{N'_{\text{inf }o} + 24}{3816} \right]$ 

else

if 
$$N'_{inf,a} > 8424$$

$$TBS = 8 \cdot C \cdot \left[ \frac{N'_{\text{inf }o} + 24}{8 \cdot C} \right] - 24$$
, where  $C = \left[ \frac{N'_{\text{inf }o} + 24}{8424} \right]$ 

Else

$$TBS = 8 \cdot \left\lceil \frac{N'_{\inf o} + 24}{8} \right\rceil - 24$$

end if

end if

else if **Table 5.1.3.1-2** is used and  $28 \le I_{MCS} \le 31$ ,

- the TBS is assumed to be as determined from the DCI transported in the latest PDCCH for the same transport block using  $0 \le I_{MCS} \le 27\,67 \le I_{MCS} \le 27$ . If there is no PDCCH for the same transport block using  $0 \le I_{MCS} \le 27\,67 \le I_{MCS} \le 27$ , and if the initial PDSCH for the same transport block is semi-persistently scheduled, the TBS shall be determined from the most recent semi-persistent scheduling assignment PDCCH.

else

- the TBS is assumed to be as determined from the DCI transported in the latest PDCCH for the same transport block using  $0 \le I_{MCS} \le 28\,68 \le I_{MCS} \le 28$ . If there is no PDCCH for the same transport block using  $0 \le I_{MCS} \le 28\,68 \le I_{MCS} \le 28$ , and if the initial PDSCH for the same transport block is semi-persistently scheduled, the TBS shall be determined from the most recent semi-persistent scheduling assignment PDCCH.

The UE is not expected to receive a PDSCH assigned by a PDCCH with CRC scrambled by SI-RNTI with a TBS exceeding 2976 bits.

For the PDSCH assigned by a PDCCH with DCI format 1\_0 with CRC scrambled by P-RNTI, or RA-RNTI, TBS determination follows the steps 1-4 with the following modification in step 2: a scaling  $N_{\inf o} = S \cdot N_{RE} \cdot R \cdot Q_m \cdot v$  is applied in the calculation of  $N_{info}$ , where the scaling factor is determined based on the TB scaling field in the DCI as in **Table 5.1.3.2-2**.

TB scaling field	Scaling factor S
00	1
01	0.5
10	0.25
11	-

Standards Table 5.1.3.2-2: Scaling factor of N<sub>info</sub> for P-RNTI and RA-RNTI

The NDI and HARQ process ID, as signalled on PDCCH, and the TBS, as determined above, shall be reported to higher layers.

## 3.9.15 CA Configuration Table (based on TR 38 716 01-01 Rel 16 NR)

The Intraband CA configuration is based on TR  $38716\ 01-01\ Rel\ 16\ NR$ . The interband CA configuration is based on  $38\ 716\ 02-00$  for 2 bands DL / x bands UL, and TR  $38.716\ 03\ 01$  for 3 bands DL and 1 band UL.

Carrier aggregation can be configured in the gNB's Physical layer properties. Following are the various configuration options that are available:

TDD Bands								
CA Configuration Table								
CA Configuration	CA	CA Type	Frequency	Uplink Low	Uplink High			
OA Configuration	Count	OA Type	Range	(MHz)	(MHz)			
	INTER_BAND_CA							
CA_2DL_1UL_n39_n41         2         CA1, CA2         FR1         1880, 2496         1920, 2690								
CA_2DL_2UL_n39_n41	2	CA1, CA2	FR1	1880, 2496	1920, 2690			
CA_2DL_1UL_n41_n79	2	CA1, CA2	FR1	2496, 4400	2690, 5000			

CA_2DL_2UL_n41_n79	2	CA1, CA2	FR1	2496, 4400	2690, 5000	
CA_2DL_1UL_n40_n41	2	CA1, CA2	FR1	2300, 2496	2400, 2690	
CA_2DL_2UL_n40_n41	2	CA1, CA2	FR1	2300, 2496	2400, 2690	
CA_2DL_1UL_n50_n78	2	CA1, CA2	FR1	1432, 3300	1517, 3800	
CA_2DL_2UL_n50_n78	2	CA1, CA2	FR1	1432, 3300	1517, 3800	
CA_2DL_1UL_n41_n50	2	CA1, CA2	FR1	2496, 1432	2690, 1517	
CA_2DL_2UL_n41_n50	2	CA1, CA2	FR1	2496, 1432	2690, 1517	
CA_2DL_1UL_n39_n79	2	CA1, CA2	FR1	1880, 4400	1920, 5000	
CA_2DL_2UL_n39_n79	2	CA1, CA2	FR1	1880, 4400	1920, 5000	
CA_2DL_1UL_n40_n78	2	CA1, CA2	FR1	2300, 3300	2400, 3800	
CA_2DL_2UL_n40_n78	2	CA1, CA2	FR1	2300, 3300	2400, 3800	
CA_2DL_1UL_n40_n79	2	CA1, CA2	FR1	2300, 4400	2400, 5000	
CA_2DL_2UL_n40_n79	2	CA1, CA2	FR1	2300, 4400	2400, 5000	
CA_2DL_1UL_n77_n258	2	CA1, CA2	FR1, FR2	3300, 24250	4200, 27500	
CA_2DL_2UL_n77_n258	2	CA1, CA2	FR1, FR2	3300, 24250	4200, 27500	
CA_2DL_1UL_n78_n258	2	CA1, CA2	FR1, FR2	3300, 24250	3800, 27500	
CA_2DL_2UL_n78_n258	2	CA1, CA2	FR1, FR2	3300, 24250	3800, 27500	
CA_2DL_1UL_n79_n258	2	CA1, CA2	FR1, FR2	4400, 24250	5000, 27500	
CA_2DL_2UL_n79_n258	2	CA1, CA2	FR1, FR2	4400, 24250	5000, 27500	
CA_2DL_1UL_n78_n257	2	CA1, CA2	FR1, FR2	3300, 26500	3800, 29500	
CA_2DL_2UL_n78_n257	2	CA1, CA2	FR1, FR2	3300, 26500	3800, 29500	
CA_2DL_1UL_n41_n260	2	CA1, CA2	FR1, FR2	2496, 37000	2690, 40000	
CA_2DL_2UL_n41_n260	2	CA1, CA2	FR1, FR2	2496, 37000	2690, 40000	
INTRA_BAND_CONTIGOUOUS_CA						
CA_2DL_n41C_1UL_n41A	2	CA1, CA2	FR1	2496, 2496	2690, 2690	
CA_2DL_n257G_2UL_n257G	2	CA1, CA2	FR2	26500, 26500	29500, 29500	
CA_3DL_n257H_3UL_n257G	3	CA1, CA2, CA3	FR2	26500, 26500, 26500	29500, 29500, 29500	
CA_3DL_n257H_3UL_n257H	3	CA1, CA2, CA3	FR2	26500, 26500, 26500	29500, 29500, 29500	
CA_4DL_n257I_4UL_n257G	4	CA1, CA2, CA3, CA4	FR2	26500, 26500, 26500, 26500	29500, 29500, 29500, 29500	
CA_4DL_n257I_4UL_n257H	4	CA1, CA2, CA3, CA4	FR2	26500, 26500, 26500, 26500	29500, 29500, 29500, 29500	
CA_4DL_n257I_4UL_n257I	4	CA1, CA2, CA3, CA4	FR2	26500, 26500, 26500, 26500	29500, 29500, 29500, 29500	

		CA1, CA2,		26500, 26500,	29500, 29500,
CA_5DL_n257J_5UL_n257G	5	CA3, CA4,	FR2	26500, 26500,	29500, 29500,
		CA5		26500	29500
		CA1, CA2,		26500, 26500,	29500, 29500,
CA_5DL_n257J_5UL_n257H	5	CA3, CA4,	FR2	26500, 26500,	29500, 29500,
		CA5		26500	29500
		CA1, CA2,		26500, 26500,	29500, 29500,
CA_5DL_n257J_5UL_n257I	5	CA3, CA4,	FR2	26500, 26500,	29500, 29500,
		CA5		26500	29500
		CA1, CA2,		26500, 26500,	29500, 29500,
CA_5DL_n257J_5UL_n257J	5	CA3, CA4,	FR2	26500, 26500,	29500, 29500,
		CA5		26500	29500
		CA1, CA2,		26500, 26500,	29500, 29500,
CA_6DL_n257K_6UL_n257G	6	CA3, CA4,	FR2	26500, 26500,	29500, 29500,
		CA5, CA6		26500, 26500	29500, 29500
CA_6DL_n257K_6UL_n257H	6	CA1, CA2,	FR2	26500, 26500,	29500, 29500,
		CA3, CA4,		26500, 26500,	29500, 29500,
		CA5, CA6		26500, 26500	29500, 29500
CA_6DL_n257K_6UL_n257I	6	CA1, CA2,	FR2	26500, 26500,	29500, 29500,
		CA3, CA4,		26500, 26500,	29500, 29500,
		CA5, CA6		26500, 26500	29500, 29500
CA_6DL_n257K_6UL_n257J	6	CA1, CA2,	FR2	26500, 26500,	29500, 29500,
		CA3, CA4,		26500, 26500,	29500, 29500,
		CA5, CA6		26500, 26500	29500, 29500
		CA1, CA2,		26500, 26500,	29500, 29500,
CA_6DL_n257K_6UL_n257K	6	CA3, CA4,	FR2	26500, 26500,	29500, 29500,
		CA5, CA6		26500, 26500	29500, 29500
		CA1, CA2,		26500, 26500,	29500, 29500,
CA_7DL_n257L_7UL_n257G	7	CA3, CA4,	FR2	26500, 26500,	
		CA5, CA6,		26500, 26500,	
		CA7		26500	29500
CA_7DL_n257L_7UL_n257H	7	CA1, CA2,	FR2	26500, 26500,	29500, 29500,
		CA3, CA4,		26500, 26500,	29500, 29500,
		CA5, CA6,		26500, 26500,	29500, 29500,
		CA7		26500	29500
CA_7DL_n257L_7UL_n257I	7	CA1, CA2,	FR2	26500, 26500,	29500, 29500,
		CA3, CA4,		26500, 26500,	29500, 29500,
		CA5, CA6,		26500, 26500,	29500, 29500,
		CA7		26500	29500

CA_7DL_n257L_7UL_n257J  7  CA3, CA4, CA5, CA6, CA7  CA7  CA1, CA2, CA3, CA4, CA3, CA4, CA3, CA4, CA5, CA6, CA7  CA3, CA4, CA3, CA4, CA5, CA6, CA7  CA3, CA4, CA5, CA6, CA7  CA4, CA5, CA6, CA7  CA5, CA6, CA7  CA7  CA7  CA7  CA1, CA2, CA5, CA6, CA7  CA7  CA1, CA2, CA5, CA6, CA7  CA3, CA4, CA5, CA6, CA7  CA3, CA4, CA5, CA6, CA7  CA4, CA5, CA6, CA6, CA7  CA5, CA6, CA7  CA7  CA7  CA7  CA7  CA1, CA2, CA5, CA6, CA6, CA7  CA5, CA6, CA7  CA7  CA7  CA7  CA7  CA7  CA7  CA7
CA5, CA6, CA7  CA7  CA1, CA2, CA3, CA4, CA5, CA6, CA7  CA3, CA4, CA5, CA6, CA6, CA7  CA7  CA3, CA4, CA5, CA6, CA6, CA7  CA7  CA7  CA7  CA1, CA2, CA5, CA6, CA6, CA7  CA7  CA7  CA1, CA2, CA5, CA6, CA7  CA3, CA4, CA5, CA6, CA7  CA3, CA4, CA5, CA6, CA6, CA7  CA3, CA4, CA5, CA6, CA6, CA7  CA5, CA6, CA7  CA7  CA7  CA1, CA2, CA5, CA6, CA6, CA7  CA5, CA6, CA7  CA7  CA1, CA2, CA5, CA6, CA7  CA7  CA1, CA2, CA5, CA6, CA6, CA7  CA3, CA4, CA5, CA6, CA6, CA7  CA5, CA6, CA7  CA6, CA7  CA7  CA7  CA7  CA1, CA2, CA8  CA3, CA4, CA5, CA6, CA6, CA7, CA8  CA7, CA8  CA7, CA8  CA1, CA2, CA8  CA7, CA8  CA1, CA2, CA6, CA6, CA7, CA8  CA1, CA2, CA6, CA7, CA8  CA26500, 26500, 29500, 2
CA7 26500 29500  CA1, CA2, CA3, CA4, CA5, CA6, CA7  CA3, CA4, CA7  CA3, CA4, CA2, CA7  CA1, CA2, CA5, CA6, CA7  CA3, CA4, CA2, CA3, CA4, CA5, CA6, CA7  CA3, CA4, CA5, CA6, CA6, CA7  CA3, CA4, CA5, CA6, CA6, CA7  CA3, CA4, CA5, CA6, CA7  CA7  CA3, CA4, CA5, CA6, CA7  CA7  CA7  CA7  CA7  CA7  CA7  CA7
CA_7DL_n257L_7UL_n257K  CA3, CA4, CA5, CA6, CA7  CA7  CA3, CA4, CA2, CA7  CA3, CA4, CA2, CA3, CA4, CA2, CA3, CA4, CA5, CA6, CA7  CA3, CA4, CA2, CA3, CA4, CA5, CA6, CA7  CA5, CA6, CA7  CA7  CA3, CA4, CA2, CA3, CA4, CA5, CA6, CA7  CA7  CA7  CA7  CA3, CA4, CA2, CA3, CA4, CA5, CA6, CA7  CA7  CA7  CA7  CA1, CA2, CA4, CA5, CA6, CA7  CA7  CA3, CA4, CA5, CA6, CA7  CA3, CA4, CA5, CA6, CA7  CA3, CA4, CA5, CA6, CA6, CA7  CA3, CA4, CA5, CA6, CA6, CA7  CA3, CA4, CA5, CA6, CA6, CA7  CA5, CA6, CA6, CA6, CA6, CA6, CA7, CA8  CA7, CA8  CA1, CA2, CA6, CA6, CA6, CA6, CA7, CA8  CA1, CA2, CA8  CA3, CA4, CA4, CA5, CA6, CA6, CA6, CA6, CA7, CA8  CA5, CA6, CA6, CA6, CA6, CA6, CA7, CA8  CA1, CA2, CA8  CA1, CA2, CA6, CA6, CA6, CA6, CA6, CA7, CA8  CA1, CA2, CA6, CA6, CA6, CA6, CA6, CA7, CA8  CA1, CA2, CA6, CA6, CA6, CA6, CA6, CA6, CA7, CA8  CA1, CA2, CA6, CA6, CA6, CA6, CA6, CA7, CA8  CA1, CA2, CA6, CA6, CA6, CA6, CA6, CA6, CA7, CA8  CA1, CA2, CA6, CA6, CA6, CA6, CA6, CA7, CA8  CA1, CA2, CA6, CA6, CA6, CA6, CA6, CA7, CA8  CA1, CA2, CA6, CA6, CA6, CA6, CA7, CA8  CA2, CA6, CA6, CA6, CA6, CA6, CA6, CA6, CA7, CA8  CA5, CA6, CA6, CA6, CA6, CA6, CA6, CA6, CA6
CA_7DL_n257L_7UL_n257K  CA3, CA4, CA5, CA6, CA7  CA7  CA3, CA4, CA2, CA7  CA3, CA4, CA2, CA3, CA4, CA2, CA3, CA4, CA5, CA6, CA7  CA3, CA4, CA2, CA3, CA4, CA4, CA5, CA6, CA7  CA7  CA3, CA4, CA2, CA3, CA4, CA5, CA6, CA7  CA5, CA6, CA7  CA7  CA7  CA3, CA4, CA2, CA3, CA4, CA5, CA6, CA7  CA7  CA7  CA7  CA1, CA2, CA7  CA3, CA4, CA5, CA6, CA7  CA7  CA3, CA4, CA5, CA6, CA7  CA4, CA5, CA6, CA6, CA7  CA5, CA6, CA6, CA6, CA6, CA7  CA7, CA8  CA7, CA8  CA3, CA4, CA4, CA5, CA6, CA6, CA7, CA8  CA7, CA8  CA1, CA2, CA6, CA6, CA6, CA7, CA8  CA1, CA2, CA6, CA6, CA6, CA6, CA7, CA8  CA1, CA2, CA8, CA4, CA5, CA6, CA6, CA7, CA8  CA1, CA2, CA8, CA4, CA5, CA6, CA6, CA7, CA8  CA1, CA2, CA6, CA6, CA6, CA6, CA7, CA8  CA1, CA2, CA6, CA6, CA6, CA6, CA7, CA8  CA1, CA2, CA6, CA6, CA6, CA6, CA6, CA7, CA8  CA1, CA2, CA6, CA6, CA6, CA6, CA6, CA6, CA7, CA8  CA1, CA2, CA6, CA6, CA6, CA6, CA6, CA7, CA8  CA1, CA2, CA6, CA6, CA6, CA6, CA6, CA7, CA8  CA1, CA2, CA6, CA6, CA6, CA6, CA6, CA7, CA8  CA1, CA2, CA6, CA6, CA6, CA6, CA6, CA7, CA8  CA1, CA2, CA6, CA6, CA6, CA6, CA6, CA7, CA8  CA1, CA2, CA6, CA6, CA6, CA6, CA6, CA7, CA8  CA1, CA2, CA6, CA6, CA6, CA6, CA7, CA8  CA2, CA6, CA6, CA6, CA6, CA6, CA6, CA6, CA7, CA8  CA5, CA6, CA6, CA6, CA6, CA6, CA6, CA6, CA6
CA_7DL_n257L_7UL_n257K 7 CA5, CA6, CA7 26500, 29500
CA7 26500 29500  CA1, CA2, CA3, CA4, CA5, CA6, CA7  CA3, CA4, CA7  CA7 26500, 26500, 29500, 29500, 29500, 29500, 26500  CA7 26500, 26500, 29500, 29500, 29500, 26500  CA7 26500, 26500, 29500, 29500, 29500, 26500  CA3, CA4, CA2, CA3, CA4, CA5, CA6, CA5, CA6, CA7, CA8  CA3, CA4, CA2, CA3, CA4, CA5, CA6, CA7, CA8  CA7, CA8 26500, 26500, 295
CA_7DL_n257L_7UL_n257L  7  CA1, CA2, CA3, CA4, CA5, CA6, CA7  CA5, CA6, CA7  CA1, CA2, CA5, CA6, CA7  CA1, CA2, CA5, CA6, CA7  CA1, CA2, CA3, CA4, CA2, CA3, CA4, CA3, CA4, CA3, CA4, CA3, CA4, CA5, CA6, CA5, CA6, CA5, CA6, CA7, CA8  CA1, CA2, CA3, CA4, CA5, CA6, CA7, CA8  CA1, CA2, CA5, CA6, CA6, CA7, CA8  CA1, CA2, CA5, CA6, CA7, CA8  CA1, CA2, CA5, CA6, CA6, CA7, CA8  CA2, CA4, CA5, CA6, CA5, CA6, CA7, CA8  CA5, CA6, CA6, CA6, CA6, CA7, CA8  CA6500, 26500, 26500, 29500, 2
CA_7DL_n257L_7UL_n257L  7  CA3, CA4, CA5, CA6, CA6, CA7  CA5, CA6, CA7  CA1, CA2, CA3, CA4, CA5, CA6, CA5, CA6, CA5, CA6, CA7, CA8  CA1, CA2, CA3, CA4, CA4, CA5, CA6, CA7, CA8  CA3, CA4, CA4, CA5, CA6, CA5, CA6, CA7, CA8  CA1, CA2, CA3, CA4, CA4, CA5, CA6, CA7, CA8  CA3, CA4, CA4, CA5, CA6, CA5, CA6, CA7, CA8  CA1, CA2, CA3, CA4, CA4, CA5, CA6, CA7, CA8  CA3, CA4, CA2, CA4, CA5, CA6, CA5, CA6, CA5, CA6, CA7, CA8  CA3, CA4, CA4, CA2, CA5, CA6, CA5, CA6, CA5, CA6, CA7, CA8  CA3, CA4, CA2, CA4, CA5, CA6, CA5, CA6, CA5, CA6, CA5, CA6, CA7, CA8  CA3, CA4, CA2, CA4, CA5, CA6, CA5, CA6, CA5, CA6, CA5, CA6, CA5, CA6, CA7, CA8  CA3, CA4, CA2, CA4, CA5, CA5, CA6, CA7, CA8  CA3, CA4, CA2, CA4, CA5, CA5, CA6, CA5, CA5, CA6, CA5, CA6, CA5, CA5, CA6, CA5, CA5, CA5, CA5, CA5, CA5, CA5, CA5
CA5, CA6, CA7 26500, 26500, 29500, 29500, 29500, 26500, 26500, 29
CA7 26500 29500  CA1, CA2, CA3, CA4, CA5, CA6, CA7, CA8  CA7, CA8  CA1, CA2, 26500, 26500, 29500, 29500, 29500, 29500, 26500, 26500, 26500, 29
CA_8DL_n257M_8UL_n257G  8  CA3, CA4, CA5, CA6, CA7, CA8  CA7, CA8  CA3, CA4, FR2  26500, 26500, 29500, 29500, 29500, 29500, 26500, 26500, 26500, 2950
CA_8DL_n257M_8UL_n257G 8 CA5, CA6, CA6, CA7, CA8 26500, 26500, 29
CA_8DL_n257M_8UL_n257G 8 CA5, CA6, CA6, CA7, CA8 26500, 26500, 29
CA1, CA2, 26500, 26500, 29500, 29500,
CA3 CA4 26500 26500 29500 29500
27.6, 57.1, 57.0
CA_8DL_n257M_8UL_n257H 8 CA5, CA6, FR2 26500, 26500, 29500, 29500,
CA7, CA8 26500, 26500 29500, 29500
CA1, CA2, 26500, 26500, 29500, 29500,
CA 3DI 1057M SUI 1057I 0 CA3, CA4, ED2 26500, 26500, 29500, 29500,
CA_8DL_n257M_8UL_n257I 8 CA5, CA6, FR2 26500, 26500, 29500, 29500,
CA7, CA8 26500, 26500 29500, 29500
CA1, CA2, 26500, 26500, 29500, 29500,
CA_8DL_n257M_8UL_n257J 8 CA3, CA4, FR2 26500, 26500, 29500, 29500,
CA_8DL_n257M_8UL_n257J 8 CA5, CA6, FR2 26500, 26500, 29500, 29500,
CA7, CA8 26500, 26500 29500, 29500
CA1, CA2, 26500, 26500, 29500, 29500,
CA_8DL_n257M_8UL_n257K 8 CA3, CA4, FR2 26500, 26500, 29500, 29500,
CA5, CA6, T1237M_00E_11237K
CA7, CA8 26500, 26500 29500, 29500
CA1, CA2, 26500, 26500, 29500, 29500,
CA_8DL_n257M_8UL_n257L 8 CA3, CA4, FR2 26500, 26500, 29500, 29500,
CA5, CA6, CA6, 26500, 26500, 29500, 29500,
CA7, CA8 26500, 26500 29500, 29500
CA1, CA2, 26500, 26500, 29500, 29500,
CA_8DL_n257M_8UL_n257M 8 CA3, CA4, FR2 26500, 26500, 29500, 29500,
CA5, CA6, T1237M 26500, 26500, 29500, 29500,
CA7, CA8 26500, 26500 29500, 29500

CA_n258B	2	CA1, CA2	FR2	24250, 24250	27500, 27500
CA_n258C	3	CA1, CA2, CA3	FR2	24250, 24250, 24250	27500, 27500, 27500
CA_n258D	2	CA1, CA2	FR2	24250, 24250	27500, 27500
CA_n258E	3	CA1, CA2, CA3	FR2	24250, 24250, 24250	27500, 27500, 27500
CA_n258F	4	CA1, CA2, CA3, CA4	FR2	24250, 24250, 24250, 24250	27500, 27500, 27500, 27500
CA_n258G	2	CA1, CA2	FR2	24250, 24250	27500, 27500
CA_n258H	3	CA1, CA2, CA3	FR2	24250, 24250, 24250	27500, 27500, 27500
CA_n258I	4	CA1, CA2, CA3, CA4	FR2	24250, 24250, 24250, 24250	27500, 27500, 27500, 27500
CA_n258J	5	CA1, CA2, CA3, CA4, CA5	FR2	24250, 24250, 24250, 24250, 24250	27500, 27500, 27500, 27500, 27500
CA_n258K	6	CA1, CA2, CA3, CA4, CA5, CA6	FR2	24250, 24250, 24250, 24250, 24250, 24250	27500, 27500, 27500, 27500, 27500, 27500
CA_n258L	7	CA1, CA2, CA3, CA4, CA5, CA6, CA7	FR2	24250, 24250, 24250, 24250, 24250, 24250, 24250	27500, 27500, 27500, 27500, 27500, 27500, 27500
CA_n258M	8	CA1, CA2, CA3, CA4, CA5, CA6, CA7, CA8	FR2	24250, 24250, 24250, 24250, 24250, 24250, 24250, 24250	27500, 27500,
		AND_NONCO			
CA_2DL_n41(2A)_1UL_n41A CA_n260(5A)	5	CA1, CA2, CA3, CA4,	FR1	2496, 2496 37000, 37000, 37000, 37000,	2690, 2690 40000, 40000, 40000, 40000,
		CA5		37000 37000, 37000,	40000 40000, 40000,
CA_n260(6A)	6	CA3, CA4, CA5, CA6	FR2	37000, 37000, 37000, 37000	40000, 40000, 40000, 40000
CA_n260(7A)	7	CA1, CA2, CA3, CA4, CA5, CA6, CA7	FR2	37000, 37000, 37000, 37000, 37000, 37000, 37000	40000, 40000, 40000, 40000, 40000, 40000, 40000

		CA1, CA2,		37000, 37000,	40000, 40000,
CA_n260(8A)	8	CA3, CA4,	FR2	37000, 37000,	40000, 40000,
		CA5, CA6,	· · · <del>-</del>	37000, 37000,	40000, 40000,
		CA7, CA8		37000, 37000	40000, 40000
CA_n260(2D)	4	CA1, CA2,	FR2	37000, 37000,	40000, 40000,
OA_11200(25)	7	CA3, CA4	1112	37000, 37000	40000, 40000
CA_n260(2G)	4	CA1, CA2,	FR2	37000, 37000,	40000, 40000,
OA_11200(20)		CA3, CA4	1112	37000, 37000	40000, 40000
		CA1, CA2,		37000, 37000,	40000, 40000,
CA_n260(3G)	6	CA3, CA4,	FR2	37000, 37000,	40000, 40000,
		CA5, CA6		37000, 37000	40000, 40000
		CA1, CA2,		37000, 37000,	40000, 40000,
CA_n260(4G)	8	CA3, CA4,	FR2	37000, 37000,	40000, 40000,
G/1_11200(10)		CA5, CA6,		37000, 37000,	40000, 40000,
		CA7, CA8		37000, 37000	40000, 40000
		CA1, CA2,		37000, 37000,	40000, 40000,
CA_n260(2H)	6	CA3, CA4,	FR2	37000, 37000,	40000, 40000,
		CA5, CA6		37000, 37000	40000, 40000
CA_n260(2O)	4	CA1, CA2,	FR2	37000, 37000,	40000, 40000,
_		CA3, CA4		37000, 37000	40000, 40000
		CA1, CA2,		37000, 37000,	40000, 40000,
CA_n260(3O)	6	CA3, CA4,	FR2	37000, 37000,	40000, 40000,
		CA5, CA6		37000, 37000	40000, 40000
		CA1, CA2,		37000, 37000,	40000, 40000,
CA_n260(4O)	8	CA3, CA4,	FR2	37000, 37000,	
_		CA5, CA6,			40000, 40000,
		CA7, CA8		37000, 37000	40000, 40000
		CA1, CA2,		37000, 37000,	40000, 40000,
CA_n260(2P)	6	CA3, CA4,	FR2	37000, 37000,	40000, 40000,
		CA5, CA6		37000, 37000	40000, 40000
		CA1, CA2,		37000, 37000,	40000, 40000,
		CA3, CA4,		37000, 37000,	40000, 40000,
04 000(:T)	40	CA5, CA6,	EDC	37000, 37000,	40000, 40000,
CA_n260(4P)	12	CA7, CA8,	FR2	37000, 37000,	40000, 40000,
		CA9, CA10,		37000, 37000,	40000, 40000,
		CA11,		37000, 37000	40000, 40000
		CA1 CA2		27000 27000	40000 40000
CA_n260(2Q)	8	CA1, CA2,	FR2	37000, 37000,	40000, 40000,
		CA3, CA4,		37000, 37000,	40000, 40000,

		CA5, CA6,		37000, 37000,	40000, 40000,
		CA7, CA8		37000, 37000	40000, 40000
		CA1, CA2,		27500, 27500,	28350, 28350,
CA_n261(2H)	6	CA3, CA4,	FR2	27500, 27500,	28350, 28350,
		CA5, CA6		27500, 27500	28350, 28350
		CA1, CA2,		27500, 27500,	28350, 28350,
CA n261(21)	8	CA3, CA4,	FR2	27500, 27500,	28350, 28350,
CA_n261(2I)	0	CA5, CA6,	FNZ	27500, 27500,	28350, 28350,
		CA7, CA8		27500, 27500	28350, 28350
CA_n261(2D)_n261A	4	CA1, CA2,	FR2	27500, 27500,	28350, 28350,
CA_11201(2D)_11201A	4	CA3, CA4	111/2	27500, 27500	28350, 28350
CA_n261(2G)_n261A	4	CA1, CA2,	FR2	27500, 27500,	28350, 28350,
CA_11201(2G)_11201A	4	CA3, CA4	TINZ	27500, 27500	28350, 28350
		CA1, CA2,		27500, 27500,	28350, 28350,
CA_n261(3G)_n261A	6	CA3, CA4,	FR2	27500, 27500,	28350, 28350,
		CA5, CA6		27500, 27500	28350, 28350
		CA1, CA2,		27500, 27500,	28350, 28350,
CA_n261(4G)_n261A	8	CA3, CA4,	FR2	27500, 27500,	28350, 28350,
CA_11261(4G)_11261A	O	CA5, CA6,	111/2	27500, 27500,	28350, 28350,
		CA7, CA8		27500, 27500	28350, 28350
CA_n261(2O)_n261A	4	CA1, CA2,	FR2	27500, 27500,	28350, 28350,
0A_11201(20)_11201A	_	CA3, CA4	1112	27500, 27500	28350, 28350
		CA1, CA2,		27500, 27500,	28350, 28350,
CA_n261(4O)_n261A	8	CA3, CA4,	FR2	27500, 27500,	28350, 28350,
6/1_11261(40)_11261/t		CA5, CA6,	1112	27500, 27500,	28350, 28350,
		CA7, CA8		27500, 27500	28350, 28350
		CA1, CA2,			
		CA3, CA4,		27500, 27500,	28350, 28350,
		CA5, CA6,		27500, 27500,	28350, 28350,
		CA7, CA8,		27500, 27500,	28350, 28350,
CA_n261(7O)_n261A	14	CA9, CA10,	FR2	27500, 27500,	28350, 28350,
		CA11,		27500, 27500,	28350, 28350,
		CA12,		27500, 27500,	28350, 28350,
		CA13,		27500, 27500	28350, 28350
		CA14			
		CA1, CA2,		27500, 27500,	28350, 28350,
CA_n261(2P)_n261A	6	CA3, CA4,	FR2	27500, 27500,	28350, 28350,
	CA5, CA6			27500, 27500	28350, 28350
CA_n261(2Q)_n261A	8	CA1, CA2,	FR2	27500, 27500,	28350, 28350,
_ · · · · <u>-</u>		CA3, CA4,		27500, 27500,	28350, 28350,

		CA5, CA6,		27500, 27500,	28350, 28350,
		CA7, CA8		27500, 27500	28350, 28350
		SINGLE_B	AND		
n34	1	CA1	FR1	2010	2025
n38	1	CA1	FR1	2570	2620
n39	1	CA1	FR1	1880	1920
n40	1	CA1	FR1	2300	2400
n41	1	CA1	FR1	2496	2690
n50	1	CA1	FR1	1432	1517
n51	1	CA1	FR1	1427	1432
n77	1	CA1	FR1	3300	4200
n78	1	CA1	FR1	3300	3800
n79	1	CA1	FR1	4400	5000
n257	1	CA1	FR2	26500	29500
n258	1	CA1	FR2	24250	27500
n260	1	CA1	FR2	37000	40000
n261	1	CA1	FR2	27500	28350
		FDD Ban	ds		
CA Configuration	CA Count	CA Type	Frequency Range	F_Low (MHz)	F_High (MHz)
	INTER_BAND_CA				
		INTER_BAN			
		INTER_BAN		1920	1980
CA n1A n8A	2	CA1_UL	D_CA	1920 880	1980 915
CA_n1A_n8A	2	CA1_UL			
CA_n1A_n8A	2	CA1_UL CA2_UL CA1_DL CA2_DL	D_CA	880	915
CA_n1A_n8A	2	CA1_UL CA2_UL CA1_DL CA2_DL CA1_UL	D_CA	880 2110 925 1920	915 2170
		CA1_UL CA2_UL CA1_DL CA2_DL CA1_UL CA2_UL	D_CA FR1	880 2110 925 1920 703	915 2170 960 1980 748
CA_n1A_n8A  CA_n1A_n28A	2	CA1_UL CA2_UL CA1_DL CA2_DL CA1_UL CA2_UL CA1_DL	D_CA	880 2110 925 1920 703 2110	915 2170 960 1980 748 2170
		CA1_UL CA2_UL CA1_DL CA2_DL CA1_UL CA2_UL CA1_DL CA1_DL CA1_DL	D_CA FR1	880 2110 925 1920 703 2110 758	915 2170 960 1980 748 2170 803
		CA1_UL CA2_UL CA1_DL CA2_DL CA1_UL CA2_UL CA1_DL CA1_DL CA1_DL CA2_DL	D_CA FR1	880 2110 925 1920 703 2110 758	915 2170 960 1980 748 2170 803
		CA1_UL CA2_UL CA1_DL CA2_DL CA1_UL CA2_UL CA1_DL CA1_DL CA2_DL CA2_DL CA2_DL	D_CA FR1	880 2110 925 1920 703 2110 758 1710 880	915 2170 960 1980 748 2170 803 1785 915
CA_n1A_n28A	2	CA1_UL CA2_UL CA1_DL CA2_DL CA1_UL CA2_UL CA1_DL CA2_UL CA1_DL CA2_DL CA1_UL CA1_UL	FR1	880 2110 925 1920 703 2110 758 1710 880 1805	915 2170 960 1980 748 2170 803 1785 915 1880
CA_n1A_n28A	2	CA1_UL CA2_UL CA1_DL CA2_DL CA1_UL CA2_UL CA1_DL CA2_UL CA1_DL CA2_DL CA1_UL CA2_DL	FR1	880 2110 925 1920 703 2110 758 1710 880 1805 925	915 2170 960 1980 748 2170 803 1785 915 1880 960
CA_n1A_n28A	2	CA1_UL CA2_UL CA1_DL CA2_DL CA1_UL CA2_UL CA1_DL CA2_DL CA1_DL CA2_DL CA1_UL CA2_DL CA1_UL CA2_UL CA1_UL CA2_UL	FR1	880 2110 925 1920 703 2110 758 1710 880 1805 925 1710	915 2170 960 1980 748 2170 803 1785 915 1880 960
CA_n1A_n28A	2	CA1_UL CA2_UL CA1_DL CA2_DL CA1_UL CA2_UL CA1_DL CA2_DL CA1_UL CA2_DL CA1_UL CA2_UL CA1_UL CA2_UL CA1_DL CA2_UL CA1_DL CA2_UL CA1_DL CA2_UL	FR1	880 2110 925 1920 703 2110 758 1710 880 1805 925 1710 703	915 2170 960 1980 748 2170 803 1785 915 1880 960 1785 748
CA_n1A_n28A CA_n3A_n8A	2	CA1_UL CA2_UL CA1_DL CA2_DL CA1_UL CA2_UL CA1_DL CA2_UL CA1_DL CA2_DL CA1_UL CA2_UL CA1_DL CA2_UL CA1_DL CA2_UL CA1_DL CA2_DL	FR1 FR1	880 2110 925 1920 703 2110 758 1710 880 1805 925 1710 703 1805	915 2170 960 1980 748 2170 803 1785 915 1880 960 1785 748 1880
CA_n1A_n28A CA_n3A_n8A	2	CA1_UL CA2_UL CA1_DL CA2_DL CA1_UL CA2_UL CA1_DL CA2_DL CA1_UL CA2_DL CA1_UL CA2_UL CA1_DL CA2_UL CA1_DL CA2_DL CA1_DL CA2_DL CA1_DL CA2_DL	FR1 FR1	880 2110 925 1920 703 2110 758 1710 880 1805 925 1710 703 1805 758	915 2170 960 1980 748 2170 803 1785 915 1880 960 1785 748 1880 803
CA_n1A_n28A  CA_n3A_n8A  CA_n3A_n28A	2 2	CA1_UL CA2_UL CA2_DL CA1_UL CA2_UL CA1_DL CA2_UL CA1_DL CA2_DL CA1_UL CA2_UL CA1_DL CA2_UL CA1_DL CA2_DL CA1_UL CA2_DL CA1_UL CA2_DL CA1_UL CA2_UL CA1_UL CA2_UL CA1_UL CA2_UL CA1_UL CA1_DL CA1_UL CA1_DL CA1_UL	FR1 FR1 FR1	880 2110 925 1920 703 2110 758 1710 880 1805 925 1710 703 1805 758 2500	915 2170 960 1980 748 2170 803 1785 915 1880 960 1785 748 1880 803 2570
CA_n1A_n28A CA_n3A_n8A	2	CA1_UL CA2_UL CA1_DL CA2_DL CA1_UL CA2_UL CA1_DL CA2_DL CA1_UL CA2_DL CA1_UL CA2_UL CA1_DL CA2_UL CA1_DL CA2_DL CA1_DL CA2_DL CA1_DL CA2_DL	FR1 FR1	880 2110 925 1920 703 2110 758 1710 880 1805 925 1710 703 1805 758	915 2170 960 1980 748 2170 803 1785 915 1880 960 1785 748 1880 803

		CA2_DL		758	803
		CA1_UL		2500	2570
CA_n7A_n66A	2	CA2_UL	FR1	1710	1780
CA_II/A_II00A	2	CA1_DL	FKI	2620	2690
		CA2_DL		2110	2200
		CA1_UL		832	862
CA n20A n28A	CA2_UL	FR1	703	748	
CA_n20A_n28A	2	CA1_DL	FKI	791	821
		CA2_DL		758	803
		CA1_UL		1850	1915
CA_n25A_n71A	2	CA2_UL	FR1	663	698
CA_IIZ9A_II/ IA	2	CA1_DL	FKI	1930	1995
		CA2_DL		617	652
		CA1_UL		1710	1780
CA_n66A_n70A	2	CA2_UL	FR1	1695	1710
CA_II00A_II/UA	2	CA1_DL	FKI	2110	2200
		CA2_DL		1995	2020
		CA1_UL		1710	1780
CA n66B n70A	2	CA2_UL	FR1	1695	1710
CA_n66B_n70A		CA1_DL		2110	2200
		CA2_DL		1995	2020
		CA1_UL		1710	1780
CA_n66(2A)_n71A	2	CA2_UL	FR1	663	698
CA_1100(2A)_1171A	2	CA1_DL	ΓKI	2110	2200
		CA2_DL		617	652
		CA1_UL		1695	1710
CA_n70A_n71A	2	CA2_UL	FR1	663	698
CA_II/OA_II/ IA	2	CA1_DL	FIXI	1995	2020
		CA2_DL		617	652
		CA1_UL		1710	1780
		CA2_UL		1695	1710
CA_n66A_n70A_n71A	3	CA3_UL	FR1	663	698
OA_IIIOA_III IA	3	CA1_DL	1 1 1 1	2110	2200
		CA2_DL		1995	2020
		CA3_DL		617	652
		CA1_UL		1710	1780
		CA2_UL		1695	1710
CA_n66B_n70A_n71A	3	CA3_UL	FR1	663	698
		CA1_DL		2110	2200
		CA2_DL		1995	2020

CA_n68(2A)_n70A_n71A  CA1_UL CA2_UL CA3_UL CA3_UL CA3_UL CA3_DL CA4_UL CA2_DL CA4_DL			CA3_DL		617	652
CA_n66(2A)_n70A_n71A         3         CA3_UL CA1_DL CA2_DL CA3_DL         FR1         663 2110         698 2200           INTRA_BAND_CONTIGUOUS_CA         652           CA_n1B         2         CA1_UL CA1_DL CA2_DL         1920 FR1         1980 1980           CA_n7B         2         CA1_UL CA1_DL CA2_DL         2500 2570         2570 2500         2570 2570           CA_n66B         2         CA1_UL CA2_DL         2620 2620         2690 2690           CA_n71B         2         CA1_UL CA2_DL         1710 200         1780 200           CA_n66B         2         CA1_UL CA2_DL         663 698 663 698         698 663 698           CA_n71B         2         CA1_UL CA2_DL         663 698 663 698         698 663 698 663 698           CA_n71B         2         CA2_UL CA1_DL CA2_DL         663 698 663 698         698 663 698 698           CA_n71B         2         CA2_UL CA1_DL CA2_DL         663 698 698 698         698 698 698           CA_n71B         2         CA1_UL CA1_DL CA2_DL         1710 1710 1785         1782 663 698 698 698 698 698 699 690 690 690 690 690 690 690 690 690			CA1_UL		1710	1780
CA_n66(2A)_n70A_n71A  3			CA2_UL		1695	1710
CA_n71B  CA_	OA .: CC(OA) :: 70A :: 74A		CA3_UL	ED4	663	698
CA3_DL   617   652	CA_n66(2A)_n70A_n71A	3	CA1_DL	FRI	2110	2200
CA_n1B			CA2_DL		1995	2020
CA_n1B       2       CA1_UL CA2_UL CA1_DL CA2_DL       1920 1980       1980         CA_n1B       2       CA2_UL CA1_DL CA2_DL       FR1       1920 2110       1980         CA_n7B       2       CA1_UL CA1_DL CA2_DL       2500 2620       2570       2570         CA_n66B       2       CA1_UL CA1_DL CA2_DL       1710       1780       2690         CA_n66B       2       CA2_UL CA1_DL CA2_DL       1710       1780       200         CA_n71B       2       CA2_UL CA1_DL CA2_DL       FR1       663 698       698         CA_n71B       2       CA2_UL CA1_DL CA2_DL       FR1       663 698       698         CA_n71B       2       CA2_UL CA1_DL CA2_DL       FR1       1710       1782         CA_n71B       2       CA2_UL CA1_DL CA2_DL       FR1       1710       1782         CA_n71B       2       CA1_UL CA2_DL       1710       1782         CA_n71B       2       CA1_UL CA2_DL       FR1       1710       1785         CA_n71B       2       CA1_UL CA1_DL       2500       2570         CA_n1DL CA2_DL       2500       2570       2570         CA_n1DL CA2_DL       2500       2570       2570         C			CA3_DL		617	652
CA_n1B       2       CA2_UL CA1_DL CA2_DL       FR1       1920       1980         CA_1_DL CA2_DL       2110       2170       2170         CA_n7B       2       CA1_UL CA2_DL       2500       2570         CA_1_DL CA2_DL       2620       2690       2670         CA_n66B       2       CA1_UL CA2_DL       1710       1780         CA_n66B       2       CA1_UL CA2_DL       1710       1780         CA_n71B       2       CA2_UL CA1_DL CA2_DL       663       698         CA_1_UL CA2_DL       663       698       617       652         CA_n71B       2       CA1_UL CA2_DL       663       698         CA_1_UL CA2_DL       663       698       617       652         CA_n1DL CA2_DL       671       652       652         CA_n2_UL CA1_DL CA2_DL       1710       1785       1880         CA_n66(2A)       2       CA1_UL CA1_DL CA2_DL       2500       2570         CA_n66(2A)       2       CA1_UL CA1_DL CA2_DL       1850       1915         CA_n66(2A)       2       CA2_UL CA2_UL       1850       1915         CA_n66(2A)       2       CA2_UL CA2_UL       1710       1780 <th></th> <th>INTRA</th> <th>BAND_CON</th> <th>TIGUOUS_CA</th> <th></th> <th></th>		INTRA	BAND_CON	TIGUOUS_CA		
CA_n1B       2       CA1_DL CA2_DL       FR1       2110       2170         CA2_DL CA2_DL CA2_UL CA1_DL CA2_DL       2500       2570       2570         CA_n66B       2       CA1_DL CA2_DL CA2_DL       2500       2570         CA_n66B       2       CA1_UL CA1_DL CA2_DL       1710       1780         CA_n66B       2       CA2_UL CA1_DL CA2_DL       1710       1780         CA_n71B       2       CA2_UL CA1_DL CA2_DL       663       698         CA_n71B       2       CA1_UL CA2_UL CA1_DL CA2_DL       1710       1780         CA_n71B       2       CA1_UL CA2_DL       1710       1782         CA_n71B       2       CA1_UL CA2_DL       1710       1785         CA_n71B       2       CA1_UL CA2_DL       2500       2570         CA_n71B       2       CA1_UL CA2_DL       1850       1915         CA_n71CA)       2       CA2_UL CA1_DL CA2_DL       1850       1915         CA2_DL			CA1_UL		1920	1980
CA_n7B  CA1_DL CA2_DL CA1_U CA2_DL 2110 2170 2170 2170 2170 2170 2170 2170	CA n1B	2	CA2_UL	ED1	1920	1980
CA_n7B       2       CA1_UL CA2_UL CA1_DL CA2_DL       2500 2570 2620 2620 2690       2570 2690 2690         CA_n66B       2       CA1_UL CA2_DL CA2_DL CA2_DL       1710 1780       1780 1780         CA_n66B       2       CA1_UL CA2_DL CA2_DL       1710 2200       1780 200         CA_n71B       2       CA1_UL CA2_DL CA2_DL       663 663 698 663 698 661 671 652       698 663 698 667 671         CA_n71B       CA1_UL CA2_DL CA2_DL       1710 1785 1880       1782 1710 1785 1880         CA_n3(2A)       CA1_UL CA2_DL CA2_DL CA2_DL       1710 1785 1880       1785 1880 2570 2620 2620 2690         CA_n7(2A)       CA1_UL CA2_DL CA2_DL       2500 2570 2620 2620 2690       2570 2620 2690 2690         CA_n25(2A)       CA2_UL CA1_DL CA2_DL       1850 1915 1930 1995       1915 1930 1995         CA_n66(2A)       2       CA2_UL CA1_UL CA1_UL CA2_DL       1710 1780       1780 1780	CA_IIIB	2	CA1_DL	FKI	2110	2170
CA_n7B       2       CA2_UL CA1_DL CA1_DL CA2_DL C			CA2_DL		2110	2170
CA_n7B       2       CA1_DL CA2_DL       FR1       2620       2690         CA2_DL CA2_DL       CA1_UL CA2_DL       1710       1780         CA_n66B       2       CA1_UL CA2_DL       1710       1780         CA1_UL CA2_DL       1710       1780       2200         CA1_UL CA2_DL       663       698       698         663       698       663       698         671       652       671       652         CA1_DL CA2_DL       671       1782         CA_n3(2A)       2       CA1_UL CA2_UL CA1_DL CA2_UL CA1_DL CA2_DL       1710       1785         CA_n7(2A)       2       CA1_UL CA2_UL CA1_DL CA2_DL       2500       2570         CA2_UL CA1_DL CA2_DL       2620       2690         CA2_DL       2620       2690         CA2_UL CA1_DL CA2_DL       1850       1915         CA2_UL CA1_DL CA2_DL       1930       1995         CA2_DL       1930       1995         CA2_DL       1710       1780         CA_n66(2A)       2       CA2_UL CA2_UL       1710       1780			CA1_UL		2500	2570
CA_n66B  CA_n66B  CA_n66B  CA_n71B  CA_	CA n7R	2	CA2_UL	ED1	2500	2570
CA_n66B  2  CA1_UL CA2_UL CA1_DL CA2_DL CA2_DL  2  CA1_UL CA2_DL CA2_DL CA2_DL CA2_DL CA1_DL CA1_DL CA2_DL CA1_DL CA1_DL CA1_DL CA2_DL CA1_DL CA2_DL CA1_DL CA2_DL CA1_DL CA1_DL CA2_DL CA1_DL CA1_DL CA1_DL CA1_DL CA2_DL CA1_DL	CA_II/B	CA1_DL	FKI	2620	2690	
CA_n66B       2       CA2_UL CA1_DL CA1_DL CA2_DL CA2_DL CA2_DL CA2_DL CA2_DL CA1_UL CA1_UL CA1_DL CA2_DL CA2_DL CA1_DL CA2_DL CA2_DL CA1_DL CA2_DL CA2_DL CA1_DL CA2_DL CA1_DL CA2_DL CA2_DL CA1_DL CA2_DL CA2_DL CA1_DL CA2_DL CA2_DL CA1_DL CA1_DL CA2_DL CA1_DL				2620	2690	
CA_n66B  2			CA1_UL		1710	1780
CA1_DL	CACCD	2	CA2_UL	ED4	1710	1780
CA_n71B  2  CA1_UL CA2_UL CA1_DL CA2_DL FR1  663 698 698 617 652 671 652  INTRA_BAND_NONCONTIGUOUS_CA  CA1_UL CA2_UL CA1_DL CA2_UL CA1_DL CA2_DL FR1  1710 1785 1880 1880  CA1_UL CA2_UL CA1_DL CA2_UL CA1_DL CA2_UL CA1_DL CA2_DL FR1  1850 1915 1930 1995  CA1_UL CA2_DL TR1  1850 1915 1930 1995  CA1_UL CA2_DL TR1  1850 1915 1930 1995 1930 1995  CA1_UL CA2_UL TR1 TR1 TR1 TR1 TR1 TR0 TR80 TR1	CA_noob		CA1_DL	FKI	2110	2200
CA_n71B       2       CA2_UL CA1_DL CA1_DL CA2_DL CA2_DL       FR1       663 617 652 652 652 671 652         CA_n3(2A)       EA_n3(2A)       CA1_UL CA2_UL CA2_UL CA1_DL CA2_DL CA2_DL CA2_DL CA2_DL CA1_DL CA2_DL CA2_			CA2_DL		2110	2200
CA_n71B  2			CA1_UL		663	698
CA1_DL	CA =74B	2	CA2_UL	ED4	663	698
CA1_UL   1710   1782   1710   1785   1880	CA_n/1B	2	CA1_DL	FRI	617	652
CA_n3(2A)  2  CA1_UL CA2_UL CA1_DL CA1_DL CA2_DL CA2_DL CA1_UL CA2_DL CA2_UL CA2_UL CA2_UL CA2_UL CA2_UL CA2_UL CA2_UL CA1_DL CA2_UL CA2_UL CA1_DL CA2_DL CA2_DL CA2_DL CA2_DL CA2_DL CA2_DL CA2_DL CA2_UL CA2_DL CA2_UL CA			CA2_DL		671	652
CA_n3(2A)       2       CA2_UL CA1_DL CA1_DL CA1_DL CA2_DL       FR1       1710 1785 1880 1880 1880 1880 1880 1880 1880 18		NTRA_B	AND_NONCC	NTIGUOUS_	CA	
CA_n3(2A)  2			CA1_UL		1710	1782
CA1_DL	CA n3/2A)	2	CA2_UL	ED1	1710	1785
CA_n7(2A)  2  CA1_UL CA2_UL CA1_DL CA2_DL CA2_DL  CA2_UL CA2_DL CA2_DL  CA2_UL CA2_DL CA2_UL CA2_UL CA2_UL CA2_UL CA2_UL CA2_UL CA2_UL CA2_UL CA2_UL CA1_DL CA2_UL	OA_113(2A)	2	CA1_DL	1 101	1805	1880
CA_n7(2A)       2       CA2_UL CA1_DL CA1_DL CA2_DL CA2_DL       FR1       2500 2690 2690 2690 2690         CA_n25(2A)       2       CA1_UL CA1_DL CA1_DL CA2_DL CA2_UL FR1       1710 1780 1780         CA_n66(2A)       2       CA2_UL FR1       1710 1780			CA2_DL		1805	1880
CA_n7(2A)  2 CA1_DL CA2_DL 2620 2690 2690  CA1_UL CA2_UL CA1_DL CA1_DL CA1_DL CA1_DL CA2_DL CA2_DL CA2_DL CA2_DL CA2_DL CA2_DL CA2_DL CA1_UL TR1 Tr10 Tr80 Tr80 Tr80 Tr80 Tr80 Tr80 Tr80 Tr8			CA1_UL		2500	2570
CA1_DL 2620 2690  CA2_DL 2620 2690  CA1_UL 1850 1915  CA2_UL 1850 1915  CA2_UL 1850 1915  CA2_DL 1930 1995  CA2_DL 1930 1995  CA1_UL 1710 1780  CA_n66(2A) 2 CA2_UL FR1 1710 1780	CA =7/2A\	2	CA2_UL	ED1	2500	2570
CA_n25(2A)  2  CA1_UL CA2_UL CA1_DL CA2_DL CA2_DL CA1_UL TR1  1850 1915 1930 1995 1995 CA1_UL T710 1780 CA_n66(2A)  2  CA2_UL FR1 1710 1780	CA_III (ZA)	2	CA1_DL	FIXI	2620	2690
CA_n25(2A)     2     CA2_UL CA1_DL CA1_DL CA2_DL     FR1     1850 1915 1930 1995 1995 1930 1995       CA2_DL CA1_UL CA1_UL CA2_DL     1710 1780 1780 1780       CA_n66(2A)     2     CA2_UL FR1     1710 1780			CA2_DL		2620	2690
CA_n25(2A)  2			CA1_UL		1850	1915
CA1_DL 1930 1995 CA2_DL 1930 1995  CA1_UL 1710 1780  CA_n66(2A) 2 CA2_UL FR1 1710 1780	CA n25(2A)	2	CA2_UL	ER1	1850	1915
CA1_UL 1710 1780 CA_n66(2A) 2 CA2_UL FR1 1710 1780	OA_IIZJ(ZA)		CA1_DL	TIXI	1930	1995
<b>CA_n66(2A)</b> 2 CA2_UL FR1 1710 1780			CA2_DL		1930	1995
_ , ,			CA1_UL		1710	1780
CA1_DL 2110 2200	CA_n66(2A)	2	CA2_UL	FR1	1710	1780
			CA1_DL		2110	2200

	CA2_DL	2110	2200

Table 3-7: CA Configuration Table

# 3.10 Supported max data rate

For NR, the approximate data rate for a given number of aggregated carriers in a band or band combination is computed as follows.

data rate (in Mbps) = 
$$10^{-6} \cdot \sum_{j=1}^{J} \left( v_{Layers}^{(j)} \cdot Q_m^{(j)} \cdot f^{(j)} \cdot R \frac{N_{PRB}^{BW(j),\mu} \cdot 12}{T_s^{\mu}} (1 - OH^{(j)}) \right)$$

wherein

J is the number of aggregated component carriers in a band or band combination  $R_{\text{max}} = 948/1024$ 

For the j-th Component Carrier,

 $v_{\scriptscriptstyle Layers}^{\scriptscriptstyle (j)}$  is the maximum number of supported layers given by higher layer parameter maxNumberMIMO-LayersPDSCH for downlink and maximum of higher layer parameters maxNumberMIMO-LayersCB-PUSCH and maxNumberMIMO-LayersNonCB-PUSCH for uplink.

 $\mathcal{Q}_{\scriptscriptstyle m}^{\scriptscriptstyle (j)}$  is the maximum supported modulation order given by higher layer parameter supportedModulationOrderDL for downlink and higher layer parameter supportedModulationOrderUL for uplink.

 $f^{(j)}$  is the scaling factor given by higher layer parameter *scalingFactor* and can take the values 1, 0.8, 0.75, and 0.4

 $\mu$  is the numerology (as defined in TS 38.211 [6])

 $T_s^\mu$  is the average OFDM symbol duration in a subframe for numerology  $\mu$ , i.e.  $T_s^\mu = \frac{10^{-3}}{14\cdot 2^\mu}$  Note that normal cyclic prefix is assumed, which has 14 ODFM symbols per slot or  $14\times 2^\mu$  symbols per millisecond.

 $N_{PRB}^{BW(j),\mu}$  is the maximum Resource Block allocation in bandwidth  $BW^{(j)}$  with numerology  $\mu$  as defined in 5.3 TS 38.101-1 [2] and 5.3 TS 38.101-2 [3], where  $BW^{(j)}$  is the UE supported maximum bandwidth in the given band or band combination. The number of subcarriers

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per physical resource block (PRB) is fixed to 12

 $OH^{(j)}$  is the overhead and takes the following values.

0.14, for frequency range FR1 for DL

0.18, for frequency range FR2 for DL

0.08, for frequency range FR1 for UL

0.10, for frequency range FR2 for UL

NOTE: Only one of the UL or SUL carriers (the one with the higher data rate) is counted for a cell operating SUL.

The approximate maximum data rate can be computed as the maximum of the approximate data rates computed using the above formula for each of the supported band or band combinations.

For EUTRA in case of MR-DC, the approximate data rate for a given number of aggregated carriers in a band or band combination is computed as follows.

Data rate (in Mbps) = 
$$10^{-3} \times \sum_{j=1}^{J} TBS_j$$

wherein

J is the number of aggregated EUTRA component carriers in MR-DC band combination.

 $TBS_j$  is the total maximum number of DL-SCH transport block bits received within a 1ms TTI for j-th CC, as derived from TS36.213 [22] based on the UE supported maximum MIMO layers for the j-th carrier, and based on the modulation order and number of PRBs based on the bandwidth of the j-th carrier.

The approximate maximum data rate can be computed as the maximum of the approximate data rates computed using the above formula for each of the supported band or band combinations.

For MR-DC, the approximate maximum data rate is computed as the sum of the approximate maximum data rates from NR and EUTRA.

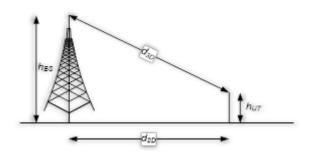
# 3.11 mmWave Propagation Models (Per 3GPPTR38.900 Channel Model)

#### 3.11.1 Pathloss

In NetSim the pathloss calculations are done:

- Every UE measurement report between each qNB and its associated UEs
- Every time a UE moves, between that UE and all gNBs in the network.

The pathloss models are summarized in **Table 7.4.1-1** and the distance definitions are indicated in **Figure 7.4.1-1** and **Figure 7.4.1-2**. Note that the distribution of the shadow fading is log-normal, and its standard deviation for each scenario is given in **Table 7.4.1-1**.



h<sub>BS</sub>

**Figure7.4.1-1:** Definition of  $d_{2D}$  and  $d_{3D}$  for outdoor UTs

**Figure 7.4.1-2:** Definition of  $d_{2D\text{-}out}$ ,  $d_{2D\text{-}in}$  and  $d_{3D\text{-}out}$ ,  $d_{3D\text{-}in}$  for indoor UTs

Note that

$$d_{\text{3D-out}} + d_{\text{3D-in}} = \sqrt{(d_{\text{2D-out}} + d_{\text{2D-in}})^2 + (h_{\text{BS}} - h_{\text{UT}})^2}$$
 (7.4-1)

		Table 7.4.1-1: Pathloss model		
Scenario	SOJN/SOJ	Pathloss [dB], $f_c$ is in GHz and $d$ is in meters, see note 6	Shadow fading std [dB]	Applicability range, antenna height default values
	ROS	$\begin{split} PL_{\rm RMa-LOS} = & \begin{cases} PL_1 & 10m \leq d_{\rm 2D} \leq d_{\rm BP} \\ PL_2 & d_{\rm BP} \leq d_{\rm 2D} \leq 10 {\rm km} \end{cases} \text{ see note 5} \\ \\ PL_1 = & 20 \log_{10} (40\pi d_{\rm 3D} f_c/3) + min(0.03h^{1.72}, 10) \log_{10} (d_{\rm 3D}) \\ & - min(0.044h^{1.72}, 14.77) + 0.002 \log_{10} (h) d_{\rm 3D} \end{cases} \\ \\ PL_2 = & PL_1(d_{\rm BP}) + 40 \log_{10} (d_{\rm 3D}/d_{\rm BP}) \end{split}$	$\sigma_{ m SF}=4$ $\sigma_{ m SF}=6$	$h_{\rm UT}=1.5m$
RMa	NLOS	$\begin{split} PL_{\text{RMa-NLOS}} &= max(PL_{\text{RMa-LOS}}, PL_{\text{RMa-NLOS}}')\\ & \text{for } 10m \leq d_{\text{2D}} \leq 5\text{km} \\ PL_{\text{RMa-NLOS}}' &= 161.04 - 7.1 \log_{10}(W) + 7.5 \log_{10}(h) \\ & - (24.37 - 3.7(h/h_{\text{BS}})^2) \log_{10}(h_{\text{BS}}) \\ & + (43.42 - 3.1 \log_{10}(h_{\text{BS}})) (\log_{10}(d_{\text{3D}}) - 3) \\ & + 20 \log_{10}(f_c) - (3.2(\log_{10}(11.75h_{\text{UT}}))^2 - 4.97) \end{split}$	$\sigma_{ m SF}=8$	width The applicability ranges: $5m \le h \le 50m$ $5m \le W \le 50m$ $10m \le h_{\rm BS} \le 150m$ $1m \le h_{\rm UT} \le 10m$

	ROS	$\begin{split} PL_{\text{UMa-LOS}} &= \begin{cases} PL_1 & 10m \leq d_{\text{2D}} \leq d_{\text{BP}}' \\ PL_2 & d_{\text{BP}}' \leq d_{\text{2D}} \leq 5 \text{km}, \text{ see note 1} \end{cases} \\ PL_1 &= 28.0 + 22 \log_{10}(d_{3\text{D}}) + 20 \log_{10}(f_c) \\ PL_2 &= 28.0 + 40 \log_{10}(d_{3\text{D}}) + 20 \log_{10}(f_c) \\ &- 9 \log_{10}((d_{\text{BP}}')^2 + (h_{\text{BS}} - h_{\text{UT}}')^2) \end{split}$	$\sigma_{ m SF}=4$	$1.5m \le h_{\rm UT}$ $\le 22.5m$ $h_{\rm BS} = 25m$
UMa	NLOS	$\begin{split} PL_{\text{UMa-NLOS}} &= max(PL_{\text{UMa-LOS}}, PL_{\text{UMa-NLOS}}')\\ \text{for } 10m \leq d_{\text{2D}} \leq 5\text{km} \\ PL_{\text{UMa-NLOS}}' &= 13.54 + 39.08\log_{10}(d_{\text{3D}}) + \\ &\qquad \qquad 20\log_{10}(f_c) - 0.6(h_{\text{UT}} - 1.5) \end{split}$	$\sigma_{ m SF}=6$	$1.5m \le h_{\mathrm{UT}}$ $\le 22.5m$ $h_{\mathrm{BS}} = 25\mathrm{m}$ Explanations: see note 3
		Optional PL = $32.4 + 20 \log_{10}(f_c) + 30 \log_{10}(d_{3D})$	$\sigma_{\rm SF} = 7.8$	
ıyon	ros	$\begin{split} PL_{\rm UMi-LOS} &= \begin{cases} PL_1 & 10m \leq d_{\rm 2D} \leq d_{\rm BP}' \\ PL_2 & d_{\rm BP}' \leq d_{\rm 2D} \leq 5  {\rm km},  {\rm see \; note \; 1} \end{cases} \\ PL_1 &= 32.4 + 21  log_{10}(d_{\rm 3D}) + 20  log_{10}(f_c) \\ PL_2 &= 32.4 + 40  log_{10}(d_{\rm 3D}) + 20  log_{10}(f_c) \\ &- 9.5  log_{10}((d_{\rm BP}')^2 + (h_{\rm BS} - h_{\rm UT})^2) \end{split}$	$\sigma_{ m SF}=4$	$1.5m \le h_{\rm UT}$ $\le 22.5m$ $h_{\rm BS} = 10m$
UMi - Street Canyon	NLOS	$\begin{split} PL_{\text{UMi-NLOS}} &= max(PL_{\text{UMi-LOS}}, PL_{\text{UMi-NLOS}}') \\ \text{for } 10m \leq d_{\text{2D}} \leq 5 \text{km} \\ \\ PL_{\text{UMi-NLOS}}' &= 35.3 \log_{10}(d_{\text{3D}}) + 22.4 \\ & + 21.3 \log_{10}(f_c) - 0.3(h_{\text{UT}} - 1.5) \end{split}$	$\sigma_{\rm SF} = 7.82$	$1.5m \le h_{\rm UT} \\ \le 22.5m \\ h_{\rm BS} = 10m$ Explanations: see note 4
		Optional PL = $32.4 + 20 \log_{10}(f_c) + 31.9 \log_{10}(d_{3D})$	$\sigma_{\rm SF}=8.2$	
	FOS	$PL_{\text{InH-LOS}} = 32.4 + 17.3 \log_{10}(d_{3D}) + 20 \log_{10}(f_c)$	$\sigma_{ m SF}=3$	$1m \le d_{\rm 3D} \le 150m$
InH - Office	NLOS	$PL_{\text{InH-NLOS}} = max(PL_{\text{InH-LOS}}, PL_{\text{InH-NLOS}}')$ $PL_{\text{InH-NLOS}}' = 38.3 \log_{10}(d_{3D}) + 17.30 + 24.9 \log_{10}(f_c)$	$\sigma_{\rm SF}=8.03$	$1m \le d_{\rm 3D} \le 150m$
		Optional $PL'_{\text{InH-NLOS}} = 32.4 + 20 \log_{10}(f_c) + 31.9 \log_{10}(d_{3D})$	$\sigma_{\rm SF} = 8.29$	$1m \le d_{\mathrm{3D}} \le 150m$

**Note 1:** Breakpoint distance  $d'_{BP} = 4 h'_{BS} h'_{UT} f_c/c$ , where  $f_c$  is the centre frequency in Hz,  $c = 3.0 \times 10^8$  m/s is the propagation velocity in free space, and  $h'_{BS}$  and  $h'_{UT}$  are the effective antenna heights at the BS and the UT, respectively. The effective antenna heights  $h'_{BS}$  and  $h'_{UT}$  are computed as follows:  $h'_{BS} = h_{BS} - h_E$ ,  $h'_{UT} = h_{UT} - h_E$ , where  $h_{BS}$  and  $h_{UT}$  are the actual antenna heights, and  $h_E$  is the effective environment height. For UMi  $h_E = 1.0$ m. For UMa  $h_E = 1$ m with a probability equal to  $1/(1+C(d_{2D}, h_{UT}))$  and chosen from a discrete uniform distribution uniform(12,15,...,( $h_{UT}$ -1.5)) otherwise. With  $C(d_{2D}, h_{UT})$  given by

$$C(d_{\mathrm{2D}},h_{\mathrm{UT}}) = egin{cases} 0 & ,h_{\mathrm{UT}} < 13m \ \left(rac{h_{\mathrm{UT}}-13}{10}
ight)^{1.5} g(d_{\mathrm{2D}}) & ,13m \leq h_{\mathrm{UT}} \leq 23m \end{cases}$$

where

$$g(d_{\mathrm{2D}}) = egin{cases} 0 & \text{, } d_{\mathrm{2D}} \leq 18m \ rac{5}{4} \left(rac{d_{\mathrm{2D}}}{100}
ight)^3 exp\left(rac{-d_{\mathrm{2D}}}{150}
ight) & \text{, } 18m < d_{\mathrm{2D}} \end{cases}$$

Note that  $h_{\rm E}$  depends on  $d_{\rm 2D}$  and  $h_{\rm UT}$  and thus needs to be independently determined for every link between BS sites and UTs. A BS site may be a single BS or multiple co-located BSs.

- **Note 2:** The applicable frequency range of the PL formula in this table is  $0.5 < f_c < f_H$  GHz, where  $f_H = 30$  GHz for RMa and  $f_H = 100$  GHz for all the other scenarios. It is noted that RMa pathloss model for >7 GHz is validated based on a single measurement campaign conducted at 24 GHz.
- **Note 3:** UMa NLOS pathloss is from TR36.873 with simplified format and PL<sub>UMa-LOS</sub> = Pathloss of UMa LOS outdoor scenario.
- **Note 4:** PL<sub>UMi-LOS</sub> = Pathloss of UMi-Street Canyon LOS outdoor scenario.
- **Note 5:** Break point distance  $d_{BP} = 2\pi h_{BS} h_{UT} f_c/c$ , where  $f_c$  is the centre frequency in Hz,  $c = 3.0 \times 10^8$  m/s is the propagation velocity in free space, and  $h_{BS}$  and  $h_{UT}$  are the antenna heights at the BS and the UT, respectively.
- **Note 6:**  $f_c$  denotes the center frequency normalized by 1GHz, all distance related values are normalized by 1m, unless it is stated otherwise.

# 3.11.2 LOS probability

The Line-Of-Sight (LOS) probabilities are given in **Table 7.4.2-1**.

Scenario	LOS probability (distance is in meters)
RMa	$Pr_{\text{LOS}} = \begin{cases} 1 & , d_{\text{2D-out}} \le 10m \\ exp\left(-\frac{d_{\text{2D-out}} - 10}{1000}\right) & , 10m < d_{\text{2D-out}} \end{cases}$
UMi - Street canyon	$Pr_{\text{LOS}} = \begin{cases} \frac{1}{d_{\text{2D-out}}} + exp\left(-\frac{d_{\text{2D-out}}}{36}\right) \left(1 - \frac{18}{d_{\text{2D-out}}}\right) & ,18m < d_{\text{2D-out}} \end{cases}$
Uma	$Pr_{\text{LOS}} = \begin{cases} \frac{18}{\left[\frac{18}{d_{\text{2D-out}}} + exp\left(-\frac{d_{\text{2D-out}}}{63}\right)\left(1 - \frac{18}{d_{\text{2D-out}}}\right)\right] \left(1 + C'(h_{\text{UT}})\frac{5}{4}\left(\frac{d_{\text{2D-out}}}{100}\right)^3 exp\left(-\frac{d_{\text{2D-out}}}{150}\right)\right) & , 18m < d_{\text{2D-out}} \end{cases}$ where $C'(h_{\text{UT}}) = \begin{cases} 0 & , h_{\text{UT}} \leq 13m \\ \left(\frac{h_{\text{UT}} - 13}{10}\right)^{1.5} & , 13m < h_{\text{UT}} \leq 23m \end{cases}$
Indoor - Mixed office	$Pr_{\text{LOS}} = \begin{cases} 1 & , d_{\text{2D-in}} \leq 1.2m \\ exp\left(-\frac{d_{\text{2D-in}} - 1.2}{4.7}\right) & , 1.2m < d_{\text{2D-in}} < 6.5m \\ exp\left(-\frac{d_{\text{2D-in}} - 6.5}{32.6}\right) \cdot 0.32 & , 6.5m \leq d_{\text{2D-in}} \end{cases}$
Indoor - Open office	$Pr_{\text{LOS}} = \begin{cases} 1 & , d_{\text{2D-in}} \le 5m \\ exp\left(-\frac{d_{\text{2D-in}} - 5}{70.8}\right) & , 5m < d_{\text{2D-in}} \le 49m \\ exp\left(-\frac{d_{\text{2D-in}} - 49}{211.7}\right) \cdot 0.54 & , 49m < d_{\text{2D-in}} \end{cases}$
Note: The L	OS probability is derived with assuming antenna heights of 3m for indoor, 10m

Standards Table 7.4.2-1: LOS probability

# 3.11.3 O2I penetration loss

# 3.11.3.1 O2I building penetration loss

for UMi, and 25m for Uma

The pathloss incorporating O2I building penetration loss is modelled as in the following:

$$PL = PL_b + PL_{tw} + PL_{in} + N(0, \sigma_P^2)$$
 (7.4-2)

where  $PL_b$  is the basic outdoor path loss given in Subclause 7.4.1, where  $d_{3D}$  is replaced by  $d_{3D-out}+d_{3D-in}$ .  $PL_{tw}$  is the building penetration loss through the external wall,  $PL_{in}$  is the inside loss dependent on the depth into the building, and  $\sigma_P$  is the standard deviation for the penetration loss.

PLtw is characterized as:

$$PL_{tw} = PL_{npi} - 10 \log_{10} \sum_{i=1}^{N} \left( p_i \times 10^{\frac{L_{material\_i}}{-10}} \right)$$
 (7.4-3)

 $\mathrm{PL}_{npi}$  is an additional loss is added to the external wall loss to account for non-perpendicular incidence;  $L_{material\_i} = a_{material\_i} + b_{material\_i} \cdot f$ , is the penetration loss of material i, example values of which can be found in **Table 7.4.3-1**;  $p_i$  is proportion of i-th materials, where  $\sum_{i=1}^{N} p_i = 1$ ; and N is the number of materials.

Material	Penetration loss [dB]
Standard multi-pane glass	$L_{\rm glass} = 2 + 0.2f$
IRR glass	$L_{\rm IIRglass} = 23 + 0.3f$
Concrete	$L_{\text{concrete}} = 5 + 4f$
Wood	$L_{\text{wood}} = 4.85 + 0.12f$
Note: f is in GHz	

Standards Table 7.4.3-1: Material penetration losses

Table 7.4.3-2 gives  $PL_{tw}$ ,  $PL_{in}$  and  $\sigma_P$  for two O2I penetration loss models. The O2I penetration is UT-specifically generated and is added to the SF realization in the log domain.

	Path loss through external wall: PL <sub>tw</sub> in [dB]	Indoor Ioss: PL <sub>in</sub> in [dB]	Standard deviation: σ <sub>P</sub> in [dB]
Low-loss model	$5 - 10 \log_{10} \left( 0.3 \cdot 10^{\frac{-L_{\text{glass}}}{10}} + 0.7 \right)$ $\cdot 10^{\frac{-L_{\text{concrete}}}{10}} \right)$	$0.5$ $d_{ m 2D-in}$	4.4
High-loss model	$5 - 10 \log_{10} \left( 0.7 \cdot 10^{\frac{-L_{\text{IIRglass}}}{10}} + 0.3 \right)$	$0.5$ $d_{ m 2D-in}$	6.5

Standards Table 7.4.3-2: O2I building penetration loss model

 $d_{\mathrm{2D-in}}$  is minimum of two independently generated uniformly distributed variables between 0 and 25 m for UMa and UMi-Street Canyon, and between 0 and 10 m for RMa.  $d_{\mathrm{2D-in}}$  shall be UT-specifically generated.

Both low-loss and high-loss models are applicable to UMa and UMi-Street Canyon.

Only the low-loss model is applicable to RMa.

#### 3.11.3.2 O2I model usage

The O2I Models such as Low Loss and High Loss are associated with the type of material used in the buildings and is used to calculate the penetration loss in case of an indoor scenario. In case of scenario where UE's are not inside a building these parameters will not have any impact on the results. In an indoor scenario, users will be able to notice difference in the SNR.

# 3.12 Additional Loss Model

Apart from the channel losses per the 3GPPTR38.900 specifications, NetSim allows modelling additional losses using MATLAB. This includes attenuation due to rain, fog, and gas.

Note that this implementation interfaces with MATLAB R2020(a/b). Lower versions of MATLAB are not directly supported.

The following is required to run these models:

- An installed version of MATLAB R2020(a/b) in the same system where NetSim is installed or in a different system in the same network.
- Registration of MATLAB as a COM server. Reference:
   <a href="https://in.mathworks.com/help/releases/R2020a/matlab/ref/comserver.html?s">https://in.mathworks.com/help/releases/R2020a/matlab/ref/comserver.html?s</a> tid=doc
   <a href="talign: talign: t

#### 3.12.1 Configuration

Additional Loss Model can be configured in the gNB's 5G\_RAN interface properties under channel models section of Physical Layer as shown below:

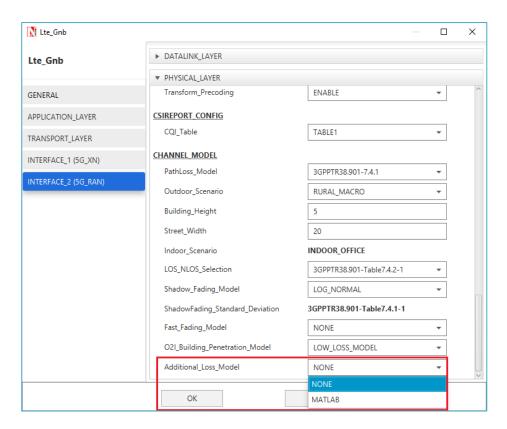


Figure 3-9: gNB >Interface (5G\_RAN) >Physical layer properties

Similarly, this can be configured in the eNB's LTE interface properties under channel models section of Physical Layer as shown below:

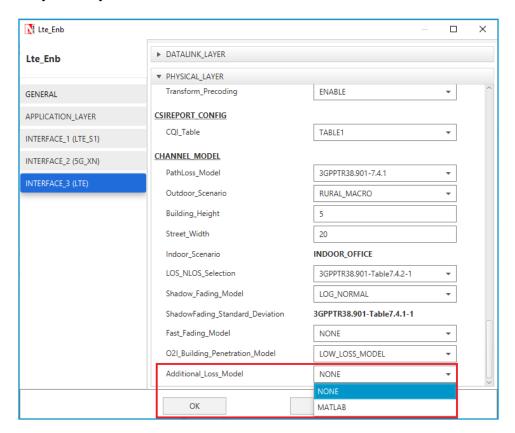


Figure 3-10: eNB >Interface (LTE) >Physical layer properties

Additional Loss Model is set to NONE by default. When MATLAB is selected, MATLAB MODEL drop down with options GAS, FOG, and RAIN will appear along with associated parameters as shown below:

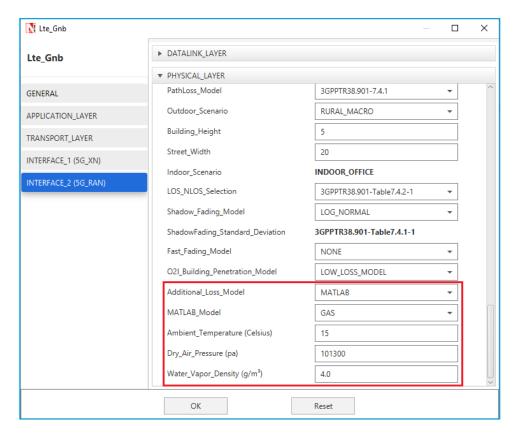


Figure 3-11: Additional Loss Model set to MATLAB in gNB >Interface (5G\_RAN) >Physical layer properties

Each model has associated parameters that can be configured, which is listed in the table below:

Additional Loss Model	Associated Parameters	Value
	Rain Rate (mm/hr)	16(default), Range 0 to 100
RAIN	Tilt Angle	0(default), Range -90 to 90
KAIN	Elevation Angle	0(default), Range -90 to 90
	Exceedance Rain (%)	0.01(default), Range 0.001 to 1
	Ambient Temperature (Celsius)	15(default), Range -50 to 50
GAS	Dry Air Pressure (pa)	101300(default), Range 50000 to 300000
	Water Vapor Density $(g/m^3)$	4(default), Range 1 to 10
FOG	Ambient Temperature (Celsius)	15(default), Range -50 to 50
rod	Liquid Water Density (( $g/m^3$ )	0.5(default), Range 0 to 5

Table 3-8: Parameters in the various MATLAB additional loss models

**Note**: Rain and Gas models support frequencies from 1 to 1000 GHz and Fog model supports frequencies from 10 to 1000 GHz only.

#### 3.12.2 Running Simulation

When Additional Loss Model option is set to MATLAB NetSim Simulation console waits for MATLAB Interface process to connect.

**Figure 3-12:** NetSim Simulation console waits for MATLAB Interface process to connect MATLAB Interface process can be started and connected to the running instance of NetSim simulation using one of the following methods depending on where MATLAB is installed:

- If MATLAB is installed in the same system where NetSim is installed. MATLAB Interface process can be launched directly from the design window of NetSim.
  - Go to Options Menu and select the Open MATLAB Interface option as shown below:



Click on the OK button when the following message is displayed.

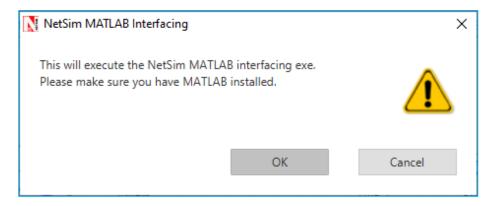


Figure 3-13: MATLAB Interface warning message

• If MATLAB is installed in a different system in the same network, then MATLABInterface.exe (present in <NetSim\_Install\_Directory>/bin folder), can be started in that system, manually from command prompt and the IP address of the system where NetSim simulation has started can be passed as an argument as shown below:

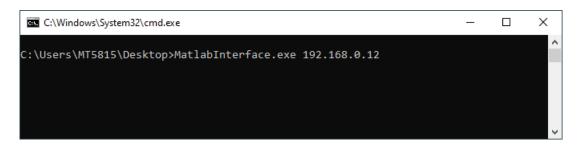


Figure 3-14: MATLAB interface over an IP address

In both above cases, the MATLAB Interface process starts MATLAB process (MATLAB command window will open in minimized state) after which simulation in NetSim will start. During the simulation communication between NetSim and MATLAB is established to send inputs from NetSim to MATLAB pathloss models and to receive pathloss from MATLAB to NetSim happens via the MATLAB Interface process as shown below:

```
C:\Program Files\NetSim\Pro_v13_0\bin\MatlabInterface.exe
Connecting MatlaD.

Connecting to NetSim Simulation Engine...1

Calling Matlab: addpath('C:\Users\MT5815\Documents\NetSim_13.0.24_64_pro_default\bin\bin_x64/matlab')

Calling Matlab: loss=gaspl(36.017357,3550000000.000000,15.000000,101300.000000,4.000000)

Loss = 0.000270
alling Matlab: loss=gaspl(36.017357,3550000000.000000,15.000000,101300.000000,4.000000)
       0.000270
alling Matlab: loss=gaspl(36.017357,3550000000.000000,15.000000,101300.000000,4.000000)
      = 0.000270
alling Matlab: loss=gaspl(101.357042,3550000000.000000,15.0000000,101300.0000000,4.000000)
oss = 0.000761
alling Matlab: loss=gaspl(201.179646,3550000000.000000,15.000000,101300.000000,4.000000)
      .̃0.001511
Calling Matlab: loss=gaspl(301.119993,3550000000.000000,15.0000000,101300.000000,4.000000)
alling Matlab: loss=gaspl(401.090077,3550000000.000000,15.0000000,101300.000000,4.000000)
oss = 0.003012
alling Matlab: loss=gaspl(501.072101,3550000000.000000,15.000000,101300.000000,4.000000)
oss = 0.003762
alling Matlab: loss=gaspl(601.060105,3550000000.000000,15.000000,101300.000000,4.000000)
oss = 0.004513
alling Matlab: loss=gaspl(701.051532,3550000000.000000,15.0000000,101300.000000,4.000000)
oss = 0.005264
alling Matlab: loss=gaspl(801.045099,3550000000.000000,15.000000,101300.000000,4.000000)
      = 0.006015
alling Matlab: loss=gaspl(901.040093,3550000000.000000,15.000000,101300.000000,4.000000)
      = 0.006766
alling Matlab: loss=gaspl(1001.036088,3550000000.000000,15.000000,101300.000000,4.000000)
                   loss=gaspl(1101.032811,3550000000.000000,15.000000,101300.000000,4.000000)
```

Figure 3-15: Runtime MATLAB interfacing window

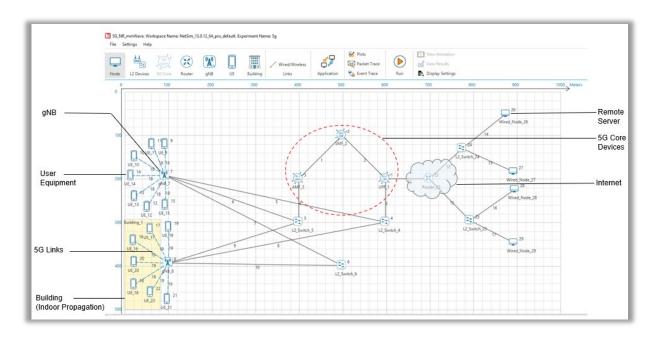
The pathloss value obtained from MATLAB is added to the total loss calculated as per the 3GPPTR38.900 specifications. At simulation end the MATLAB Interface process closes the MATLAB process that it started.

# 3.13 5G Core

NetSim 5G core functionality was introduced in NetSim v13.

This 5G core includes entities, which reside within the core devices (and partially within the gNB) such as Session Management Function (SMF), Access and Mobility Management Function (AMF) and User Plane Function (UPF) and the protocols these entities use for operation.

The NetSim 5G core model provides users the means to simulate the end-to-end IP connectivity. It supports interconnection of multiple UEs to the Internet/Cloud via the Radio Access Network or RAN. The RAN consists of multiple gNBs. These gNBs connect to the 5G core in the backhaul. In NetSim, the 5G core comprises of a single AMF, SMF and UPF.



**Figure 3-16:** 5G Network scenario consisting of multiple UEs and gNBs connected to 5G Core - AMF, SMF and UP. The UPF is connects out to the Data Network/ Internet.

NetSim 5G Core model has been designed as follows:

- 1. The Packet type supported in NetSim 5G Core is IPv4.
- 2. A single set of SMF/UPF/AMF entities are only available. Scenarios with inter SMF mobility / inter AMF mobility are not supported in NetSim.
- 3. It is possible for a single UE to use different applications with different QoS models. Hence, multiple EPS Bearers are supported for each UE. This includes necessary classification of TCP/UDP traffic over IP done at the UE in the Uplink and at the UPF in the downlink.
- 4. The NetSim 5G model allows users to perform an XN based handover between two gNBs.

In the 5G standalone architecture, the roles played by each of the entities are different.

- 1. A UE has the following interactions:
  - a. The random-access procedure to initiate communication with the gNB.
  - b. Setup the RRC connection with the gNB.
  - c. Perform NAS level authentication.
  - d. Handle the RRC Reconfiguration from the gNB and this message sets up the default PDU session.
  - e. The UE concludes the registration procedure.
  - f. Data flow takes place in both the downlink and uplink directions.
- 2. The gNB acts as a bridge between the UE and the 5G Core. The gNB:
  - a. Handles the random-access request from the UE and assign resources for initiating the RRC connection.

- b. Sets up the RRC connection with the UE. SRB1 is set up at this point. Starting at this point the gNB starts assigning downlink and uplink resources to the UE.
- c. Transports the Registration Request from the UE to the AMF.
- d. Carries the NAS signalling between the UE and the gNB.
- e. The 5G Core initiates the default PDU session setup. A Registration Accept is also received from the UE.
- f. Activates the default PDU session via the RRC Reconfiguration message. It also transports the Registration Complete message to the AMF.
- g. The downlink and uplink data flow takes place between the UE and the Internet.
- 3. The AMF or Access Mobility Function coordinates the 5G Standalone registration procedure.
  - a. Handles the Initial UE Message from the gNB. This message carries the Registration Request from the UE.
  - b. On receiving the Registration Request, the AMF obtains the UE context.
  - c. AMF updates the SMF context and sends an Initial Context Setup Request to activate the default PDU session. The message also carries the Registration Accept message from the AMF.
  - d. When the gNB signals that the Initial Context setup has been completed, the AMF updates the SMF context.
  - e. The AMF also notifies the SMF when the session is ready for uplink and downlink data transfer.
  - f. All messages related to session management are forwarded over the N11 reference interface to the Session Management Function (SMF).
- 4. The SMF or Session Management Function serves as a control plane entity and it is responsible for the session management.
  - a. The SMF assigns an IP address to be used for sending uplink data.
  - b. The SMF selects the UPF to be used for the session.
  - c. The SMF updates the UPF using PFCP messages via the N4 control-data plane interface.
- 5. The UPF or User Plane function is a data plane component that handles user data.
  - a. The UPF is completely controlled from the SMF using the N4 interface. The SMF uses the Packet Flow Control Protocol (PFCP) to update the data plane.
  - b. The UPF is responsible for packet routing and forwarding, packet inspection, QoS handling, and external PDU session for interconnecting Data Network (DN), in the 5G architecture.

- c. The UPF represents the data plane evolution of a Control and User Plane Separation (CUPS) strategy and is introduced as an extension to existing Evolved Packet Cores (EPCs).
- d. The UPF identifies user plane traffic flow based on information received from the SMF over the N4 reference point. The N4 interface employs the Packet Forwarding Control Protocol (PFCP).

#### 3.13.1 5G Interfaces

5G Interfaces present in NetSim are as follows:

- 1. 5G N1 N2: N1-N2 is the reference point between the gNB (gNodeB) and the AMF.
- 2. 5G\_N3: Interface between the RAN (gNB) and the (UPF).
- 3. 5G N4: Interface between the Session Management Function (SMF) and the UPF
- 4. 5G N6: Interface between the Data Network (DN) and the UPF.
- 5. 5G N11: Interface between the SMF and AMF.
- 6. 5G\_XN: Interface between two RAN (gNB) nodes.

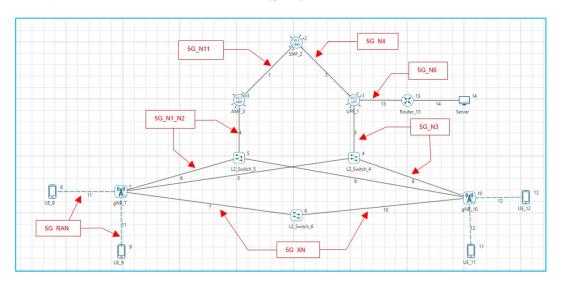


Figure 3-17: 5G Network scenario depicting the 5G Interfaces in NetSim

The NG-AP interface (N2) provides control plane interaction between the gNB and the AMF. In NetSim, this interface is modelled in an abstract manner, with direct interaction between the gNB and the AMF. The encoding of NGAP messages and information elements specified in [TS36413] is not implemented.

The NG-AP primitives that are modelled are:

- 1. INITIAL UE MESSAGE AND REGISTRATION REQUEST
- 2. INITIAL CONTEXT SETUP REQUEST
- 3. INITIAL CONTEXT SETUP RESPONSE AND REGISTRATION COMPLETE
- 4. PATH SWITCH REQUEST

#### 5. PATH SWITCH REQUEST ACKNOWLEDGE

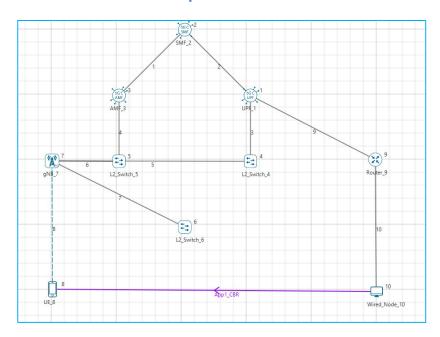
The N11 interface provides control plane interaction between the SMF and the AMF using the GTPv2-C protocol specified in [TS29274]. In NetSim, this interface is modelled with direct interaction between the SMF and the AMF objects, without implementing the encoding of the messages.

The N11 primitives that are modelled are:

- 1. CREATE SESSION REQUEST
- 2. CREATE SESSION RESPONSE
- 3. MODIFY BEARER REQUEST
- 4. MODIFY BEARER RESPONSE

Of these primitives, the first two are used during the initial UE attachment for the establishment of the N2-U bearers; the other two are used during handover to switch the N2-U bearers from the source gNB to the target gNB as a consequence of the reception by the AMF of a PATH SWITCH REQUEST NG-AP message.

#### 3.13.2 Cell Selection and UE attach procedure



**Figure 3-18:** A 5G network scenario with a Single UE connected to a gNB which is connected to the 5G Core and the UE downloads data from the Server (Wired Node)

As an example, consider a 5G network scenario with 5G Core devices (which consists of AMF, SMF, UPF and three L2 Switches), a UE which is connected to a gNB, and in the server side, a Wired Node which is connected to a Router which is connected to the 5G core via UPF.

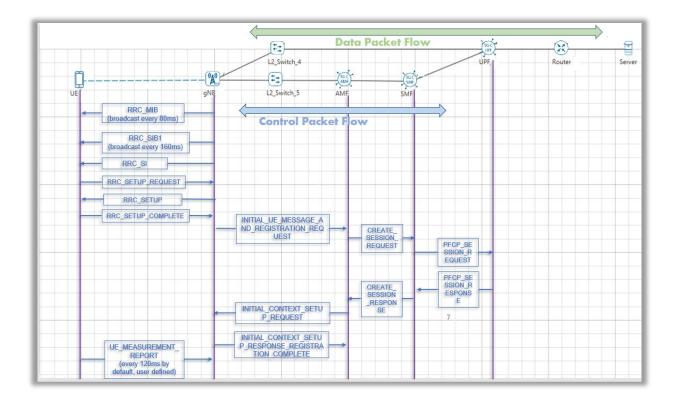


Figure 3-19: UE Attach Procedure

The attachment process is as follows:

- Radio Resource Control Master Information Block packets are broadcast by each gNB to all the UEs. These packets are transmitted periodically every 80 ms.
  - If the number of gNBs is 'm' and the number of UEs is 'n', then the number of MIB packets transmitted each time will be 'm x n'
  - The transmission of MIB packet starts from the MAC Layer. The transmission time can be calculated from the MAC Layer Arrival Time in the packet trace.
  - The size of each MIB packet is 8 Bytes and can be observed in the Phy Layer Payload field in the packet trace.
- 2. RRC System Information Block 1 packets are broadcast by the gNBs to all the UEs. These packets are transmitted periodically every 160ms.
  - The transmission of SIB1 packet starts from the MAC Layer. The transmission time can be calculated from the MAC Layer Arrival Time in the packet trace.
  - If the number of gNBs is 'm' and the number of UEs is 'n', then the number of MIB packets transmitted each time will be 'm x n'
  - The size of each SIB1 packet is 8 Bytes. This can be observed in the Phy Layer Payload field in the packet trace.

- 3. After the first set of packets, the cell selection occurs as explained below.
  - The UE attaches itself initially to the gNB from which it receives the highest SNR.
  - If SNR from multiple gNBs is equal, the UE will attach to the gNB with the lowest ID.
  - The gNB to which the UE is connected by the user in NetSim GUI at the network design stage, is only for visual purposes. It plays no role in determining which gNB the UE will attach to
- 4. RRC System Information are broadcast by the selected gNBs to all UEs when the cell selection is complete.
  - The SI packet is sent only once during the simulation. It is not sent after every Handover.
  - It occurs at 160.9ms.
  - The transmission of SI packet starts from the MAC Layer. The transmission time can be calculated from the MAC Layer Arrival Time in the packet trace.
  - The size of each SI packet is 8 Bytes. The size of the packet can be calculated from the Phy Layer Payload field in the packet trace.
- 5. The RRC Setup Request will be sent by the UE to the connected gNB within 2.5ms of receipt of RRC SI packet
  - The RRC Setup Request is sent with the random UE-Identity and an establishment cause. This can be observed in the Headers column of the packet trace.
- 6. The RRC Setup message is used to establish SRB1.
  - Selected gNB sends the setup to UE which contains RRCTransactionIdentifier, RRCResponsetype, PDCP Properties: UEID and GNBID, DiscardDelayTimer, T Reordering, Hdr Type, SN=0, dcBit.
  - RRC Setup Packet Size is 24 Bytes. The size of the packet can be calculated from the Phy Layer Payload field in the packet trace.
  - UE stops the timer (T300) when it receives the RRC Setup message.
  - UE makes a transition to RRC connected mode.
- 7. The RRC Setup Complete message is used to confirm the successful completion of an RRC connection establishment.
  - UE sends this message on receipt of the RRC Setup message.
  - Contains RRCTransactionIdentifier, SelectedPLMNIdentity, AMFIdentifier, Gaumi
     Type, Hdr Type, SN, dcBit
- 8. UE sends UE\_MEASUREMENT\_REPORT to the connected gNB. The measurement report is sent by each UE to its serving gNB and it contains SINR from all gNBs

If the SNR from another gNB is offset greater than SNR from serving gNB, it leads to handover. After the handover procedure is completed RRC Reconfiguration would happen between target gNB and UE. The UE will then send the UE MEASUREMENT REPORT to this gNB.

These can be observed in the NetSim Packet Trace.

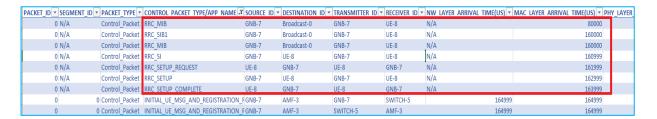


Figure 3-20: RRC connection establishment in Packet Trace

### 3.13.3 5G Core connection management process

This functionality is based on (3gpp 38.413)

- 1. The gNB will introduce the UE to the 5G Core after the initial gNB- UE attachment process.
- 2. The gNB will send Initial UE message and Registration request to the selected AMF (In NetSim, there is only one AMF). The message will be transmitted when gNB receives the first NAS message to be transmitted from the radio link after the RRC Setup Complete
- 3. Upon receiving the UE message and registration request, the AMF will send Create Session Request to the SMF in-order to create a session for the UE.
- 4. The SMF will send the PFCP Session Request to UPF to denote that the UE is present in the network and the data packet flow may occur to UPF and to create/ establish/ modify PFCP session for UE.
- 5. Further, AMF will send the Initial Context Setup Request to the gNB to confirm the setup of a UE context.
- 6. The gNB will send Initial Context Setup and Registration Complete message to the AMF and then the UE will be associated with the core.

These can be observed in NetSim Packet Trace file

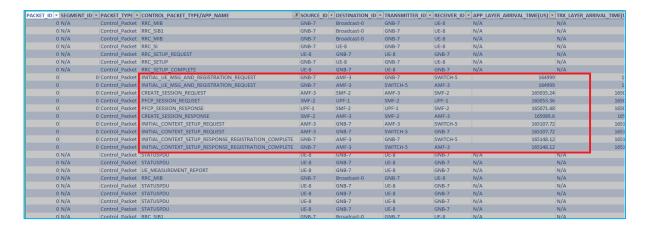


Figure 3-21: 5G Core connection management process

When the UE attachment is completed, the data packets will be transmitted from the source to the destination via the UPF.

# 3.14 5G- Non-Stand-alone (NSA)

#### 3.14.1 Overview

NSA leverages the existing LTE radio access and core network (EPC) to anchor 5G NR using the Dual Connectivity feature. This solution provides a seamless option to deploy 5G services with very less disruption in the network. The eNB is connected to the EPC through the LTE\_S1 interface and to the gNB through the XN interface. The gNB can be connected to the EPC through the LTE\_S1 interface and other gNBs through the XN interface. Similarly, the eNBs and gNBs will be connected to 5G Core through the N1\_N2, and N3 interfaces and gNB-eNB and gNB-gNB connections through the XN interface. The control packets like RRC MIB, RRC SIB1, RRC SI in NSA modes will be transmitted from the master nodes to the UE. Similarly, the UE will send the UE\_MEASUREMENT\_REPORT and RRC\_SETUP messages to the master nodes. The master node will be selected according to the deployment option selected.

The NSA modes in NetSim 5G module includes:

- Option 3 where only LTE core/ EPC is present and no 5G Core devices are present.
  Here, eNB is the Master Cell and gNB is the Secondary Cell. Option 3 is categorized into:
  - a. **Option 3**: Only eNB connects to EPC and eNB and gNB connects to the XN interface.
  - b. **Option 3a**: Both eNB and gNB connects to the EPC. No XN interface.
  - c. **Option 3x:** Both eNB and gNB connects to the EPC. eNB and gNB connects to the XN interface.

- 2. Option 4 where only 5G Core devices are present, and EPC is not available. Here, gNB is the Master Cell and eNB is the Secondary Cell. Option 4 is categorized into:
  - a. **Option 4:** Only gNB connects to all the 5G Core interfaces. eNB connects to the XN interface.
  - b. **Option 4a:** gNB connects to all 5G Core interfaces and eNB connects to AMF and UPF through respective interfaces.
- 3. Option 7 where only 5G Core devices are present, and EPC is not available. Here, eNB is the Master Cell and gNB is the Secondary Cell. Option 7 is categorized into:
  - a. Option 7: eNB connects to all 5G Core interfaces. gNB connects only to the XN interface.
  - b. **Option 7a:** gNB connects to all the 5G Core interfaces. eNB connects to AMF and UPF through the respective interfaces.
  - c. Option 7x: gNB and eNB connects to all the 5G Core interfaces.

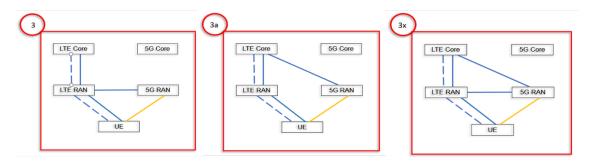


Figure 3-22: NSA deployment - Option 3, Option 3a and Option 3x Networking modes

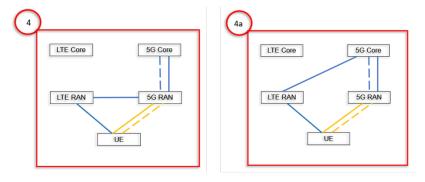


Figure 3-23: NSA deployment - Option 4, Option 4a Networking modes

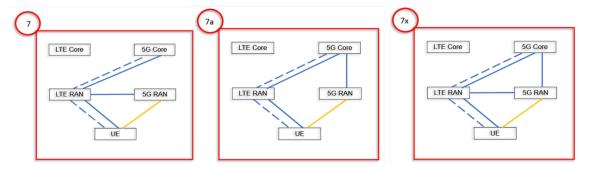


Figure 3-24: NSA deployment - Option 7, Option 7a and Option 7x Networking modes

In Options 3, 4 and 7, the secondary node is not directly connected with the LTE-EPC/ 5G-Core. On reception of a packet, the secondary node, transmits all packet to the master node via the XN interface for uplink cases and for downlink cases, the core / EPC transmits the packets to the master node and the master node splits the traffic between itself and the secondary node, since there is no connection between the core and secondary node. The master node also transmits the packets to the UE.

In options 3a, 4a and 7a, the split happens at the EPC/UPF.

In options 3x and 7x, when the EPC/ core receives the traffic, it is split between master node and secondary node. On reception of the traffic, the master node again splits traffic between itself and secondary node. Hence, the secondary node receives traffic from both the sides.

The splitting happens according to the Alternative Splitting Algorithm (Users can modify the code and develop their own splitting algorithms)

#### 3.14.2 Option 3/3a/3x

The standardized NSA EPC networking architecture includes Option 3, Option 3a, and Option 3x.

Non-standalone option 3 is where radio access network is composed of eNBs as the master node and gNBs as the secondary. The radio access network is connected to EPC (Evolved Packet Core).

#### 3.14.2.1 Option 3

Option 3 represents a network having both LTE and NR radio access but using only the EPC core of LTE to route the Control signals. In this option, LTE is used as the control plane anchor for NR, and both LTE and NR are used for user data traffic (user plane).

Option 3 involves routing of 5G data through the eNB.

All uplink/downlink data flows to and from the LTE part of the LTE/NR base station, i.e., to and from the eNB. The eNB then decides which part of the data it wants to forward to the 5G gNB over the XN interface. The gNB never communicates with the 4G core network directly.

In the Option 3 networking mode, the XN interface traffic between eNB and gNB.

Traffic is split across 4G and 5G at eNodeB. Hence, eNB is the Master Cell.

In NetSim Option 3 of NSA mode connects the eNB to the EPC using LTE\_S1 interface. The gNBs and eNBs are inter-connected in option 3 using the XN interface via a Layer 2 Switch and the UEs present in the network consists of two interfaces, an LTE interface and a 5G\_RAN interface.

The data flows from the eNB and the eNB decides which part of data is to be forwarded to the gNB over the XN interface.

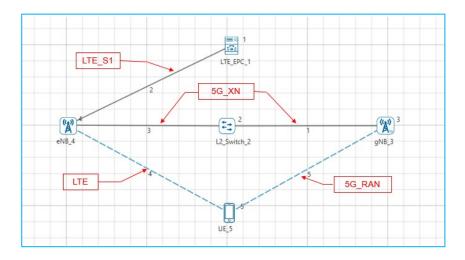


Figure 3-25: NSA deployment - Option 3 networking mode in NetSim

#### 3.14.2.2 Option 3a

In the option 3a, both the eNB and the gNB can directly talk to the LTE core network but they cannot directly talk with each other over the XN interface. This means that a single data bearer cannot share the load over LTE and NR.

There is only control plane traffic in the XN interface. The dynamic switching between 5G and 4G is not supported in Option 3a. The traffic is split across 5G and 4G at the EPC.

In NetSim Option 3a of NSA mode connects the eNB and gNB to the EPC using LTE\_S1 interface. Hence, the eNB and gNB can directly communicate with the EPC. Since XN interface is not present in this deployment option, gNB and eNB cannot communicate with each other. the UEs present in the network consists of two interfaces, an LTE interface and a 5G\_RAN interface.

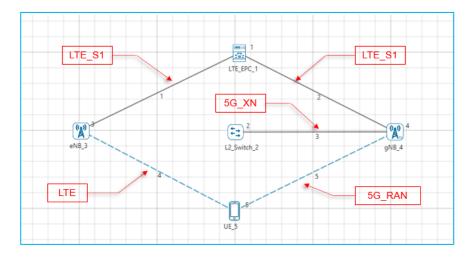


Figure 3-26: NSA deployment - Option 3a networking mode in NetSim

#### 3.14.2.3 Option 3x

In this deployment option, user data traffic will flow directly to the 5G gNB part of the base station. From there, it is delivered over the air to the mobile device. A part of the data can also be forwarded over the XN interface to the eNB and from there to the UE.

There is a little LTE user plane traffic in the XN interface. The traffic is split across 4G and 5G at the gNB.

In NetSim, deployment option 3x connects both eNB and gNB to the EPC using LTE\_S1 interface. The gNBs and eNBs are inter-connected using the XN interface via a Layer 2 Switch which allows the communication between eNB and gNB and the UEs present in the network consists of two interfaces, an LTE interface and a 5G\_RAN interface.

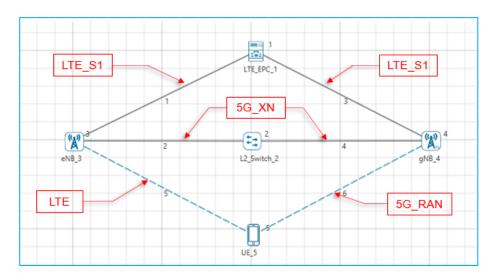


Figure 3-27: NSA deployment - Option 3x networking mode in NetSim

#### 3.14.3 Option 4/4a

The EPC or the LTE Core in Option 3/3a/3x is replaced by the 5G Core in Option 4. The master node is the LTE NR cell or gNB and the secondary node is LTE cell or eNB.

#### 3.14.3.1 Option 4

In Option 4 of Non-Stand-alone mode, both LTE and 5G NR radio access technologies are deployed and controlled through only the 5G Core, i.e AMF, SMF and UPF.

The gNB has both the NG-U and NG-C interfaces. Both eNB and gNB connects over the XN interface. The interface between gNB and AMF is called N2 interface and the interface between gNB and UPF is called N3 interface, So the control plane is over N2 interface and user plane is over N3 interface.

The eNB is not connected to 5G Core, hence data traffic is split over the XN interface. The gNB is connected to 5G Core with NG-U and NG-C.

In NetSim, the gNB is connected to the UPF via Switch\_4 using the 5G\_N3 interface and to the AMF via Switch\_5 using the 5G\_N1\_N2 interface, hence, gNB communicates directly with the 5G Core and eNB does not coomunicate directly with the 5G Core. The gNBs and eNBs are inter-connected using the XN interface via a Layer 2 Switch and the UEs present in the network consists of two interfaces, an LTE interface and a 5G\_RAN interface.

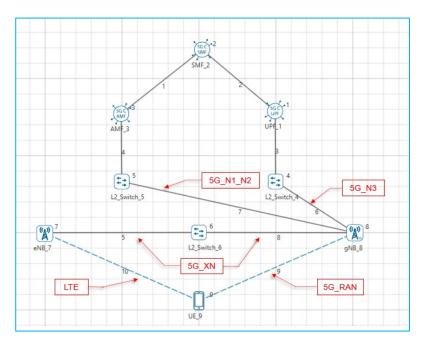


Figure 3-28: NSA deployment- Option 4 networking mode in NetSim

## 3.14.3.2 Option-4a

In Option 4a, the eNB is not connected to gNB over XN interface, but it is connected to 5G Core over the NG-U interface.

The gNB has both NG-U and NG-C interface. Data traffic is split between 4G and 5G at the 5G Core, specifically the UPF.

In NetSim, the gNB and eNB are connected to the UPF via Switch\_4 using the 5G\_N3 interface and to the AMF via Switch\_5 using the 5G\_N1\_N2 interface. The gNBs and eNBs are not inter-connected using the XN interface and hence direct communication between eNB and gNB is not possible. The UEs present in the network consists of two interfaces, an LTE interface and a 5G\_RAN interface.

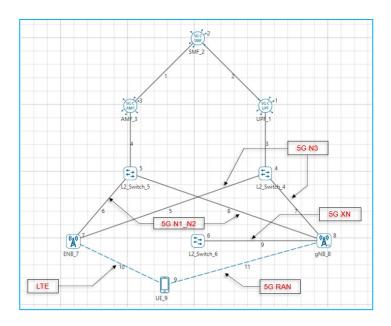


Figure 3-29: NSA deployment- Option 4a networking mode in NetSim

### 3.14.4 Option 7/7a/7x

The eNB has NG-U and NG-C interfaces to 5G Core and eNB connects with gNB over XN interface. The master node is the LTE cell or eNB and the secondary node is the LTE-NR cell or gNB in these deployment options.

#### 3.14.4.1 Option-7

In Option 7, the gNB does not communicate to 5G Core. Data traffic flows through eNB communicating to and from the 5G Core. Some part of the data can be transferred through gNB over the XN interface.

In NetSim, the eNBs are connected to the UPF via Switch\_4 using the 5G\_N3 interface and to the AMF via Switch\_5 using the 5G\_N1\_N2 interface. The gNBs and eNBs are interconnected using the XN interface and hence direct communication between eNB and gNB is possible. The UEs present in the network consists of two interfaces, an LTE interface and a 5G\_RAN interface. The data is delivered to the UE when it comes to the 5G NR through the LTE-RAN.

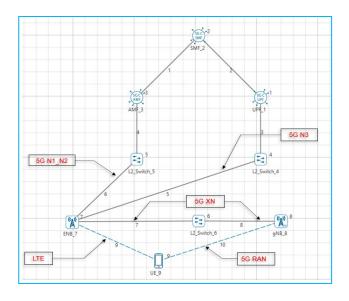


Figure 3-30: NSA deployment- Option 7 networking mode in NetSim

#### 3.14.4.2 Option-7a

In Option 7a, eNB and gNB are not connected via the XN interface and instead gNB is connected to 5G Core over NG-U. The eNB is connected to 5G Core over NG-C and NG-U. Data traffic is split at the 5GC (UPF).

In NetSim, the gNB and eNB are connected to the UPF via Switch\_4 using the 5G\_N3 interface and to the AMF via Switch\_5 using the 5G\_N1\_N2 interface. The gNBs and eNBs are not inter-connected using the XN interface and hence direct communication between eNB and gNB is not possible. The UEs present in the network consists of two interfaces, an LTE interface and a 5G RAN interface.

The user data goes directly from the 5G Core to the gNB and then to the UE.

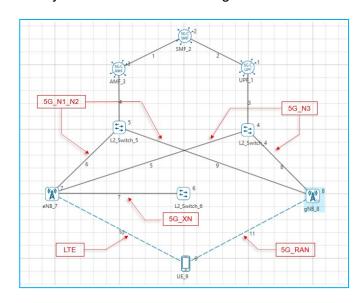


Figure 3-31: NSA deployment- Option 7a networking mode in NetSim

#### 3.14.4.3 Option-7x

Data traffic is split across eNB and gNB by gNB. Data flows to and from the network through gNB and 5GC over NG-U and control plane is carried over NG-C from NG eNB to 5GC, a part of the data is split between gNB and NG eNB over the Xn interface.

In NetSim, the gNB and eNB are connected to the UPF via Switch\_4 using the 5G\_N3 interface and to the AMF via Switch\_5 using the 5G\_N1\_N2 interface. The gNBs and eNBs are interconnected using the XN interface and hence direct communication between eNB and gNB takes place. The UEs present in the network consists of two interfaces, an LTE interface and a 5G\_RAN interface.

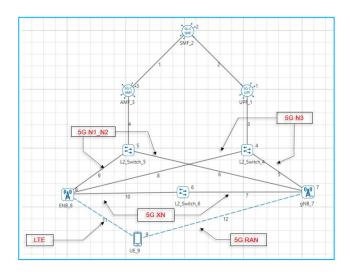


Figure 3-32: NSA deployment- Option 7x networking mode in NetSim

# 3.15 NSA Packet Flow

# 3.15.1 Option 3

Consider the following network scenario:

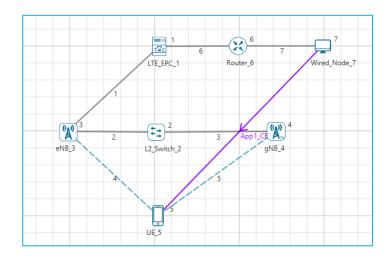


Figure 3-33: NSA deployment - Option 3 networking mode in NetSim

All the devices have the default properties, application start time was set to 1s and scenario is simulated for 10s.

eNB is the Master Node and gNB is the Secondary Node in Options 3, 3a and 3x.

The packet flow in the network takes place as explained below:

- 1. The MN, eNB will broadcast the RRC\_MIB packets to the UE every 40 ms and RRC SIB1 every 80 ms.
- 2. After the transmission of the RRC\_MIB and RRC\_SIB1 packets, the eNB will send RRC\_SI packet to the UE.
- 3. After reception of RRC SI packet, UE will send RRC Setup Request to the eNB.
- 4. On receiving the RRC\_Setup\_Request packet, the eNB will acknowledge the request by transmitting RRC Setup packet to the UE.
- 5. The UE will send back the RRC\_Setup\_Complete packet on the receipt of RRC\_Setup message.
- After the RRC connection, the MN node will sendDC SEC CELL ADDITION REQUEST to the SN via the L2Switch.
- 7. On the receipt of this secondary cell addition request, the SN sends back the response packet, i.e. DC SEC CELL ADDITION RESPONSE.
- 8. The UE will now send the UE\_MEASUREMENT\_REPORT every 120 ms to the MN which contains the SNR information. The time interval at which the measurement report is to be transmitted can be set by the user in the eNB/ gNB properties-> Interface\_ LTE/ 5G RAN -> Datalink Layer.
- 9. After the UE attachment procedure, the data packets will be transmitted from the server to the UE based on the splitting algorithm.
- 10. As per the current splitting algorithm in NetSim, the first data packet will be transmitted to the EPC and from the EPC it goes to the MN, eNB, and from the eNB it will be transmitted to the UE.
- 11. The second data packet will flow to eNB from EPC and then to the gNB through the L2Switch (via XN interface) and then to the UE.
- 12. Similarly, the third packet will flow through the MN, fourth through the SN and so on.

Packet flow can be analyzed using NetSim's Packet Animation. Packet flow can be analyzed using the Packet Trace log file as shown below:

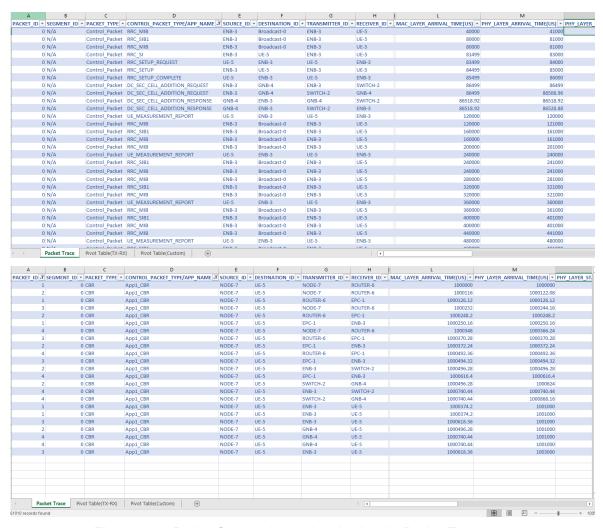


Figure 3-34: Packet flow can be analyzed using the Packet Trace

#### 3.15.2 Option 3a

Consider the following network scenario:

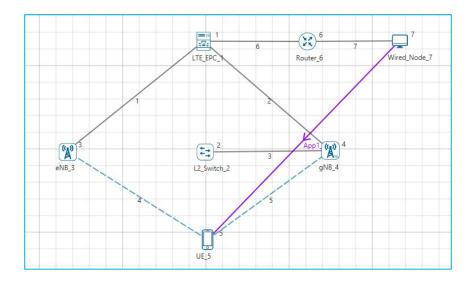


Figure 3-35: NSA deployment - Option 3a networking mode in NetSim

All the devices have the default properties, application start time was set to 1s and scenario is simulated for 10s.

The packet flow in the network takes place as explained below:

- 1. The MN, eNB will broadcast the RRC\_MIB packets to the UE every 40 ms and RRC SIB1 every 80 ms.
- 2. After the transmission of the RRC\_MIB and RRC\_SIB1 packets, the eNB will send RRC\_SI packet to the UE.
- 3. After reception of RRC SI packet, UE will send RRC Setup Request to the eNB.
- 4. On receiving the RRC\_Setup\_Request packet, the eNB will acknowledge the request by transmitting RRC Setup packet to the UE.
- 5. The UE will send back the RRC\_Setup\_Complete packet on the receipt of RRC\_Setup message.
- After the RRC connection, the MN node will sendDC SEC CELL ADDITION REQUEST to the SN via the EPC.
- 7. On the receipt of this secondary cell addition request, the SN sends back the response packet, i.e. DC SEC CELL ADDITION RESPONSE.
- 8. The UE will now send the UE\_MEASUREMENT\_REPORT every 120 ms to the MN which contains the SNR information. The time interval at which the measurement report is to be transmitted can be set by the user in the eNB/ gNB properties-> Interface\_ LTE/ 5G RAN -> Datalink Layer.
- 9. After the UE attachment procedure, the data packets will be transmitted from the server to the UE based on the splitting algorithm.
- 10. As per the current splitting algorithm in NetSim, the first data packet will be transmitted to the EPC and from the EPC it goes to the MN, eNB, and from the eNB it will be transmitted to the UE.
- 11. The second data packet will flow from EPC to the gNB and then to the UE.
- 12. Similarly, the third packet will flow through the MN, fourth through the SN and so on.

Packet flow can be analyzed using NetSim's Packet Animation. Packet flow can be analyzed using the Packet Trace log file as shown below:

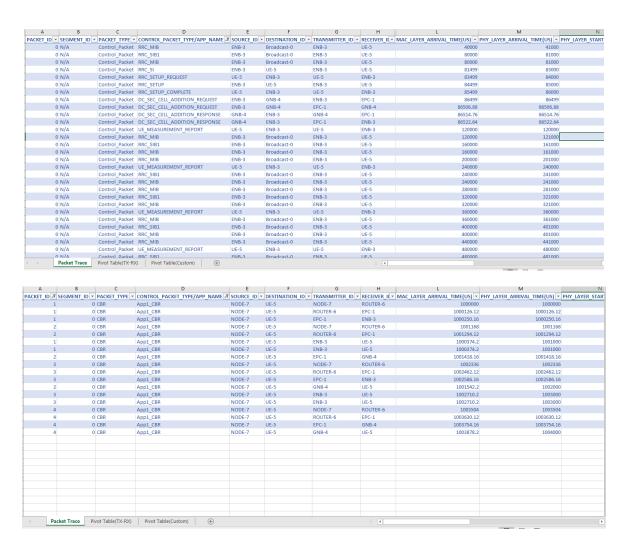


Figure 3-36: Packet flow can be analyzed using the Packet Trace

#### 3.15.3 Option 3x

Consider the following network scenario:

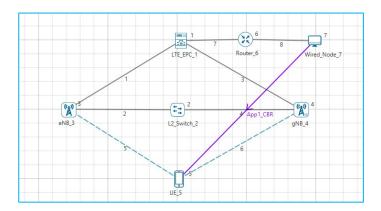


Figure 3-37: NSA deployment - Option 3x networking mode in NetSim

All the devices have the default properties, application start time was set to 1s and scenario is simulated for 10s.

The packet flow in the network takes place as explained below:

- 1. The MN, eNB will broadcast the RRC\_MIB packets to the UE every 40 ms and RRC SIB1 every 80 ms.
- 2. After the transmission of the RRC\_MIB and RRC\_SIB1 packets, the eNB will send RRC\_SI packet to the UE.
- 3. After reception of RRC\_SI packet, UE will send RRC\_Setup\_Request to the eNB.
- 4. On receiving the RRC\_Setup\_Request packet, the eNB will acknowledge the request by transmitting RRC\_Setup packet to the UE.
- 5. The UE will send back the RRC\_Setup\_Complete packet on the receipt of RRC\_Setup message.
- After the RRC connection, the MN node will sendDC SEC CELL ADDITION REQUEST to the SN via the L2Switch.
- 7. On the receipt of this secondary cell addition request, the SN sends back the response packet, i.e. DC SEC CELL ADDITION RESPONSE.
- 8. The UE will now send the UE\_MEASUREMENT\_REPORT every 120 ms to the MN which contains the SNR information. The time interval at which the measurement report is to be transmitted can be set by the user in the eNB/ gNB properties-> Interface\_ LTE/ 5G RAN -> Datalink Layer.
- 9. After the UE attachment procedure, the data packets will be transmitted from the server to the UE based on the splitting algorithm.
- 10. As per the current splitting algorithm in NetSim, the first data packet will be transmitted to the EPC and from the EPC it goes to the gNB and then to the MN, eNB via L2Switch, and from the eNB it will be transmitted to the UE.
- 11. The second data packet will flow from EPC to the gNB and then to the UE.
- 12. Similarly, the third packet will flow through the MN, fourth through the SN and so on.

Packet flow can be analyzed using NetSim's Packet Animation. Packet flow can be analyzed using the Packet Trace log file as shown below:



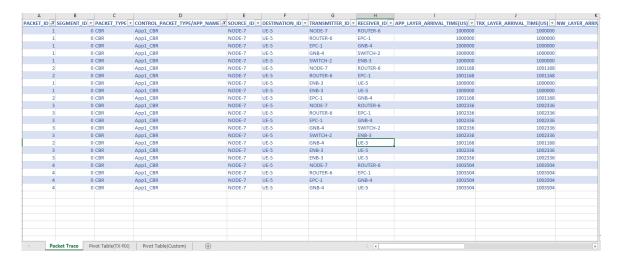


Figure 3-38: Packet flow can be analyzed using the Packet Trace

#### 3.15.4 Option 4

Consider the following network scenario:

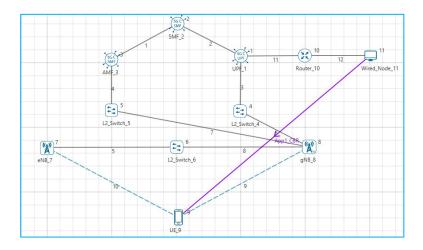


Figure 3-39: NSA deployment - Option 4 networking mode in NetSim

All the devices have the default properties, application start time was set to 1s and scenario is simulated for 10s.

gNB is the Master Node and eNB is the Secondary Node in Options 4 and 4a.

The packet flow in the network takes place as explained below:

- The MN, gNB will broadcast the RRC\_MIB packets to the UE every 80 ms and RRC\_SIB1 every 160 ms.
- 2. After the transmission of the RRC\_MIB and RRC\_SIB1 packets, the gNB will send RRC\_SI packet to the UE.
- 3. After reception of RRC SI packet, UE will send RRC Setup Request to the gNB.
- 4. On receiving the RRC\_Setup\_Request packet, the gNB will acknowledge the request by transmitting RRC\_Setup packet to the UE.

- 5. The UE will send back the RRC\_Setup\_Complete packet on the receipt of RRC\_Setup message.
- 6. The gNB will send INITIAL\_UE\_MSG\_AND\_REGISTRATION\_REQUEST to the AMF via L2Switch\_5 through the N1\_N2 interface.
- 7. AMF will send CREATE\_SESSION\_REQUEST to SMF through N11 interface.
- 8. SMF will send PFCP SESSION REQUEST to UPF through N4 interface.
- 9. UPF will send back the response packet to SMF, i.e, PFCP SESSION RESPONSE
- SMF will send back the response packet to AMF, i.e.,
   CREATE SESSION RESPONSE
- 11. AMF will send the INITIAL CONTEXT SETUP REQUEST to the gNB via Switch 5.
- 12. On the receipt of Context setup request, gNB will send INITIAL\_CONTEXT\_SETUP\_RESPONSE\_REGISTRATION\_COMPLETE packet to the AMF via switch 5 through the N1 N2 interface.
- 13. This marks the completion of UE registration process.
- 14. After the UE registration, the MN node will send DC\_SEC\_CELL\_ADDITION\_REQUEST to the SN via the L2Switch\_6.
- 15. On the receipt of this secondary cell addition request, the SN sends back the response packet, i.e. DC SEC CELL ADDITION RESPONSE.
- 16. The UE will now send the UE\_MEASUREMENT\_REPORT every 120 ms to the MN which contains the SNR information. The time interval at which the measurement report is to be transmitted can be set by the user in the eNB/ gNB properties-> Interface\_ LTE/ 5G RAN -> Datalink Layer.
- 17. After the UE attachment procedure, the data packets will be transmitted from the server to the UE based on the splitting algorithm.
- 18. As per the current splitting algorithm in NetSim, the first data packet will be transmitted to the UPF through the N6 interface and from the UPF it goes to the MN, gNB via Switch\_4 through the N3 interface, and from the gNB it will be transmitted to the UE through the RAN interface.
- 19. The second data packet will flow from UPF to the gNB via Switch\_4 and from the gNB, the packet gets transmitted to the eNB via Switch\_6 through the XN interface and then to the UE.
- 20. Similarly, the third packet will flow through the MN, fourth through the SN and so on.

Packet flow can be analyzed using NetSim's Packet Animation. Packet flow can be analyzed using the Packet Trace log file as shown below:

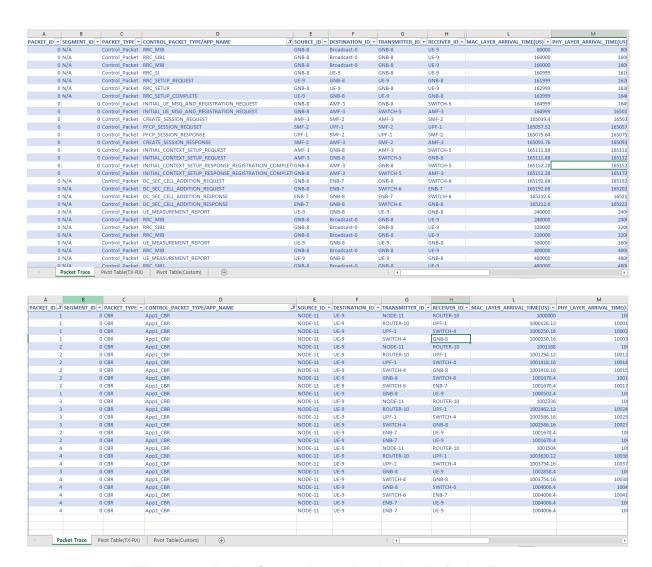


Figure 3-40: Packet flow can be analyzed using the Packet Trace

#### 3.15.5 Option 4a

Consider the following network scenario:

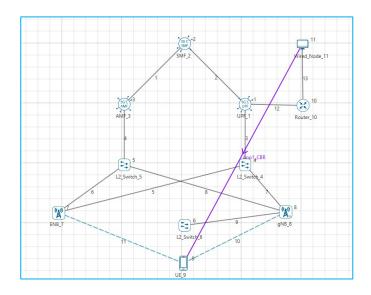


Figure 3-41: NSA deployment - Option 4a networking mode in NetSim

All the devices have the default properties, application start time was set to 1s and scenario is simulated for 10s.

The packet flow in the network takes place as explained below:

- 1. The MN, gNB will broadcast the RRC\_MIB packets to the UE every 80 ms and RRC\_SIB1 every 160 ms.
- 2. After the transmission of the RRC\_MIB and RRC\_SIB1 packets, the gNB will send RRC\_SI packet to the UE.
- 3. After reception of RRC SI packet, UE will send RRC Setup Request to the gNB.
- 4. On receiving the RRC\_Setup\_Request packet, the gNB will acknowledge the request by transmitting RRC Setup packet to the UE.
- 5. The UE will send back the RRC\_Setup\_Complete packet on the receipt of RRC\_Setup message.
- 6. The gNB will send INITIAL\_UE\_MSG\_AND\_REGISTRATION\_REQUEST to the AMF via L2Switch 5 through the N1 N2 interface.
- 7. AMF will send CREATE SESSION REQUEST to SMF through N11 interface.
- 8. SMF will send PFCP SESSION REQUEST to UPF through N4 interface.
- 9. UPF will send back the response packet to SMF, i.e, PFCP SESSION RESPONSE
- 10. SMF will send back the response packet to AMF, i.e.,
  CREATE SESSION RESPONSE.
- 11. AMF will send the INITIAL CONTEXT SETUP REQUEST to the gNB via Switch 5.
- 12. On the receipt of Context setup request, gNB will send

  INITIAL\_CONTEXT\_SETUP\_RESPONSE\_REGISTRATION\_COMPLETE packet to
  the AMF via switch 5 through the N1 N2 interface.
- 13. This marks the completion of UE registration process.
- 14. After the UE registration, the MN node will send DC\_SEC\_CELL\_ADDITION\_REQUEST to the SN via the Switch\_4.
- 15. On the receipt of this secondary cell addition request, the SN sends back the response packet, i.e. DC SEC CELL ADDITION RESPONSE.
- 16. The UE will now send the UE\_MEASUREMENT\_REPORT every 120 ms to the MN which contains the SNR information. The time interval at which the measurement report is to be transmitted can be set by the user in the eNB/ gNB properties-> Interface\_ LTE/ 5G\_RAN -> Datalink Layer.
- 17. After the UE attachment procedure, the data packets will be transmitted from the server to the UE based on the splitting algorithm.
- 18. As per the current splitting algorithm in NetSim, the first data packet will be transmitted to the UPF through the N6 interface and from the UPF it goes to the MN, gNB via

- Switch\_4 through the N3 interface, and from the gNB it will be transmitted to the UE through the RAN interface.
- 19. The second data packet will flow from UPF to the eNB via Switch\_4 and then to the UE.
- 20. Similarly, the third packet will flow through the MN, fourth through the SN and so on.

Packet flow can be analyzed using NetSim's Packet Animation. Packet flow can be analyzed using the Packet Trace log file as shown below:

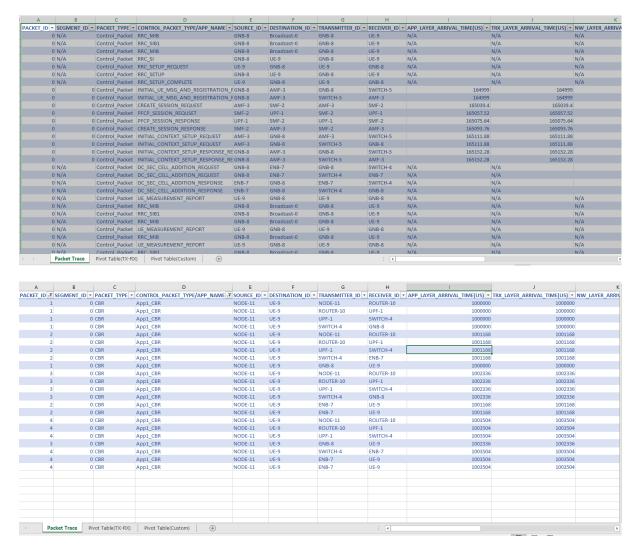


Figure 3-42: Packet flow can be analyzed using the Packet Trace

#### 3.15.6 Option 7

Consider the following network scenario:

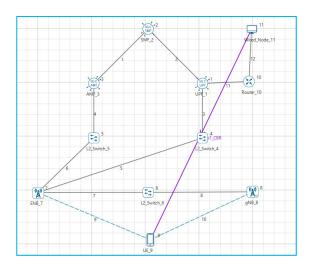


Figure 3-43: NSA deployment - Option 7 networking mode in NetSim

All the devices have the default properties, application start time was set to 1s and scenario is simulated for 10s.

eNB is the MN and gNB is the SN in deployment options 7, 7a and 7x.

The packet flow in the network takes place as explained below:

- 1. The MN, eNB will send broadcast the RRC\_MIB packets to the UE every 40 ms and RRC\_SIB1 every 80 ms.
- 2. After the transmission of the RRC\_MIB and RRC\_SIB1 packets, the eNB will send RRC\_SI packet to the UE.
- 3. After reception of RRC SI packet, UE will send RRC Setup Request to the eNB.
- 4. On receiving the RRC\_Setup\_Request packet, the eNB will acknowledge the request by transmitting RRC\_Setup packet to the UE.
- 5. The UE will send back the RRC\_Setup\_Complete packet on the receipt of RRC\_Setup message.
- 6. The eNB will send INITIAL\_UE\_MSG\_AND\_REGISTRATION\_REQUEST to the AMF via L2Switch 5 through the N1 N2 interface.
- 7. AMF will send CREATE SESSION REQUEST to SMF through N11 interface.
- 8. SMF will send PFCP\_SESSION\_REQUEST to UPF through N4 interface.
- 9. UPF will send back the response packet to SMF, i.e, PFCP SESSION RESPONSE
- SMF will send back the response packet to AMF, i.e.,
   CREATE SESSION RESPONSE.
- 11. AMF will send the INITIAL\_CONTEXT\_SETUP\_REQUEST to the eNB via Switch\_5.

- 12. On the receipt of Context setup request, eNB will send INITIAL\_CONTEXT\_SETUP\_RESPONSE\_REGISTRATION\_COMPLETE packet to the AMF via switch 5 through the N1 N2 interface.
- 13. This marks the completion of UE registration process.
- 14. After the UE registration, the MN node will sendDC SEC CELL ADDITION REQUEST to the SN via the Switch 6.
- 15. On the receipt of this secondary cell addition request, the SN sends back the response packet, i.e. DC SEC CELL ADDITION RESPONSE.
- 16. The UE will now send the UE\_MEASUREMENT\_REPORT every 120 ms to the MN which contains the SNR information. The time interval at which the measurement report is to be transmitted can be set by the user in the eNB/ gNB properties-> Interface\_ LTE/ 5G RAN -> Datalink Layer.
- 17. After the UE attachment procedure, the data packets will be transmitted from the server to the UE based on the splitting algorithm.
- 18. As per the current splitting algorithm in NetSim, the first data packet will be transmitted to the UPF through the N6 interface and from the UPF it goes to the MN, eNB via Switch\_4 through the N3 interface, and from the eNB it will be transmitted to the UE through the LTE interface.
- 19. The second data packet will flow from UPF to the eNB via Switch\_4 and then from eNB to the gNB via Switch 6 through XN interface and then to the UE.
- 20. Similarly, the third packet will flow through the MN, fourth through the SN and so on.

Packet flow can be analyzed using NetSim's Packet Animation. Packet flow can be analyzed using the Packet Trace log file as shown below:

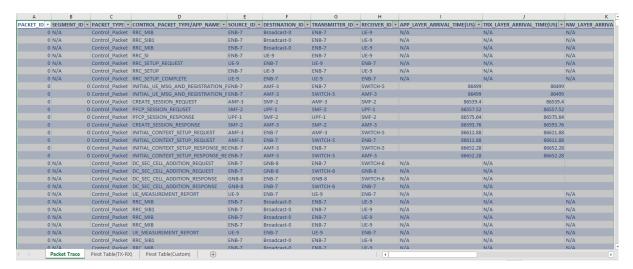




Figure 3-44: Packet flow can be analyzed using the Packet Trace

#### 3.15.7 Option 7a

Consider the following network scenario:

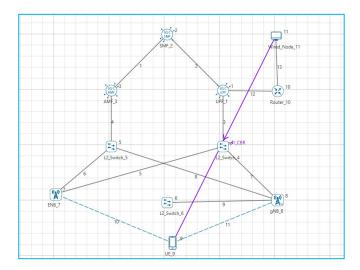


Figure 3-45: NSA deployment - Option 7a networking mode in NetSim

All the devices have the default properties, application start time was set to 1s and scenario is simulated for 10s.

The packet flow in the network takes place as explained below:

- 1. The MN, eNB will send broadcast the RRC\_MIB packets to the UE every 40 ms and RRC\_SIB1 every 80 ms.
- 2. After the transmission of the RRC\_MIB and RRC\_SIB1 packets, the eNB will send RRC\_SI packet to the UE.
- 3. After reception of RRC SI packet, UE will send RRC Setup Request to the eNB.
- 4. On receiving the RRC\_Setup\_Request packet, the eNB will acknowledge the request by transmitting RRC\_Setup packet to the UE.

- 5. The UE will send back the RRC\_Setup\_Complete packet on the receipt of RRC\_Setup message.
- 6. The eNB will send INITIAL\_UE\_MSG\_AND\_REGISTRATION\_REQUEST to the AMF via L2Switch\_5 through the N1\_N2 interface.
- 7. AMF will send CREATE\_SESSION\_REQUEST to SMF through N11 interface.
- 8. SMF will send PFCP SESSION REQUEST to UPF through N4 interface.
- 9. UPF will send back the response packet to SMF, i.e, PFCP\_SESSION\_RESPONSE
- SMF will send back the response packet to AMF, i.e.,
   CREATE SESSION RESPONSE.
- 11. AMF will send the INITIAL CONTEXT SETUP REQUEST to the eNB via Switch 5.
- 12. On the receipt of Context setup request, eNB will send INITIAL\_CONTEXT\_SETUP\_RESPONSE\_REGISTRATION\_COMPLETE packet to the AMF via switch 5 through the N1 N2 interface.
- 13. This marks the completion of UE registration process.
- 14. After the UE registration, the MN node will send DC\_SEC\_CELL\_ADDITION\_REQUEST to the SN via the Switch\_4.
- 15. On the receipt of this secondary cell addition request, the SN sends back the response packet, i.e. DC SEC CELL ADDITION RESPONSE.
- 16. The UE will now send the UE\_MEASUREMENT\_REPORT every 120 ms to the MN which contains the SNR information. The time interval at which the measurement report is to be transmitted can be set by the user in the eNB/ gNB properties-> Interface\_ LTE/ 5G RAN -> Datalink Layer.
- 17. After the UE attachment procedure, the data packets will be transmitted from the server to the UE based on the splitting algorithm.
- 18. As per the current splitting algorithm in NetSim, the first data packet will be transmitted to the UPF through the N6 interface and from the UPF it goes to the MN, eNB via Switch\_4 through the N3 interface, and from the eNB it will be transmitted to the UE through the LTE interface.
- 19. The second data packet will flow from UPF to the gNB via Switch\_4 and then from gNB to the UE.
- 20. Similarly, the third packet will flow through the MN, fourth through the SN and so on.

Packet flow can be analyzed using NetSim's Packet Animation. Packet flow can be analyzed using the Packet Trace log file as shown below:

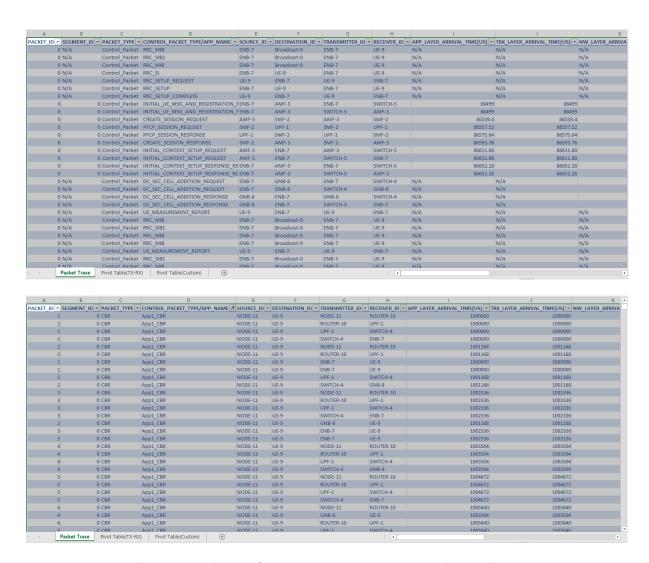


Figure 3-46: Packet flow can be analyzed using the Packet Trace

#### 3.15.8 Option 7x

Consider the following network scenario:

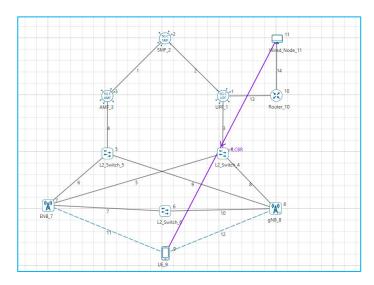


Figure 3-47: NSA deployment - Option 7x networking mode in NetSim

All the devices have the default properties, application start time was set to 1s and scenario is simulated for 10s.

The packet flow in the network takes place as explained below:

- The MN, eNB will send broadcast the RRC\_MIB packets to the UE every 40 ms and RRC\_SIB1 every 80 ms.
- 2. After the transmission of the RRC\_MIB and RRC\_SIB1 packets, the eNB will send RRC\_SI packet to the UE.
- 3. After reception of RRC SI packet, UE will send RRC Setup Request to the eNB.
- 4. On receiving the RRC\_Setup\_Request packet, the eNB will acknowledge the request by transmitting RRC Setup packet to the UE.
- 5. The UE will send back the RRC\_Setup\_Complete packet on the receipt of RRC\_Setup message.
- 6. The eNB will send INITIAL\_UE\_MSG\_AND\_REGISTRATION\_REQUEST to the AMF via L2Switch 5 through the N1 N2 interface.
- 7. AMF will send CREATE SESSION REQUEST to SMF through N11 interface.
- 8. SMF will send PFCP SESSION REQUEST to UPF through N4 interface.
- 9. UPF will send back the response packet to SMF, i.e, PFCP SESSION RESPONSE
- 10. SMF will send back the response packet to AMF, i.e., CREATE SESSION RESPONSE.
- 11. AMF will send the INITIAL CONTEXT SETUP REQUEST to the eNB via Switch 5.
- 12. On the receipt of Context setup request, eNB will send

  INITIAL\_CONTEXT\_SETUP\_RESPONSE\_REGISTRATION\_COMPLETE packet to
  the AMF via switch 5 through the N1 N2 interface.
- 13. This marks the completion of UE registration process.
- 14. After the UE registration, the MN node will send DC\_SEC\_CELL\_ADDITION\_REQUEST to the SN via the Switch\_6.
- 15. On the receipt of this secondary cell addition request, the SN sends back the response packet, i.e., DC SEC CELL ADDITION\_RESPONSE.
- 16. The UE will now send the UE\_MEASUREMENT\_REPORT every 120 ms to the MN which contains the SNR information. The time interval at which the measurement report is to be transmitted can be set by the user in the eNB/ gNB properties-> Interface\_ LTE/ 5G\_RAN -> Datalink Layer.
- 17. After the UE attachment procedure, the data packets will be transmitted from the server to the UE based on the splitting algorithm.
- 18. As per the current splitting algorithm in NetSim, the first data packet will be transmitted to the UPF through the N6 interface and from the UPF it goes to the MN, eNB via

- Switch\_4 through the N3 interface, and from the eNB it will be transmitted to the UE through the LTE interface.
- 19. The second data packet will flow from UPF to the eNB via Switch\_4 and then from eNB to the gNB via Switch\_6 through XN interface and then to the UE.
- 20. Similarly, the third packet will flow through the MN, fourth through the SN and so on.

Packet flow can be analyzed using NetSim's Packet Animation. Packet flow can be analyzed using the Packet Trace log file as shown below:

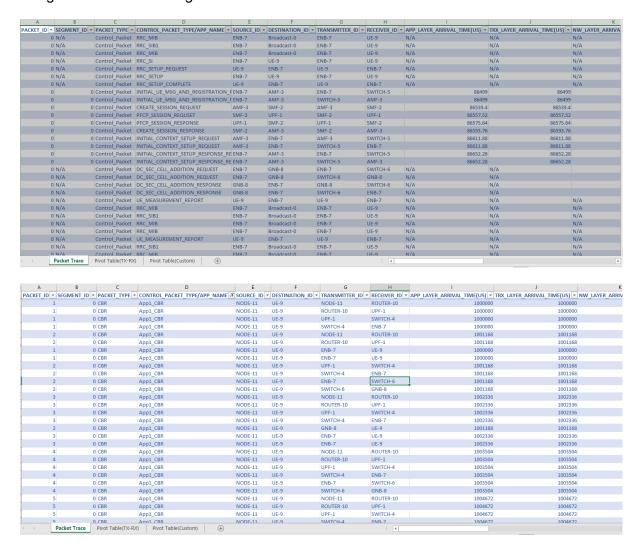


Figure 3-48: Packet flow can be analyzed using the Packet Trace

#### 3.16 Handover

The handover logic of NetSim 5G library is based on the *Strongest Adjacent Cell Handover Algorithm* (Ref: Handover within 3GPP LTE: Design Principles and Performance. Konstantinos Dimou. Ericcson Research). The algorithm enables each UE to connect to that gNB which provides the highest Reference Signal Received Power (RSRP). Therefore, a *handover* 

occurs the moment a better gNB (adjacent cell has offset stronger RSRP, measured as SNR in NetSim) is detected.

This algorithm is similar to 38.331, 5.5.4.4 Event A3 wherein Neighbor cell's RSRP becomes Offset better than serving cell's RSRP. Note that in NetSim report-type is *periodical* and not *eventTrigerred* since NetSim is a discrete event simulator and not a continuous time simulator.

This algorithm is susceptible to ping-pong handovers; continuous handovers between the serving and adjacent cells on account of changes in RSRP due mobility and shadow-fading. At one instant the adjacent cell's RSRP could be higher and the very next it could be the original serving cell's RSRP, and so on.

To solve this problem the algorithm uses:

- a) Hysteresis (Hand-over-margin, HOM) which adds a RSRP threshold (Adjacent cell RSRP Serving cell RSRP > Hand-over-margin or hysteresis), and
- b) Time-to-trigger (TTT) which adds a time threshold.

This HOM is part of NetSim implementation while TTT can be implemented as a custom project in NetSim.

## 3.17 LTENR Results, Packet Trace and Plots

Parameter	Description
AppID	Application ID
QFI	QOS Flow ID
SDAP Entity	SDAP Entity
SrcID	Source ID
DestID	Destination ID
SrcIP:Port	Tuple of Source IP and Port Number
DestIP:Port	Tuple of Source IP and Port Number
Packet Tx	Total packets transmitted for a QFI
Packet Rx	Total packets received for a QFI
Delay	Average delay of all received packets within an average window
PER (Packet Error Rate)	Packet Error Rate Plot
PDB (Packet Delay Budget)	Packet Delay Budget Plot

Table 3-9: LTENR results Packet trace parameter descriptions

#### 3.17.1 LTE NR Packet trace

The LTE NR packet trace file has in its last column the field LTENR\_PACKET\_INFO. This field has information relating to PDCP header and RLC header. The packet trace file can be opened from results dashboard.

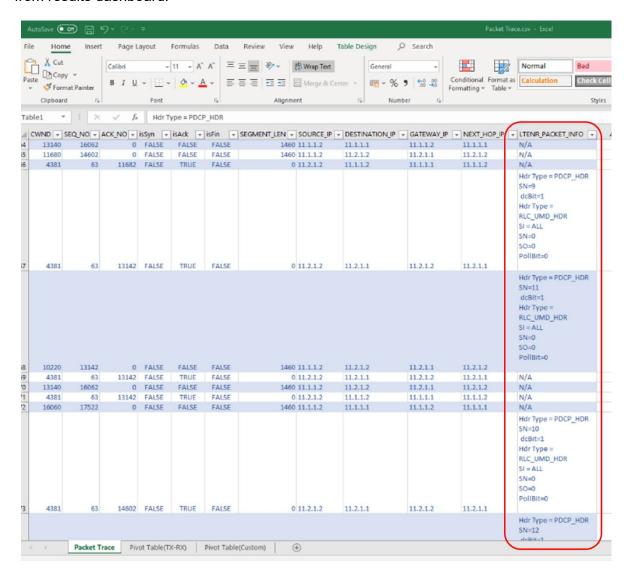


Figure 3-49: LTE NR Packet Trace. Depending on Excel settings in some cases the entire header may not be displayed. User can do Ctrl + A (Select All) -> Right Click -> Format Cells -> Alignment -> Wrap Text to view the complete header.

#### 3.17.2 PDCP and RLC Headers logged in Packet Trace

The PDCP and RLC header fields are logged in the LTENR\_PACKET\_INFO field of NetSim's packet trace.

The PDCP header fields are.

- D/C field termed as dCBit in NetSim. This is 0 for control PDU and 1 for Data PDU
- SN field termed SN in NetSim. This provides the sequence number of the PDCP PDU

The RLC header fields are.

- Header Type: If the packet is TMD, UMD or AMD PDU
- Segment Information (SI) field: The meaning of each possible SI field value is defined in the table below Table 3-10.

Value	Description		
SI=ALL	Data field contains all bytes of RLC SDU		
SI=FIRST	Data field contains first segment of an RLC SDU		
SI=LAST	Data field contains last segment of an RLC SDU		
SI=MIDDLE	Data field contains neither the first nor the last segment of RLC SDU		

Table 3-10: RLC header fields

- SN: The SN field indicates the sequence number of the corresponding RLC SDU. For RLC AM, the sequence number is incremented by one for every RLC SDU. For RLC UM, the sequence number is incremented by one for every segmented RLC SDU. RLC service data units (SDUs) coming from the upper layer are segmented or concatenated to RLC protocol data units (PDUs) which has a predefined size. Each PDU is assigned its own sequence number (SN). RLC AM on receiver side will reassemble these PDUs into SDUs using the sequence number.
- SO: The SO field indicates the position of the RLC SDU segment in bytes within the original RLC SDU. Specifically, the SO field indicates the position within the original RLC SDU to which the first byte of the RLC SDU segment in the Data field corresponds.
- Pollbit: The P field indicates whether or not the transmitting side of an AM RLC entity requests a STATUS report from its peer AM RLC entity. 0 indicated that the Status report not requested, while 1 indicates that the Status report is requested.

#### 3.17.3 LTENR Event Trace

#### **3.17.3.1 Sub event types**

#### 1. LTENR\_StartFrame

- Downlink and uplink transmissions are organized into frames.
- There is one set of frames in the uplink and one set of frames in the downlink on a carrier.
- This event is triggered when a frame is formed.
- As frame length is 10ms, the event gets triggered every 10ms.
   (LTENR->LTENR Phy.c-> LTENR addStartFrameEvent() )

#### 2. LTENR\_Start\_Subframe

Each frame consists of 10 subframes.

Event gets triggered every 1 ms
 (LTENR->LTENR Phy.c-> LTENR addStartSubFrameEvent ())

#### 3. LTENR\_StartSlot

- Sub frames are divided into slots.
- Slot size depends on Numerology (μ)
- Event gets triggered every <sup>1</sup>/<sub>2μ</sub> ms
   (LTENR->LTENR Phy.c-> LTENR addStartSlotEvent ())

#### 4. LTENR Generate RRC MIB

 The timer event triggered every 80ms to generate and broadcast MIB packets from gNBs to all UEs.

(LTE-NR->LTENR\_GNBRRC.c-> fn NetSim LTENR GNBRRC GenerateMIB())

#### 5. LTENR\_Generate\_RRC\_SIB1

 The timer event triggered every 160ms to generate and broadcast SIB1 packets from gNB to all UEs.

(LTE-NR-> LTENR\_GNBRRC.c-> fn NetSim LTENR GNBRRC GenerateSIB1())

#### 6. LTENR\_Generate\_RRC\_SI

- Timer event triggered when the selected gNB broadcasts RRC\_SI packets to all the UEs.
- This event is triggered only once, at 160.9ms, during the initial attachment process.

(LTE-NR->LTENR\_GNBRRC.c-> fn NETSIM LTENR SUBEVENT GENERATE SI())

#### 7. LTENR\_Generate\_RRC\_Setup\_Request

Triggered when RRC setup request gets transmitted by UE to connected gNB

#### 8. LTENR\_RRC\_T300

- The timer event triggered when RRC\_Setup\_Request is sent by UE to gNB.
- The timer T300 stops when the RRC\_setup message is received by the UE (LTENR->LTEGNBRRC.c->

LTENR\_RRC\_START\_T300() and LTENR\_RRC\_STOP\_T300() (line #1290))

#### 9. LTENR\_Generate\_RRC\_Setup

- Event triggered when RRC\_Setup message is sent by the selected gNB to the UE.
- The RRC\_Setup message is generated to establish the RRC connection between the UE and the gNB.

(LTENR->LTEGNBRRC.c-> fn NetSIM LTENR RRC GENERATE RRCSETUP())

#### 10. LTENR\_Generate\_RRC\_Setup\_Complete

Timer event triggered during the successful establishment of RRC connection.

#### 11. LTENR\_Generate\_RRC\_UE\_Measurement\_Report\_Request

 Timer event triggered every 120ms, when the gNB sends measurement report request to UE

#### 12.LTENR\_Generate\_RRC\_UE\_Mesurement\_Report

- Timer event triggered when UE sends measurement report to the serving gNB which contains SINR information from all the gNBs.
- Triggered at 240ms after RRC connection establishment and then triggered every 120ms.

#### 13. PDCP\_DiscardTimer

- When the discardTimer expires for a PDCP SDU, or the successful delivery of a PDCP SDU is confirmed by PDCP status report, the transmitting PDCP entity shall discard the PDCP SDU along with the corresponding PDCP Data PDU
- Discarding a PDCP SDU already associated with a PDCP SN causes a SN gap in the transmitted PDCP Data PDUs, which increases PDCP reordering delay in the receiving PDCP entity.

(LTENR->LTENR PDCP.c-LTENR PDCP START DISCARD TIMER ())

#### 14. LTENR\_Generate\_NAS\_Handover\_Request

• Timer event triggered when the initial Handover\_Request is sent by the serving gNB. The handover request is triggered when the SNR from target gNB exceeds the serving gNB by a margin of 3db.

#### 15. Handover\_Request\_Ack

 Timer event triggered when the target gNB receives handover request from the serving gNB and sends back an acknowledgement for the handover request.

#### 16. Handover\_Request\_Command

 Triggered when gNB sends Handover\_Command to UE after receipt of Handover Request Ack

#### 17. Handover\_Request\_Command\_Handle

- Event triggered when UE dissociates from interface of serving gNB and associates with interface of target gNB during a handover.
- Functions like FindInterface(), pathswitch() and RRC\_Reconfiguration() are called in this function

(LTENR->LTENR\_NAS.c>fn\_NetSim\_LTENR\_NAS\_GENERATE\_HANDOVER\_COMMAND\_HANDLE
())

#### 18. Path\_Switch

 Triggered when the target gNB sends the pathswitch packet to the EPC in order to transfer the data path from serving gNB to target gNB

#### 19. Path\_Switch\_Ack

 Triggered when EPC sends acknowledgement to the target gNB on the receipt of the path-switch request.

#### 20. UE Context Release

- Event triggered after successful handover procedure.
- Triggered when target gNB sends context release packet to the serving gNB

#### 21. UE Context Release Ack

 Triggered when acknowledgement is provided by serving gNB to the target gNB on receipt of context release packet.

# 3.18 Enable detailed logs in 5G NR

A detailed 5G NR log can be enabled by a user, by going to the file LTE\_NR.c, and then onto the function bool get\_ltenr\_log\_status(), and changing the return status to true.

```
| The proof of the
```

Figure 3-50: Enable LTE NR log file in visual studio

Then rebuild the code and run the simulation.

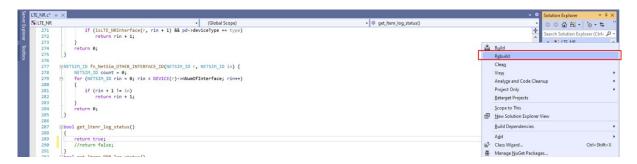


Figure 3-51: Rebuild 5G Project

The log file will be available under Log Files menu in the left panel of the Results Window.

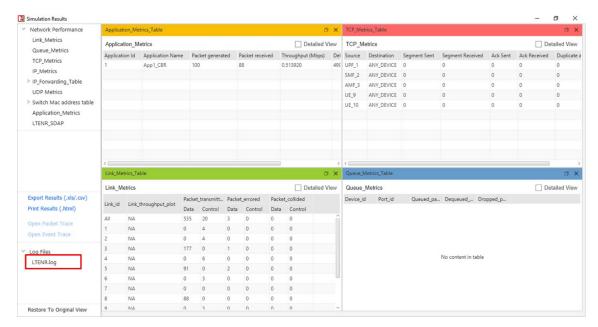


Figure 3-52: Results Window

Among various values noted in the log file is the CQI and MCS information. For example, a user would see in the log file:

CQI Table

15 256QAM 948 7.406300

MCS Table

27 256QAM 8 948.000000 7.406300

The CQI information is according to the 38-214 Table 5.2.2.1-2, 5.2.2.1-3, 5.2.2.1-4. And in the above example:

CQI Index: 15

Modulation: 256QAM

Code Rate x [1024]: 948

Efficiency: 7.406300

The MCS information is according to the 38-214 Table 5.1.3.1-1, 5.1.3.1-2, 5.1.3.1-3. And in the above example:

MCS Index:27

Modulation: 256QAMModulation Order: 8

Target code Rate x [1024]: 948.000000

Spectral efficiency: 7.406300

# 4 Featured Examples

# 4.1 Understand 5G simulation flow through LTENR log file

Open NetSim, Select Examples ->5G NR ->5G-Log-File-and-Packet-Trace

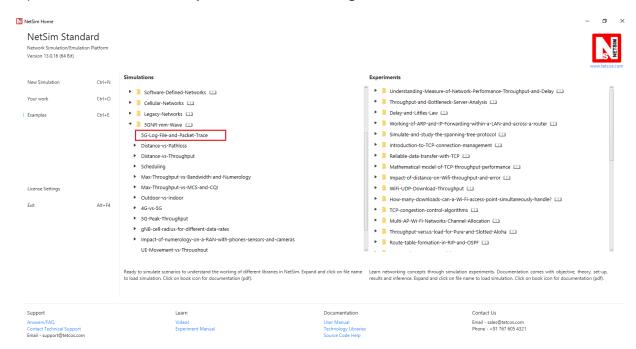


Figure 4-1: Featured Examples list

The following network diagram illustrates, what the NetSim UI displays when you open the example configuration file.

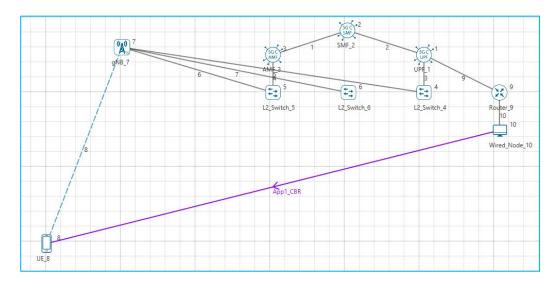


Figure 4-2: Network Topology in this experiment

#### Settings done in example config file:

- CBR application source id as 10 and destination id as 8 with Packet\_Size as 1460 and InterArrival\_Time as 20000 (Generation rate of 0.584 Mbps). Transport Protocol is set to UDP.
- 2. Set other properties to default.
- 3. The log file can enable per the information provided in Section 3.18.
- 4. Enable packet trace, Plots and Run Simulation for 10s.

To view and study the 5GNR design/flow of the simulation, use the LTENR.log file which can be opened post simulation from Results Window > Log Files.

For logging additional information relating to Buffer-status-notification and Transmission-status-notification, open the source code and inside the LTE NR project, uncomment the lines given below in stdafx.h

#### stdafx.h

```
#define LTENR_SDAP_LOG

#define LTENR_PDCP_LOG

#define LTENR_RLC_BUFFERSTATUSREPORTING_LOG

#define LTENR_RLC_TRANSMISSIONSTATUSNOTIFICATION_LOG
```

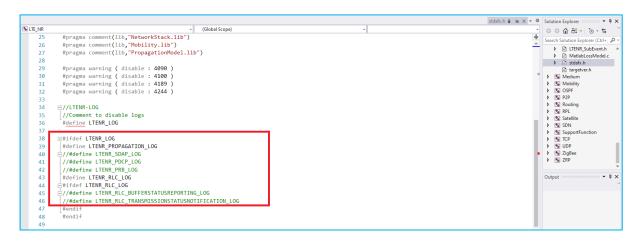


Figure 4-3: LTENR code to log Buffer-status-notification and Transmission-status-notification Rebuild the code to enable logs per Section 3.18 in the 5G-NR manual. Note that log files would generally be quite large (>10 MB of size). In the GUI enable packet trace and event trace before running the simulation. Run the simulation. Open the packet trace and Itenr.log file from the results window.

1. The Physical Resource Block (PRB) list is formed at the beginning of the log file. This corresponds to 1 slot  $\left(\frac{1}{2\mu} ms\right)$  in time-domain and  $15*12*2^{\mu}$  kHz in frequency domain.

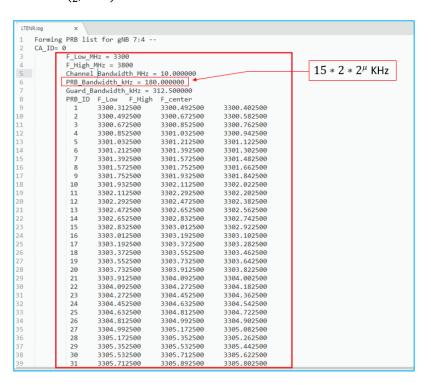


Figure 4-4: LTE NR Log File- PRB List

- 2. The naming convention used in the Itenr log file is gNB <gnb ID>:<Interface>. For example, gNB 7:4 means gNB 7 interface 4.
  - For each numerology and carrier, a resource grid of (max. number of resource blocks for that numerology) \* (number of sub-carriers per resource block) and (number of symbols per sub-frame of that numerology) is defined.
  - In this example the GUI settings (gNB 5G-RAN interface Physical Layer) are:
    - $\circ$   $\mu$  (numerology) is set 0.
    - No. of resource blocks (PRB count) = 52
    - No. of sub-carriers per PRB = 12
    - No. of symbols per sub-frame of numerology (0) = 1.
  - The log file explains the PRB list for gNB (7) on interface (4):
    - The lowest (F\_Low\_MHz) and highest frequency (F\_High\_MHz) for the Uplink/Downlink operating bands are logged first along with the channel bandwidth (MHz), PRB bandwidth(kHz) and guard bandwidth(kHz).
    - The list defines the lower frequency, upper frequency, and central frequency in MHz for each physical resource block of the PRB count.

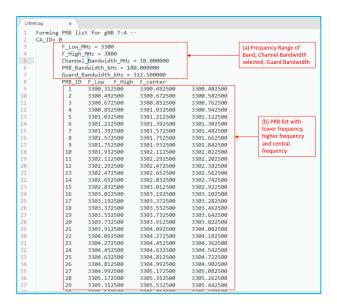


Figure 4-5: LTE NR Log File- Lower, Higher and Central Frequencies for PRB List

- 3. The UE association/dissociation is done which is logged. UE (8) on interface (1) associates with gNB (7) on interface (4). During UE association:
  - The Adaptive Modulation and Coding (AMC) information is initialized for Uplink and Downlink:
    - O AMC information: Links Spectral efficiency is calculated and based on this Channel quality indicator (CQI) (Includes the CQI index, modulation, code rate and efficiency) and Modulation coding scheme (MCS) (Includes the MCS index, modulation, modulation order, code rate and spectral efficiency) is read from the standard table and setup for both Downlink and Uplink.



Figure 4-6: LTE NR Log File- UE Association

- 4. The numerology is equal to 0, hence the slots/sub-frame = 1 and there will be 10 sub-frames per frame. Accordingly, the frames, sub-frames and slots are created as shown below:
  - A new frame gets started for the gNB, where the frame id=1, start time and the end time of the frame are logged.
  - After the frame-1 starts, the sub-frame for the same gnb is started within the frame.
     The frame id=1, sub-frame id=1, start time and end time are logged
  - Within frame-1, sub-frame-1 a slot is started. This slot's ID (1), slot type (Uplink), start time and end time are logged.

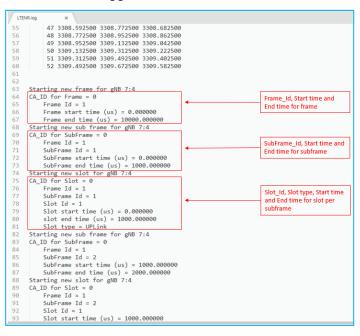


Figure 4-7: LTE NR Log File- Frame and Sub Frame list with start time and end time

- 5. The RLC-sublayer will check the UE buffer for packets. Based on the logical channel (DTCH) and the transmission mode (UM, AM), the entity is identified, and the buffer size of each mode is read. The combined buffer size of all the modes gives the total buffer size (number of bytes to be processed).
- 6. The RLC sub-layer then processes the transmission status notification for downlink:
  - Initially the RLC transmission for the control takes place, where the transmission status for each of the control logical channels i.e., BCCH, CCCH, DCCH and PCCH is calculated based on the mode (TM & AM) they support.
  - While calculating the transmission status for control, the RLC sends the Physical Data Unit (PDU) based on the mode (TM or AM).

- Later the RLC transmission for the data packet happens, where the transmission status for traffic logical channel i.e., DTCH is calculated based on the AM and UM mode it supports.
- DTCH channel supports Un-Ack mode (UM). It checks for the buffer and if the buffer isn't NULL:
  - It will find the buffer that matches the logical channel and it only proceeds further if the size of the PDU is within the minimum RLC PDU size.
  - If the message packet is NULL (or) message type is user data & the payload of PDU is greater than size of PDU, it fragments the UM data buffer packet (or else) the buffer is marked for removal.
  - Then the RLC sends the PDU to the MAC layer. And then the RLC buffer gets updated.
- 7. At time 1000502.4 µs packet arrives at the Service Data Adaptation Protocol (SDAP) sub layer in the gNB:
  - As the packet arrives at the SDAP sub-layer, the SDAP header is appended to the Packet with header size.
  - SDAP sets the RLC mode (here, acknowledge mode) based on QoS and the logical channel (DTCH) is chosen.
  - 8. The packet is passed to the Packet Data Convergence Protocol (PDCP) sub-layer at gNB:
    - Packet is enqueued to the transmission (Tx) buffer and discard time is started.
    - PDCP header is added and packet is passed to the RLC sub-layer.
  - 9. The packet is then passed to the Radio Link Control (RLC) sub-layer at gNB and is added to the transmission buffer.

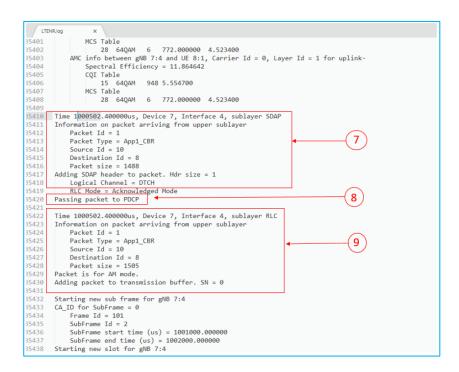


Figure 4-8: LTE NR Log File- SDAP, PDCP and RLC sublayers

10. Now a new sub frame id - 2 with slot id - 1 gets created for the frame id - 1. Here the slot type (Downlink)

Figure 4-9: LTE NR Log File- Frame Id and slot Id

11. The RRC related packets like RRC\_MIB, RRC\_SIB1 arrives are RLC Sub-layer and the packets are added to the transmission buffer.

```
LTENR.log
                slot end time (us) = 160000.000000
2431
2432
               Slot type = Downlink
        Time 160000.000000us, Device 7, Interface 4, sublayer RLC Information on packet arriving from upper sublayer

Packet Id = 0
2433
               Packet Type = RRC_SIB1
Source Id = 7
2436
2437
2438
               Destination Id = 0
         Packet size = 8
Packet is TM mode.
 2439
2441
2442
2443
         Adding packet to transmission buffer.
         Time 160000.000000us, Device 7, Interface 4, sublayer RLC Information on packet arriving from upper sublayer Packet Id = 0
2445
2446
2447
2448
2449
               Packet Type = RRC_MIB
Source Id = 7
               Destination Id = 0
        Packet size = 8
Packet is TM mode.
 2450
 2452
        Adding packet to transmission buffer.
2453
2454
         Starting new frame for gNB 7:4
       CA_ID for Frame = 0
Frame Id = 17
2455
2456
2457
CA_ID for Slot = 0
Frame Id = 17
SubFrame Id = 1
2466
```

Figure 4-10: LTE NR Log File- RRC Packet details

- 12. The data packet is sent from the transmission buffer in DTCH logical channel (for downlink) from gNB to UE. This packet is sent to the MAC sub-layer and the packet is then added to the transmitted buffer.
- 13. The packet enters the Radio Link Control (RLC) protocol sub-layer in the MAC layer at the UE:
  - The PDU (Physical Data Unit) is received at the UE, specific to RLC mode:
  - The AMPDU header of the packet is received and logged. If the sequence number of the PDU is outside the receiving window, the PDU is discarded.
  - It checks if the PDU is already present in the reception buffer. If present it drops the PDU and if the PDU is not present in the reception buffer, then it is added to the reception buffer: The sequence index (SI), sequence number (SN), and sequence order (SO) for the corresponding mode also get updated.
  - Checks if all the Service Data Unit (SDU) byte segments of the PDU packet have been received. If not, it waits for the remaining SDU's before transmitting packet. The reassembly is done for all the SDU if all the SDU byte segments of PDU packet are received.
  - Checks if the reassembly timer is started or not and stops if started and vice-versa.
  - And the status report of RLC-AM is set as delayed.

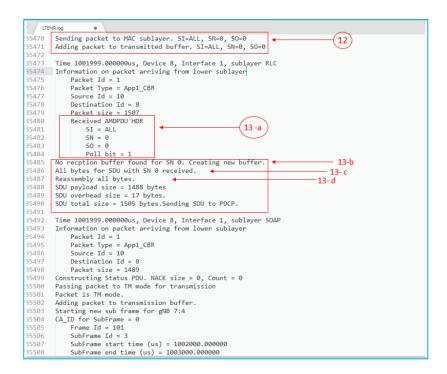


Figure 4-11: LTE NR Log File- MAC sublayer, AMDPDU Header

14. If the header exists, the STATUSPDU is constructed, else the status will be marked as delayed and the packet will pass to TM mode for transmission. PDU is handed over to RLC TM mode and packet gets added to transmission buffer.

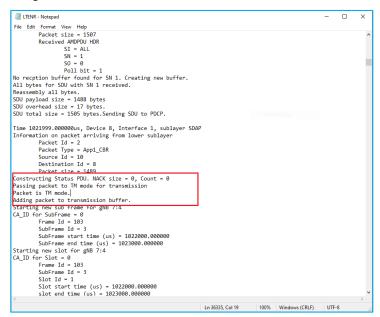


Figure 4-12: LTE NR Log File- STATUSPDU Construction

- 15. The packet is received by the PDCP sub-layer. The PDCP state variables like the receive sequence number(sn), receive hyper frame number(hfn) and the receive count are calculated.
- 16. Next the STATUSPDU gets transmitted from the UE to the gNB (See Packet Trace)

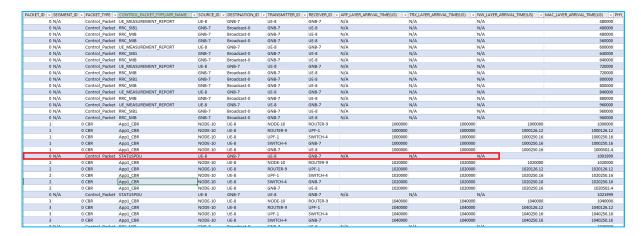


Figure 4-13: 5G NR Packet Trace

- 17. The packet enters the Radio Link Control (RLC) protocol sub-layer in the MAC layer at the UE. Specific to the RLC mode (TM), it receives the Physical Data Unit (PDU) at the UE:
  - Based on the control data type of the packet, the case is chosen.
  - Since it is STATUSPDU type, the STATUSPDU packet is received accordingly at the gNB. And the RLCAM transmitted buffer is cleared and poll retransmit timer is stopped.

# 4.2 Effect of distance on pathloss for different channel models

Open NetSim, Select Examples ->5G NR ->Distance-vs-Pathloss

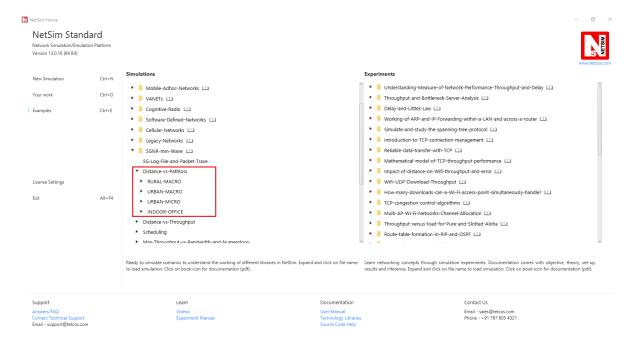


Figure 4-14: Featured Example list

The following network diagram illustrates, what the NetSim UI displays when you open the example configuration file.

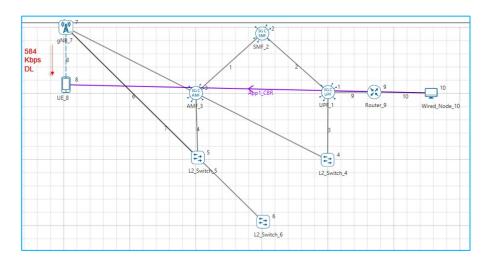


Figure 4-15: Network Topology

#### 4.2.1 Rural-Macro:

#### 4.2.1.1 Line-of-Sight (LOS)

#### Settings done in example config file:

- 1. Set distance between gNB 7 and UE 8 as 30m.
- 2. Go to gNB properties → Interface (5G RAN) → PHYSICAL LAYER.

Properties			
CA Type	INTER_BAND_CA		
CA Configuration	CA_2DL_1UL_n39_n41		
Pathloss Model	3GPPTR38.901-7.4.1		
Outdoor_Scenario	RURAL_MACRO		
LOS_NLOS_Selection	USER_DEFINED		
LOS_Probabillity	1		
Shadow Fading Model	None		
Fading _and_Beamforming	NO_FADING		
O2I Building Penetration Model	None		

Table 4-1: gNB >Interface (5G\_RAN) >Physical layer properties

- 3. CBR application source id as 10 and destination id as 8 with packet size as 1460Bytes and Inter\_Arrival\_time as 20000µs (Generation Rate=0.584). Transport Protocol is set to **UDP**. Additionally, the "**Start Time(s)**" parameter is set to 1s, while configuring the application.
- 4. Set UE height as 10m.

- 5. Set other properties to default.
- 6. Plots are enabled in NetSim GUI.
- 7. The log file can enable per the information provided in Section 3.18.
- 8. Run Simulation for 20s, after the simulation completes Go to metrics window expand Log Files option and open LTENR.log file. Note down the Pathloss.

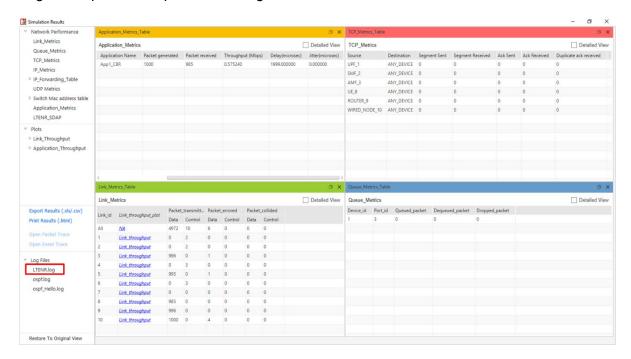


Figure 4-16: Results window

Figure 4-17: LTENR Log file

Change the distance between gNB and UE as 30, 50, 70, 100, 300, 500, 700, and 1000 and note down Pathloss value from the log file.

### 4.2.1.2 Non-Line-of-Sight (NLOS)

#### Settings done in example config file:

- 1. Set distance between gNB 7 and UE 8 as 30m.
- 2. Go to gNB properties → Interface (5G RAN) → PHYSICAL LAYER.

Properties			
CA Type	INTER_BAND_CA		
CA Configuration	CA_2DL_1UL_n39_n41		
Pathloss Model	3GPPTR38.901-7.4.1		
Outdoor_Scenario	RURAL_MACRO		
LOS_NLOS_Selection	USER_DEFINED		
LOS_Probabillity	0		
Shadow Fading Model	None		
Fading _and_Beamforming	NO_FADING		
O2I Building Penetration Model	None		

Table 4-2: gNB >Interface (5G\_RAN) >Physical layer properties

- 3. Set all other properties same as LOS example.
- 4. Plots are enabled in NetSim GUI.
- 5. The log file can enable per the information provided in Section 3.18.
- 6. Run Simulation for 20s, after the simulation completes Go to metrics window expand Log Files option and open Itenr.log file. Note down the Pathloss.

Change the distance between gNB and UE as 30, 50, 70, 100, 300, 500, 700, and 1000 and note down Pathloss value from the log file.

#### 4.2.1.3 Result:

Distance(m)	LOS Pathloss(dB)			NLOS pathloss (dB)		
	CA 0	CA 1	Avg	CA 0	CA 1	Avg
30	68.98	71.68	70.33	71.72	74.43	73.07
50	72.17	74.87	73.52	77.97	80.67	79.32
70	75.19	77.89	76.54	83.86	86.56	85.21
100	78.41	81.11	79.76	90.11	92.81	91.46
300	88.46	91.16	89.81	109.35	112.05	110.70
500	93.28	95.98	94.63	118.29	120.99	119.64
700	96.55	99.25	97.90	124.18	126.88	125.53
1000	100.14	102.84	101.49	130.43	133.13	131.78

Table 4-3: Results Comparison for LOS and NLOS pathloss vs. Distance

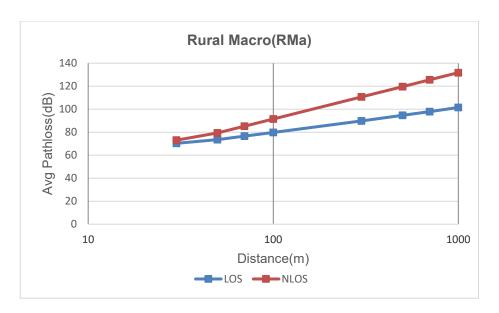


Figure 4-18: Plot of Distance vs. Avg Pathloss

#### 4.2.2 Urban-Macro:

#### 4.2.2.1 Line-of-Sight (LOS)

#### Settings done in example config file:

- 1. Set distance between gNB\_7 and UE\_8 as 30m.
- 2. Go to gNB properties → Interface (5G\_RAN) → PHYSICAL\_LAYER

Properties			
CA Type	INTER_BAND_CA		
CA Configuration	CA_2DL_1UL_n39_n41		
Pathloss Model	3GPPTR38.901-7.4.1		
Outdoor_Scenario	URBAN_MACRO		
LOS_NLOS_Selection	USER_DEFINED		
LOS_Probabillity	1		
Shadow Fading Model	None		
Fading _and_Beamforming	NO_FADING		
O2I Building Penetration Model	None		

**Table 4-4:** gNB >Interface (5G\_RAN) >Physical layer properties

- 3. CBR application source id as 10 and destination id as 8 with packet size as 1460Bytes and Inter\_Arrival\_time as 20000µs (Generation Rate=0.584). Transport Protocol is set to **UDP.** Additionally, the "**Start Time(s)**" parameter is set to 1s, while configuring the application.
- 4. Set UE height as 10m.
- 5. Set other properties to default.

- Plots are enabled in NetSim GUI.
- 7. The log file can enable per the information provided in Section 3.18.
- 8. Run Simulation for 20s, after the simulation completes Go to metrics window expand Log Files option and open LTENR.log file. Note down the Pathloss.

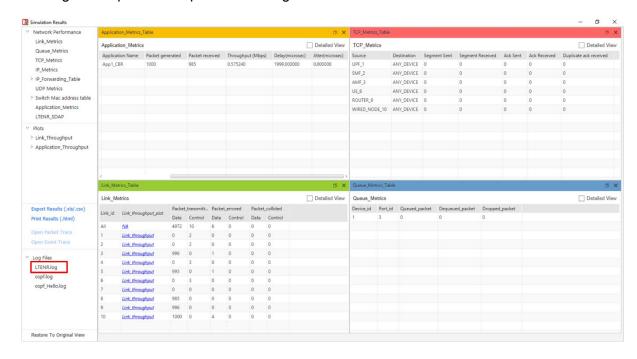


Figure 4-19: Result window

```
Time 80999.000000us, Device 8, Interface 1, sublayer RLC
Information on packet arriving from lower sublayer
Packet Id = 0
Packet Type = RRC_MIB
Source 16 = 7
Destination 16 = 0
Packet size = 8

Carrier Id = 0
Propagation Model starts for gNB=7 and UE=8
ZD Distance = 35.000000m
Channel condition = LOS
Total Propagation Loss = 67.544569d8
Pathloss = 67.544569d8
Sandows Fadiag Loss = 0.000000d8
OZI Penetration Loss = 0.000000d8
OZI Penetration Loss = 0.000000d8
Signal to Noise Ratio (SNM) = 76.283387d8
Spectral Efficiency = 25.340793d8

Domnink for Layer 2
Thermal Noise = -106.838256d8
Signal to Noise Ratio (SNM) = 76.283387d8
Spectral Efficiency = 25.340793d8

UPlink for Layer 1
Thermal Noise = -106.838256d8
Signal to Noise Ratio (SNM) = 59.283387d8
Spectral Efficiency = 19.939517dB

UPlik for Layer 2
Thermal Noise = -106.838256d8
Signal to Noise Ratio (SNM) = 59.283387d8
Spectral Efficiency = 19.939517dB

UPlik for Layer 2
Thermal Noise = -106.838256d8
Signal to Noise Ratio (SNM) = 59.283387d8
Spectral Efficiency = 19.939517dB

Carrier Id = 1
Propagation Model starts for gNB=7 and UE=8
2D Distance = 35.000000m
Channel condition = LOS
Total Propagation Loss = 70.245547d8
Pathloss = 70.245547d8
Shadow Fadiag Loss = 0.000000dB
Downlink for Layer 1
Internal Noise = -103.82756d8
Shadow Fadiag Loss = 0.000000dB
Downlink for Layer 1
Downlink for Layer 1
Downlink for Layer 1
Downlink for Layer 2
Downlink for Layer 2
Downlink for Layer 3
Downlink for Layer 5
Downlink for Layer 1
Downli
```

Figure 4-20: LTENR Log file

Change the distance between gNB and UE as 30, 50, 70, 100, 300, 500, 700, and 1000 and note down Pathloss value from the log file.

**Note:** The minimum distance for rural macro and urban macro is 35m. Below 35m, the 2D and 3D distance will be 35m in Itenr log file.

# 4.2.2.2 Non-Line-of-Sight (NLOS)

## Settings done in example config file:

- 1. Set distance between gNB\_7 and UE\_8 as 30m.
- 2. Go to gNB properties → Interface (5G\_RAN) → PHYSICAL LAYER

Properties	
CA Type	INTER_BAND_CA
CA Configuration	CA_2DL_1UL_n39_n41
Pathloss Model	3GPPTR38.901-7.4.1
Outdoor_Scenario	URBAN_MACRO
LOS_NLOS_Selection	USER_DEFINED
LOS_Probabillity	0
Shadow Fading Model	None
Fading _and_Beamforming	NO_FADING
O2I Building Penetration Model	None

Table 4-5: gNB >Interface (5G\_RAN) >Physical layer properties

- 3. Set all other properties same as LOS example.
- 4. Plots are enabled in NetSim GUI.
- 5. The log file can enable per the information provided in Section 3.18
- 6. Run Simulation for 20s, after the simulation completes Go to metrics window expand Log Files option and open Itenr.log file. Note down the Pathloss.

Change the distance between gNB and UE as 30, 50, 70, 100, 300, 500, 700, and 1000 and note down Pathloss value from the log file.

#### 4.2.2.3 Result:

Distance(m)	LOS Pathloss(dB)			NLOS	6 pathlos	s (dB)
	CA 0	CA 1	Avg	CA 0	CA 1	Avg
30	67.54	70.24	68.89	74.35	77.05	75.70
50	70.95	73.65	72.30	80.41	83.11	81.76
70	74.16	76.86	75.51	86.12	88.82	87.47
100	77.57	80.27	78.92	92.17	94.87	93.52
300	88.07	90.77	89.42	110.82	113.52	112.17
500	92.95	95.65	94.30	119.49	122.19	120.84
700	96.16	98.86	97.51	125.20	127.90	126.55
1000	99.57	102.27	100.92	131.25	133.95	132.60

Table 4-6: Results Comparison for LOS and NLOS pathloss vs. Distance

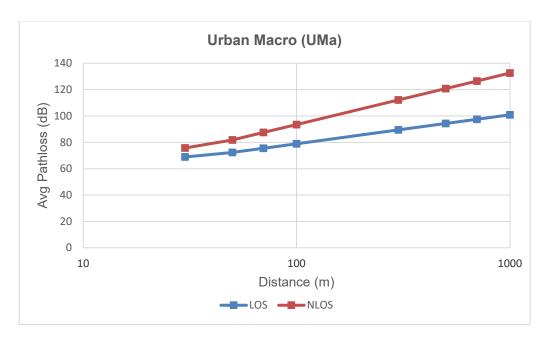


Figure 4-21: Plot of Distance vs. Avg Pathloss

#### 4.2.3 Urban-Micro:

# 4.2.3.1 Line-of-Sight (LOS)

# Settings done in example config file:

- 1. Set distance between gNB 7 and UE 8 as 30m.
- 2. Go to gNB properties → Interface (5G\_RAN) → PHYSICAL LAYER

Properties	
СА Туре	INTER_BAND_CA
CA Configuration	CA_2DL_1UL_n39_n41
Pathloss Model	3GPPTR38.901-7.4.1
Outdoor_Scenario	URBAN_MICRO
LOS_NLOS_Selection	USER_DEFINED
LOS_Probabillity	1
Shadow Fading Model	None
Fading _and_Beamforming	NO_FADING
O2I Building Penetration Model	None

Table 4-7: gNB >Interface (5G\_RAN) >Physical layer properties

- 3. CBR application source id as 10 and destination id as 8 with packet size as 1460Bytes and Inter\_Arrival\_time as 20000µs (Generation Rate=0.584). Transport Protocol is set to **UDP.** Additionally, the "**Start Time(s)**" parameter is set to 1s, while configuring the application.
- 4. Set UE height as 10m.

- 5. Set other properties to default.
- 6. Plots are enabled in NetSim GUI.
- 7. The log file can enable per the information provided in Section 3.18.
- 8. Run Simulation for 20s, after the simulation completes Go to metrics window expand Log Files option and open Itenr.log file. Note down the Pathloss.

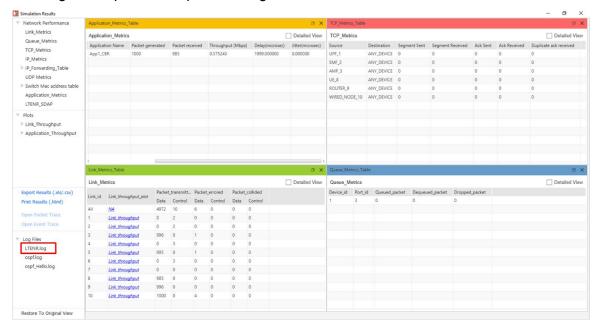


Figure 4-22: Result window

```
Time 80999 .000000us, Device 8, Interface 1, sublayer RLC
Information on packet arriving from lower sublayer

Packet Type = NC_MIB
Source Id = 7
Destination Id = 0
Packet size = 8

Carrier Id = 0
Propagation Model starts for gNB=7 and UE=8
2D Distance=30.000000m
3D Distance=30.000000m
Total Propagation Loss = 68.994618dB
Pathloss = 68.994618dB
Shadow Fading Loss = 0.000000dB
OZI Penetration Loss = 0.000000dB
Shadow Fading Loss = 0.000000dB
Downlink for Layer 1
Thermal Noise = -106.83256dB
Signal to Noise Ratio (SNR) = 74.833338dB
Spectral Efficiency = 24.859097dB

UPlink for Layer 1
Thermal Noise = -106.838256dB
Signal to Noise Ratio (SNR) = 57.833338dB
Spectral Efficiency = 24.859097dB

UPlink for Layer 1
Thermal Noise = -106.838256dB
Signal to Noise Ratio (SNR) = 57.833338dB
Spectral Efficiency = 19.211821dB

Carrier Id = 1
Propagation Model starts for gNB=7 and UE=8
2D Distance = 30.000000m
Chammel Condition = LOS = 71.605597dB
Pathloss = 71.605597dB
Pathloss = 71.605597dB
Shadow Fading Loss = 0.0000000B
Downlink for Layer 2
Thermal Noise = -106.838256dB
Signal to Noise Ratio (SNR) = 57.833338dB
Spectral Efficiency = 19.211821dB

Carrier Id = 1
Propagation Model starts for gNB=7 and UE=8
2D Distance=30.000000m
Chammel Condition = LOS = 71.605597dB
Pathloss = 71.605597dB
Shadow Fading Loss = 0.0000000B
Downlink for Layer 1
Thermal Noise = -100.827956dB
Signal to Noise Ratio (SNR) = 69.122059dB
```

Figure 4-23: LTENR Log file

Change the distance between gNB and UE as 30, 50, 70, 100, 300, 500, 700, and 1000 and note down Pathloss value from the log file.

## 4.2.3.2 Non-Line-of-Sight (NLOS)

#### Settings done in example config file:

- 1. Set distance between gNB\_7 and UE\_8 as 30m.
- 2. Go to gNB properties → Interface (5G\_RAN) → PHYSICAL\_LAYER.

Properties	
CA Type	INTER_BAND_CA
CA Configuration	CA_2DL_1UL_n39_n41
Pathloss Model	3GPPTR38.901-7.4.1
Outdoor_Scenario	URBAN_MICRO
LOS_NLOS_Selection	USER_DEFINED
LOS_Probabillity	0
Shadow Fading Model	None
Fading _and_Beamforming	NO_FADING
O2I Building Penetration Model	None

Table 4-8: gNB >Interface (5G RAN) >Physical layer properties

- 3. Set all other properties same as LOS example.
- 4. Plots are enabled in NetSim GUI.
- 5. The log file can enable per the information provided in Section 3.18.
- 6. Run Simulation for 20s, after the simulation completes Go to metrics window expand Log Files option and open Itenr.log file. Note down the Pathloss.

Change the distance between gNB and UE as 30, 50, 70, 100, 300, 500, 700, and 1000 and note down Pathloss value from the log file.

#### 4.2.3.3 Result:

Distance(m)	LOS Pathloss (dB)			NLOS	6 pathlos	s (dB)
	CA 0	CA 1	Avg	CA 0	CA 1	Avg
30	68.99	71.69	70.34	77.92	80.80	79.36
50	73.65	76.35	75.00	85.76	88.63	87.195
70	76.72	79.42	78.07	90.91	93.79	92.35
100	79.97	82.67	81.32	96.38	99.26	97.82
300	89.99	92.69	91.34	113.22	116.10	114.66
500	94.65	97.35	96.00	121.06	123.93	122.495
700	97.72	100.42	99.07	126.21	129.09	127.65
1000	100.97	103.67	102.32	131.68	134.56	133.12

Table 4-9: Results Comparison for LOS and NLOS pathloss vs. Distance

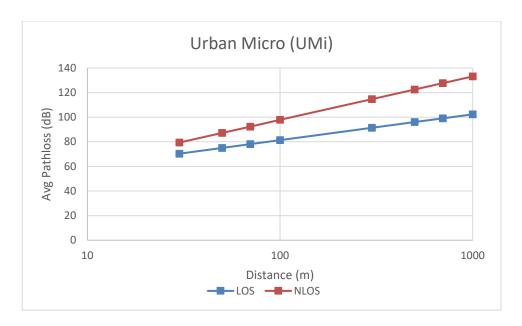


Figure 4-24: Plot of Distance vs. Avg Pathloss

#### 4.2.4 Indoor-Office:

The following network diagram illustrates, what the NetSim UI displays when you open the example configuration file.

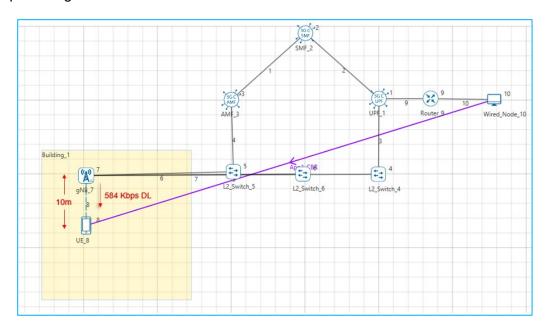


Figure 4-25: Network Topology for this experiment

# 4.2.4.1 Line-of-Sight (LOS)

# Settings done in example config file:

- 1. Drop the building and drop gNB and UE inside the building.
- 2. Set distance between gNB\_7 and UE\_8 as 10m.
- 3. Go to gNB properties → Interface (5G\_RAN) → PHYSICAL\_LAYER.

Properties	
CA Type	INTER_BAND_CA
CA Configuration	CA_2DL_1UL_n39_n41
Pathloss Model	3GPPTR38.901-7.4.1
Outdoor_Scenario	RURAL_MACRO
LOS_NLOS_Selection	USER_DEFINED
LOS_Probabillity	1
Indoor Scenario	INDOOR_OFFICE
Shadow Fading Model	None
Fading _and_Beamforming	NO_FADING
O2I Building Penetration Model	None

Table 4-10: gNB >Interface (5G\_RAN) >Physical layer properties

- 4. CBR application source id as 10 and destination id as 8 with packet size as 1460Bytes and Inter\_Arrival\_time as 20000µs (Generation Rate=0.584). Transport Protocol is set to **UDP**. Additionally, the "**Start Time(s)**" parameter is set to 1s, while configuring the application.
- 5. Set UE height as 10m.
- 6. Set other properties to default.
- 7. Plots are enabled in NetSim GUI.
- 8. The log file can enable per the information provided in Section 3.18.
- 9. Run Simulation for 20s, after the simulation completes Go to metrics window expand Log Files option and open LTENR.log file. Note down the Pathloss.

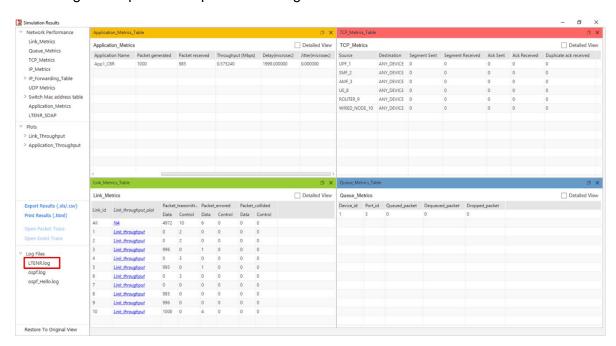


Figure 4-26: Results Window

Figure 4-27: LTENR Log file

Change the distance between gNB and UE as 10, 20, 30, 40, 50, 60, 70, 80, 90, and 100 and note down Pathloss value from the log file.

## 4.2.4.2 Non-Line-of-Sight (NLOS)

# Settings done in example config file:

- 1. Drop the building and drop gNB and UE inside the building.
- 2. Set distance between gNB\_7 and UE\_8 as 10m.
- Go to gNB properties → Interface (5G\_RAN) → PHYSICAL\_LAYER.

Properties	
CA Type	INTER_BAND_CA
CA Configuration	CA_2DL_1UL_n39_n41
Pathloss Model	3GPPTR38.901-7.4.1
Outdoor_Scenario	RURAL_MACRO
LOS_NLOS_Selection	USER_DEFINED
LOS_Probabillity	0
Indoor Scenario	INDOOR_OFFICE
Shadow Fading Model	None
Fading _and_Beamforming	NO_FADING
O2I Building Penetration Model	None

Table 4-11: gNB >Interface (5G\_RAN) >Physical layer properties

4. Set all other properties same as LOS example.

- 5. Plots are enabled in NetSim GUI.
- 6. The log file can enable per the information provided in Section 3.18.
- 7. Run Simulation for 20s, after the simulation completes Go to metrics window expand Log Files option and open Itenr.log file. Note down the Pathloss.

Change the distance between gNB and UE as 10, 20, 30, 40, 50, 60, 70, 80, 90, and 100 and note down pathloss values from the log file.

#### 4.2.4.3 Result:

Distance(m)	LOS Pathlos	s (dB)		NLOS	pathloss	s (dB)
	CA 0	CA 1	Avg	CA 0	CA 1	Avg
10	55.27	57.97	56.62	62.54	65.90	64.22
20	60.48	63.18	61.83	74.07	77.43	75.75
30	63.52	66.23	64.875	80.81	84.17	82.49
40	65.69	68.39	67.04	85.59	88.96	87.27
50	67.36	70.06	68.71	89.31	92.67	90.99
60	68.73	71.43	70.08	92.34	95.70	94.02
70	69.89	72.59	71.24	94.90	98.27	96.58
80	70.89	73.59	72.24	97.12	100.49	98.80
90	71.78	74.48	73.13	99.08	102.45	100.76
100	72.57	75.27	73.92	100.84	104.20	102.52

Table 4-12: Results Comparison for LOS and NLOS pathloss vs. Distance

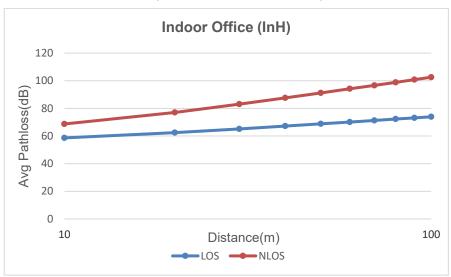


Figure 4-28: Plot of Distance vs. Avg Pathloss

# 4.3 Effect of UE distance on throughput in FR1 and FR2

In this example we understand how the downlink UDP throughput of a UE varies as its distance from a gNB is increased. Rebuild the code to enable logs per Section 3.18 in this manual. Open NetSim, Select Examples ->5G NR ->Distance-vs-Throughput

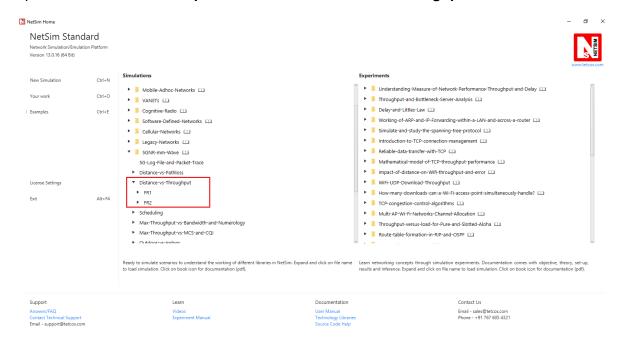


Figure 4-29: Featured Example list

The following network diagram illustrates, what the NetSim UI displays when you open the example configuration file.

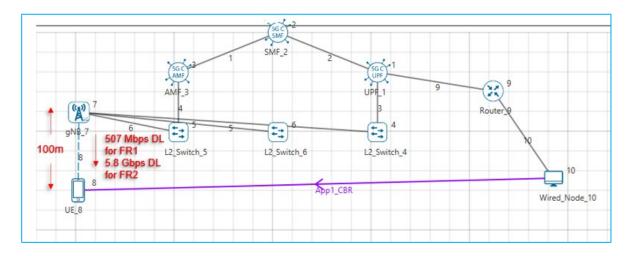


Figure 4-30: Network Topology in this experiment

# 4.3.1 Frequency Range - FR1:

#### Settings done in example config file:

- 1. Set grid length as 2500m from NetSim 5G Network Setup Window.
- 2. Set distance between gNB 7 and UE 8 as 100m.

3. Go to Wired link properties and set the following properties as shown below.

Wired Link Properties	
Uplink_Speed	1000Mbps
Downlink_Speed	1000Mbps

Table 4-13: Wired Link Properties

4. Go to gNB properties → Interface (5G\_RAN) → PHYSICAL\_LAYER, set the following properties as shown below **Table 4-14.** 

Properties	
CA_Type	INTER_BAND_CA
CA_Configuration	CA_2DL_1UL_n39_n41
CA1	
Numerology	2
Channel Bandwidth	40 MHz
CA2	
Numerology	2
Channel Bandwidth	100 MHz
Pathloss Model	3GPPTR38.901-7.4.1
Shadow Fading Model	None
Fading _and_Beamforming	NO_FADING
O2I Building Penetration Model	Low Loss Model
Outdoor_Scenario	URBAN_MACRO
LOS_NLOS_Selection	USER_DEFINED
LOS_Probabillity	0

Table 4-14: gNB >Interface (5G\_RAN) >Physical layer properties

- 5. Set Tx\_Antenna\_Count and Rx\_Antenna Count in gNB as 2 and 2.
- 6. Set Tx\_Antenna\_Count and Rx\_Antenna\_Count in UE as 2 and 2.
- 7. Go to Application properties and set the following properties as shown below **Table 4-15.**

Application Properties		
Source_Id	10	
Destination_Id	8	
QoS	UGS	
Transport Protocol	UDP	
Packet_Size	1460Bytes	

Inter_Arrival_time	23µs
Start_Time	1s

Table 4-15: Application properties

- 8. The log file can enable per the information provided in Section 3.18.
- 9. Plots are enabled in NetSim GUI.
- 10. Run Simulation for 2s, after simulation completes go to metrics window and note down throughput value from application metrics.

Change the distance between gNB and UE as 200, 300, 400, 500, 600, 700, 800, 900, and 1000m and note down throughput from the results window. The other parameters in table shown below can be noted down from the LTE NR log file.

# 4.3.2 Frequency Range - FR2:

#### Settings done in example config file:

- 1. Set grid length as 2500m from Environment setting.
- 2. Set distance between gNB 7 and UE 8 as 50m.
- 3. Go to Wired link properties and set the following properties as shown below **Table 4-16**.

Wired Link Properties				
Uplink_Speed	10000Mbps			
Downlink_Speed	10000Mbps			

Table 4-16: Wired Link Properties

4. Go to gNB properties → Interface (5G\_RAN) → PHYSICAL\_LAYER, set the following properties as shown below **Table 4-17**.

Properties					
Physical Layer Properti	es				
Frequency Range		FR2			
CA Type		INTRA_BAND_NONCONTIGUOUS_CA			
CA_Configuration		CA_n261(7O) _n261A			
	Numerology	Channel Bandwidth (MHz) per carrier			
CA1, CA2, CA3, CA4, CA5, CA6, CA7, CA8, CA9, CA10, CA11, CA12, CA13, CA14	3	100			
Pathloss Model		3GPPTR38.901-7.4.1			
Shadow Fading Model		None			
Fading _and_Beamforn	ning	NO_FADING			

O2I Building Penetration Model	Low Loss Model
Outdoor_Scenario	URBAN_MACRO
LOS_NLOS_Selection	USER_DEFINED
LOS Probability	0

Table 4-17: gNB >Interface (5G\_RAN) >Physical layer properties

- 5. Set Tx Antenna Count and Rx Antenna Count in gNB as 2 and 2.
- 6. Set Tx\_Antenna\_Count and Rx\_Antenna\_Count in UE as 2 and 2.
- 7. Go to Application properties and set the following properties as shown below **Table 4-18**.

Application Properties					
Source_ld	10				
Destination_Id	8				
QoS	UGS				
Transport Protocol	UDP				
Packet_Size	1460Bytes				
Inter_Arrival_time	2µs				
Start_Time	1s				

Table 4-18: Application properties

- 8. The log file can enable per the information provided in Section 3.18.
- 9. Plots are enabled in NetSim GUI.
- 10. Run Simulation for 1.05s, after simulation completes go to metrics window and note down throughput value from application metrics.

Change the distance between gNB and UE as 50, 100, 150, and 200 and note down throughput from the results window. The other parameters in the table shown below can be noted down from the LTENR log file.

#### Results:

**Note:** The values of Carrier\_Id=0 present in the log file have been considered in the tables given below. (SNR and spectral efficiency are shown for downlink Layer1).

Distance(m)	Pathloss (dB)	SNR (dB)	Downlink Efficiency (dB)	CQI Index	Modulation	Code Rate <i>R*[1024]</i> (CQI)	Code Rate R*[1024] (MCS)	Throughput (Mbps)
100	97.34	37.46	12.44	15	64QAM	948	772	505.23
200	109.05	25.74	8.55	15	64QAM	948	772	505.23

300	115.93	18.86	6.28	15	64QAM	948	772	505.23
400	120.80	13.98	4.70	13	64QAM	772	772	453.74
500	124.59	10.20	3.52	11	64QAM	567	567	293.00
600	127.68	7.11	2.61	9	16QAM	616	616	185.89
700	130.30	4.49	1.93	8	16QAM	490	490	131.40
800	132.56	2.22	1.41	6	QPSK	602	602	80.67
900	134.56	0.22	1.03	5	QPSK	449	449	52.06
1000	136.35	-1.55	0.76	4	QPSK	308	308	36.68

**Table 4-19:** FR1 - Variation of pathloss, SNR, Efficiency, CQI, Modulation, code rates and throughput as the distance of the UE from the gNB is increased.

Distance(m)	Pathloss (dB)	SNR (dB)	Downlink Efficiency (dB)	CQI Index	Modulation	Code Rate <i>R*[1024]</i> <i>(CQI)</i>	Code Rate R*[1024] (MCS)	Throughput (Mbps)
50	109.09	21.72	7.22	15	64QAM	948	772	4167.19
100	120.68	10.13	3.50	11	64QAM	567	567	3127.90
150	127.53	3.28	1.64	7	16QAM	378	378	1329.18
200	132.40	-1.58	0.76	4	QPSK	308	308	522.09

**Table 4-20:** FR 2 - Variation of pathloss, SNR, Efficiency, CQI, Modulation, code rates and throughput as the distance of the UE from the gNB is increased.

Increase in distance leads to an increase in pathloss, which in turn hence leads to lower received power (and lower SNR). The lower SNR leads to a lower MCS, in turn a lower CQI and thereby results in lower throughputs. The drop for FR2 happens at a much faster rate in comparison to FR1. Note that the number of information bits is got from then Transport Block Size Determination calculations given in *Transport block size* (TBS) determination. The throughput would depend on the TBS.

# 4.4 Impact of MAC Scheduling algorithms on throughput, in a Multi UE scenario

In this example we understand how the scheduling algorithm affects the UDP download throughput of a multi-user (UE) system where the UE's are at different distances from the gNB. Open NetSim, Select **Examples** ->5G NR ->Scheduling

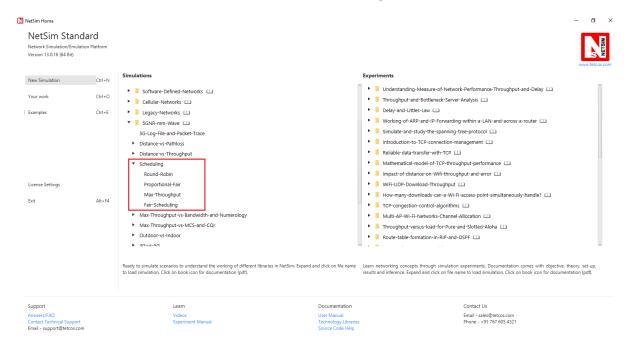


Figure 4-31: Featured Example list

The following network diagram illustrates, what the NetSim UI displays when you open the example configuration file.

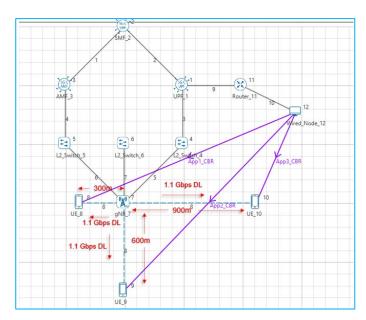


Figure 4-32: Network Topology in this experiment

#### 4.4.1 Round Robin

# Settings done in example config file:

- 1. Set grid length as 4000m from Environment setting.
- 2. Set distance as follows.
  - a. gNB\_7 to UE\_8 = 300m
  - b. gNB 7 to UE 9 = 600m, and
  - c. gNB\_7 to UE\_10 = 900m
- 3. Go to Wired link properties and set the following properties as shown below Table 4-21.

Wired Link Properties				
Uplink_Speed 5000Mbps				
Downlink_Speed	5000Mbps			

Table 4-21: Wired Link Properties

4. Go to gNB properties → Interface (5G\_RAN), set the following properties as shown below Table 4-22.

Properties					
DataLink Layer Properties					
Scheduling Type ROUND_ROBIN					
Physical Layer Properties					
CA_Configuration	CA_2DL_1UL_n39_n41				
CA1					
Numerology	2				
Channel Bandwidth	40 MHz				
CA2					
Numerology	2				
Channel Bandwidth	100 MHz				
Outdoor_Scenario	URBAN_MACRO				
LOS_NLOS_Selection	USER_DEFINED				
LOS_Probabillity	0				
Pathloss Model	3GPPTR38.901-7.4.1				
Shadow Fading Model	None				
Fading _and_Beamforming	NO_FADING				
O2I Building Penetration Model	Low Loss Model				

Table 4-22: gNB >Interface (5G\_RAN) >Physical layer properties

- 5. Set Tx Antenna Count as 2 and Rx Antenna Count as 1 in gNB properties.
- 6. Set Tx\_Antenna\_Count as 1 and Rx\_Antenna\_Count as 2 in all the UEs.

7. Go to Application properties and set the following properties as shown below **Table** 4-23.

Application Properties						
	Application 1	Application 2	Application 3			
Application Type	CBR	CBR	CBR			
Source_ld	12	12	12			
Destination_ld	8	9	10			
QoS	UGS	UGS	UGS			
Transport Protocol	UDP	UDP	UDP			
Packet_Size	1460Bytes	1460Bytes	1460Bytes			
Inter_Arrival_time	10µs	10µs	10µs			
Start_Time	1s	1s	1s			

Table 4-23: Application properties

- 8. Plots are enabled in NetSim GUI.
- 9. Run Simulation for 1.5s, after simulation completes go to metrics window and note down throughput value from application metrics.

## 4.4.2 Proportional Fair

#### Settings done in example config file:

- Set all the properties as configured in Round Robin example and go to gNB properties
   → Interface (5G\_RAN) → Data Link Layer properties, set Scheduling type as PROPORTIONAL FAIR.
- 2. Run Simulation for 1.5s, after simulation completes go to metrics window and note down throughput value from application metrics.

#### 4.4.3 Max Throughput

# Settings done in example config file:

- Set all the properties as configured in Round Robin example and go to gNB properties
   → Interface (5G\_RAN) → Data Link Layer properties, set Scheduling type as MAX THROUGHPUT.
- 4. Run Simulation for 1.5s, after simulation completes go to metrics window and note down throughput value from application metrics.

## 4.4.4 Fair Scheduling

#### Settings done in example config file:

- Set all the properties as configured in Round Robin example and go to gNB properties
   → Interface (5G\_RAN) → Data Link Layer properties, set Scheduling type as FAIR SCHEDULING.
- 2. Run Simulation for 1.5s, after simulation completes go to metrics window and note down throughput value from application metrics.

**Result:** We first run the scenario with each of the UEs downloading a single application in standalone basis. This gives the maximum achievable rate per node. The results are below.

Distance from gNB (m)	Application Id	Throughput (Mbps)
300	1	672.13
600	2	185.85
900	3	52.00

**Table 4-24:** UE throughputs if they were run standalone (without the other UEs downloading data)

We then run the same simulation with all the three UEs simultaneously downloading data.

Throughput (Mbps)								
Scheduling	Application 1	Application 2	Application 3	Aggregate				
Round Robin	223.90	61.90	17.37	303.17				
Proportional Fair	665.25	3.59	0.00	668.84				
Max Throughput	672.13	0.00	0.00	672.13				
Fair Scheduling	37.03	37.19	37.33	111.54				

**Table 4-25:** UDP download throughputs for different scheduling algorithms when all three 3 UEs simultaneously downloading data

The PHY rate is decided based on the SNR. A UE closer to the gNB will get a higher data rate than a UE further away. In this example the distances from the gNB are such that UE10 Distance > UE9 Distance > UE8 Distance.

In Round Robin PRBs are allocated equally among all three nodes. However, throughputs are in the order UE8 > UE9 > UE10 because of their distances from the gNB. The individual throughputs seen by each of the UEs is exactly  $\frac{1}{3}$  of the throughput as shown in **Table 4-24.** 

In proportional fair scheduling the resource allocation is such that nearer UEs get proportionally higher allocation (based on CQI) when compared to further away UEs. In Max throughput scheduling the PRBs are allocated such that the system gets the maximum download throughput.

Fair scheduling provides strict fairness, and this results in all applications seeing equal throughput.

# 4.5 Max Throughput for various bandwidth and numerology configurations

Open NetSim, Select Examples ->5G NR ->Max-Throughput-vs-Bandwidth-and-Numerology

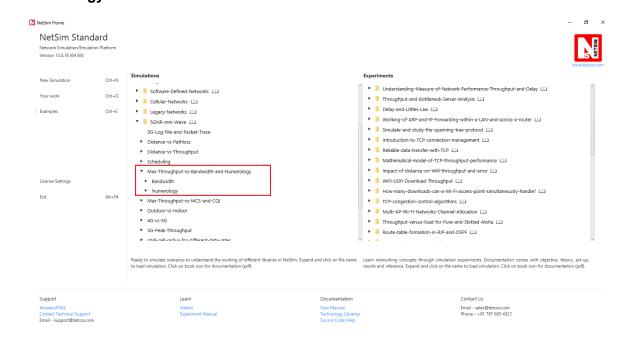


Figure 4-33: Featured Example list

The following network diagram illustrates, what the NetSim UI displays when you open the example configuration file.

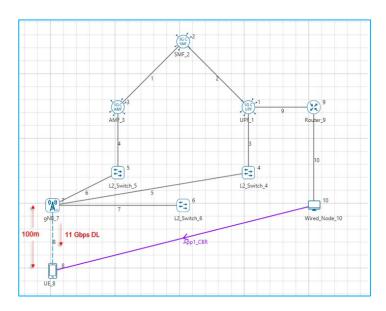


Figure 4-34: Network Topology in this experiment

#### Settings done in example config file:

- 1. Set grid length as 1000m from Environment settings.
- 2. Go to gNB properties → Interface (5G\_RAN), set the following properties as shown below Table 4-26.

Properties		
Physical Layer Properties		
CA_Configuration	CA_2DL_1UL_n39_n41	
Frequency Range	FR1	
MCS Table	QAM256	
CQI Table	TABLE2	
Pathloss Model	None	

Table 4-26: gNB >Interface (5G\_RAN) >Physical layer properties

- Set Tx\_Antenna\_Count as 2 and Rx\_Antenna\_Count as 1 in gNB propertis > Interface
   5G\_RAN > Physical Layer.
- 4. Set Tx\_Antenna\_Count as 1 and Rx\_Antenna\_Count as 2 in UE propertis > Interface 5G\_RAN > Physical Layer.
- 5. Go to Wired link properties and set the following properties as shown below Table 4-27.

Wired Link Properties	
Uplink_Speed (Mbps) 100,000	
Downlink_Speed (Mbps)	100,000

Table 4-27: Wired Link Properties

6. Go to Application properties and set the following properties as shown below **Table 4-28**.

Application Properties		
Source_Id	10	
Destination_Id	8	
Transport Protocol	UDP	
Start_Time	1s	
Packet_Size	1460 Bytes	
Inter_Arrival_time	1µs	
Generation Rate	10,000 Mbps	

Table 4-28: Application properties

- 7. Plots are enabled in NetSim GUI.
- 8. Run Simulation for 1.01s, after simulation completes go to metrics window and note down throughput and delay value from application metrics.

For the first time set Numerology value as 1 in gNB properties and change CA1 bandwidth value as 10, 20, 30, and 40, CA2 bandwidth value as 10, 20, 30, 40, 50, 60, 80, 90, and 100 note down throughput.

For the second time set CA1 bandwidth value as 40, CA2 bandwidth value as 50 and 100 in gNB properties and change the Numerology value as 0, 1, and 2 and note down throughput.

#### Result:

Numerology = 1		
Bandwidth Throughput (Mbps)		
CA1=10, CA2=10	131.98	
CA1=20, CA2=20	283.82	
CA1=30, CA2=30	441.50	
CA1=40, CA2=40	600.35	
CA1=40, CA2=50	699.63	
CA1=40, CA2=60	808.25	
CA1=40, CA2=80	1011.48	
CA1=40, CA2=90	1114.27	
CA1=40, CA2=100	1218.22	

Table 4-29: Results Comparison with constant Numerology vs. Bandwidth and throughput

Bandwidth	Numerology	Throughput (Mbps)	Delay (μs)
CA1=40, CA2=50	0	685.61	5708.27
CA1=40, CA2=50	1	699.63	5198.77
CA1=40, CA2=50	2	683.28	4955.03

**Table 4-30:** Results Comparison with different Numerology vs. Bandwidth, throughput and Delay As Numerology increases the throughput remains almost the same while delay reduces.

# 4.6 Max Throughput for different MCS and CQI

Open NetSim, Select Examples ->5G NR ->Max-Throughput-vs-MCS-and-CQI

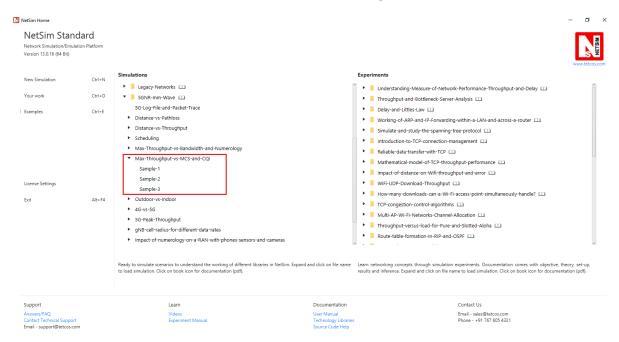


Figure 4-35: Featured Example list

The following network diagram illustrates, what the NetSim UI displays when you open the example configuration file.

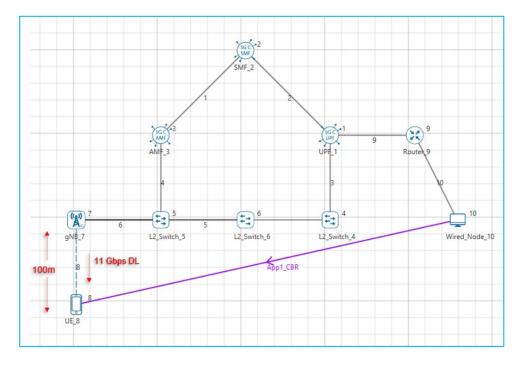


Figure 4-36: Network Topology

# Settings done in example config file:

- 1. Set grid length as 1000m from Environment setting.
- 2. Go to gNB properties → Interface (5G\_RAN), set the following properties as shown below Table 4-31.

Properties			
Physical Layer Properties			
Frequency Range FR2		FR2	
CA_Configu	ration	CA_n261(2Q) _n261A	
	Numerology	Channel Bandwidth (MHz)	
CA1	2	100	
CA2	2	100	
CA3	2	100	
CA4	2	100	
CA5	2	100	
CA6	2	100	
CA7	2	100	
CA8	2	100	
Pathloss Model		None	

Table 4-31: gNB >Interface (5G\_RAN) >Physical layer properties

3. Go to Wired link properties and set the following properties as shown below Table 4-32.

Wired Link Properties	
Uplink_Speed (Mbps)	100000
Downlink_Speed (Mbps)	100000

Table 4-32: Wired Link Properties

4. Go to Application properties and set the following properties as shown below **Table** 4-33.

Application Properties		
Source_ld	10	
Destination_Id	8	
Transport Protocol	UDP	
Start_Time	1s	
Packet_Size	1460Bytes	
Inter_Arrival_time	1µs	
Generation Rate	10000Mbps	

Table 4-33: Application properties

- 5. Plots are enabled in NetSim GUI.
- 6. Run Simulation for 1.002s, after simulation completes go to metrics window and note down throughput and delay value from application metrics.

For the first sample set MCS Table as **QAM64LOWSE** and CQI Table as **TABLE3** and note down throughput.

For the second sample set MCS Table as **QAM64** and CQI Table as **TABLE1** and note down throughput.

For the third sample set MCS Table as **QAM256** and CQI Table as **TABLE2** and note down throughput.

#### Result:

MCS Table	CQI Table	Throughput (Mbps)
QAM64LOWSE	TABLE3	2382.72
QAM64	TABLE1	3007.60
QAM256	TABLE2	3930.32

Table 4-34: Results Comparison

# 4.7 Outdoor vs. Indoor Propagation

Open NetSim, Select Examples ->5G NR -> Outdoor-vs-Indoor

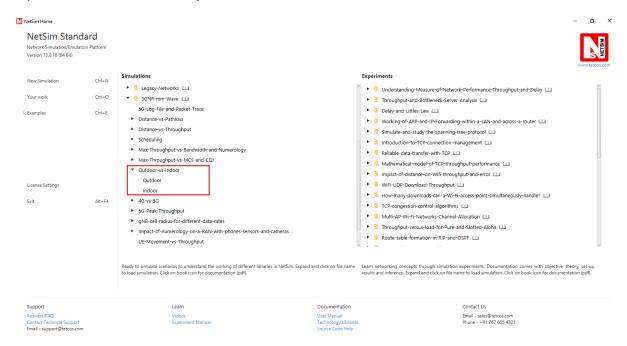


Figure 4-37: Featured Example list

The following network diagram illustrates, what the NetSim UI displays when you open the example configuration file.

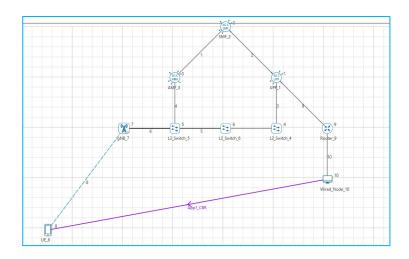


Figure 4-38: Network topology

# 4.7.1 Outdoor:

# Settings done in example config file:

- 1. Set grid length as 1000m from Environment setting.
- 2. Set the following property as shown in below **Table 4-35**.

General Properties	5	
	X Coordinates	Y Coordinates
gNB Properties	300	200
UE Properties	150	400

Table 4-35: Device Positions

3. Go to gNB properties → Interface (5G\_RAN), set the following properties as shown below Table 4-36.

Properties		
Physical Layer Properties		
gNB Height (m)	10	
Tx_Power (dBm)	40	
Duplex Mode	TDD	
CA_Type	Inter Band CA	
CA Configuration	CA_2DL_1UL_n39_n41	
DL_UL_Ratio	1:1	
CA-1	0	
Numerology	5	
Bandwidth (MHz)		
CA-2		

Numerology	0
Bandwidth (MHz)	10
Channel Model	
Pathloss Model	3G99TR38.901-7.4.1
Outdoor Scenario	Rural Macro
LOS_NLOS_Selection	User Defined
LOS Probability	1
Shadow Fading Model	LOG_NORMAL
ShadowFading_Standard_Deviation	3G99TR38.901-7.4.1-1
Fading _and_Beamforming	NO_FADING
O2I Building Penetration Model	LOW_LOSS_MODEL

Table 4-36: gNB >Interface (5G\_RAN) >Physical layer properties

- 4. Set TX Antenna Count as 2 and RX Antenna Count as 1 in gNB properties.
- 5. Set TX\_Antenna\_Count as 1 and RX\_Antenna\_Count as 2 in gNB properties.
- 6. Set the CBR application between source id 10 and destination id 8 with Packet Size 1460 B and IAT 20000 µs and Transport Protocol is set to **UDP**.
- 7. Set application start time as 1 sec.
- 8. The log file can enable per the information provided in Section 3.18.
- 9. Plots are enabled in NetSim GUI.
- 10. Run simulation for 11 sec.

Go to metrics window expand Log Files option and open LTENR.log file.

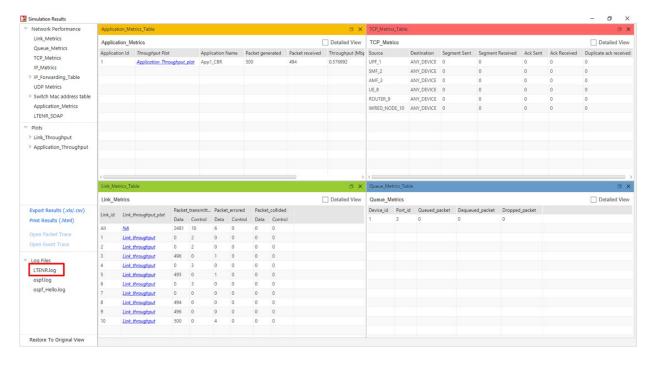


Figure 4-39: Results Window

Note down the Total Propagation Loss, Pathloss, Shadow Fading Loss, O2I Penetration Loss, Thermal Noise, and SNR values for downlink Layer1 ad Uplink Layer1.

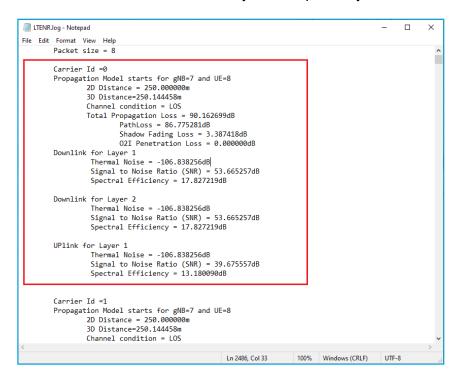


Figure 4-40: LTENR Log file

#### **4.7.2 Indoor:**

The following network diagram illustrates, what the NetSim UI displays when you open the example configuration file.

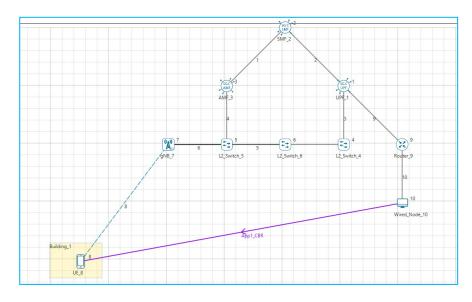


Figure 4-41: Network Topology

## Settings done in example config file:

- 1. Set grid length as 1000m from Environment setting.
- 2. Set the following property as shown in below **Table 4-37**.

General Properties		
	X Coordinate	Y Coordinate
<b>Building Properties</b>	50	100
gNB Properties	300	200
UE Properties	150	400

Table 4-37: Devices Positions

- 3. Go to the building properties, set Length\_X as 60m and Breadth\_Y as 90m.
- 4. Go to gNB properties → Interface (5G\_RAN), set the following properties as shown below Table 4-38.

Properties		
Physical Layer Properties		
gNB Height (m)	10	
Tx_Power (dBm)	40	
Duplex Mode	TDD	
CA_Type	Inter Band CA	
CA Configuration	CA_2DL_1UL_n39_n41	
DL_UL_Ratio	1:1	
CA-1 Numerology Bandwidth (MHz)	0 5	
CA-2 Numerology Bandwidth (MHz)	0 10	
Channel Model		
Pathloss Model	3G99TR38.901-7.4.1	
Outdoor Scenario	Rural Macro	
LOS_NLOS_Selection	User Defined	
LOS Probability	1	
Shadow Fading Model	LOG_NORMAL	
ShadowFading_Standard_Deviation	3G99TR38.901-7.4.1-1	
Fading _and_Beamforming	NO_FADING	
O2I Building Penetration Model	LOW_LOSS_MODEL	

Table 4-38: gNB >Interface (5G\_RAN) >Physical layer properties

- 5. Set TX\_Antenna\_Count as 1 and RX\_Antenna\_Count as 1 in gNB properties.
- 6. Set TX\_Antenna\_Count as 1 and RX\_Antenna\_Count as 1 in UE properties.

- 7. Set the CBR application between source id 10 and destination id 8 with Packet Size 1460 B and IAT 20000 µs and Transport Protocol is set to **UDP**.
- 8. Set application Start Time as 1 sec.
- 9. The log file can enable per the information provided in Section 3.18.
- 10. Plots are enabled in NetSim GUI.
- 11. Run simulation for 11 sec.

Note down the Total Propagation Loss, Pathloss, Shadow Fading Loss, O2I Penetration Loss, Thermal Noise, and Signal to Noise Ratio (SNR)

#### Result:

**Note:** The values of Carrier\_Id=0 present in the log file have been considered in the tables given below. (SNR values shown for downlink Layer1 ad Uplink Layer1).

	Outdoor	Indoor
Total Propagation Loss (dB)	90.16	108.38
PathLoss (dB)	86.77	86.77
Shadow Fading Loss (dB)	3.38	3.38
O2I Penetration Loss (dB)	0	18.22
Thermal Noise (dB)	-106.84	-106.84
Uplink Signal to Noise Ratio (SNR) (dB)	39.67	21.45
Downlink Signal to Noise Ratio (SNR) of Layer 1	53.66	35.44

Table 4-39: Outdoor and Indoor result comparisons

# 4.8 4G vs. 5G: Capacity analysis for video downloads

# Open NetSim, Select Examples ->5G NR -> 4G-vs-5G

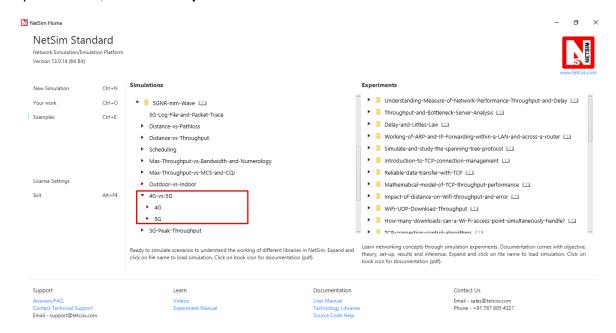


Figure 4-42: Featured Example list

#### 4.8.1 4G

Under 4G click on 20 Nodes Sample, the following network diagram illustrates, what the NetSim UI displays when you open the example configuration file.

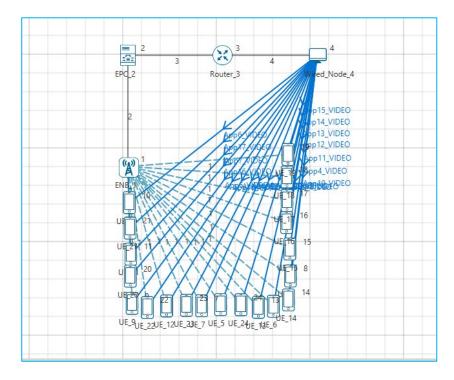


Figure 4-43: Network topology

#### Settings done in example config file:

- 1. Set grid length as 1000m from Environment setting.
- 2. Set the following property as shown in below given **Table 4-40**.

eNB Properties -> Interface (LTE)			
CA Type	Intra Band Non- Contiguous CA		
Frequency Range	FR1		
CA_Configuration	CA_4DL_42C_2UL_42C_BCS1		
DL_UL Ratio	1:1		
CA1, CA2, CA3, CA4			
Numerology	0		
Channel Bandwidth	20 MHz		
MCS Table	QAM64		
CQI Table	TABLE1		
Pathloss Model	None		

Table 4-40: eNB >Interface (LTE) >Physical layer properties

- 3. Frequency range FR1, Numerology = 0, Bandwidth = 20 MHz with QAM 64 MCS table represents a 4G configuration
- 4. Set Uplink speed and Downlink speed as 10000 Mbps and BER as 0 in all wired links.
- 5. Set Tx\_Antenna\_Count as 2 and Rx\_Antenna\_Count as 1 in eNB > Interface LTE > Physical Layer.
- 6. Set Tx\_Antenna\_Count as 1 and Rx\_Antenna\_Count as 2 in UE > Interface LTE > Physical Layer.
- 7. Set S20 applications Source id as 4 and Destination id as 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, and 24 and set the properties as shown below. This would generate 2.5 Mbps of traffic per user. Transport Protocol is set to **UDP** in all the application.

Application Properties		
Frame Per Sec	50	
Pixel Per Frame	50000	
Mu	1	
Start_Time	1s	

**Table 4-41:** Application properties

- 8. Plots are enabled in NetSim GUI.
- 9. Run simulation for 2 sec. After simulation completes go to metrics window and note down throughput and delay value from application metrics.

Increase number of UE's and number of applications as 40, 60, 80, and 100 and note down throughput and delay value from application metrics.

#### 4.8.2 **5G**

Under 5G click on 20 Nodes Sample, the following network diagram illustrates, what the NetSim UI displays when you open the example configuration file.

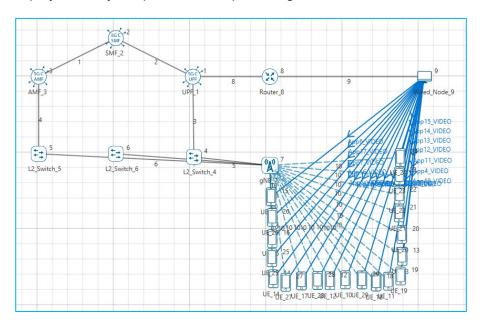


Figure 4-44: Network topology

# Settings done in example config file:

1. For the above 5G scenario set the following given properties Table 4-42.

gNB Properties -> Interface (5G_RAN)				
Pathloss Model		None		
DL_UL_Ratio		1:1		
Frequency Range		FR2		
CA_Configuration		CA_n261(2Q) _n261A		
	Numerology		Channel Bandwidth (MHz)	
CA1, CA2, CA3, CA4,				
CA5, CA6, CA7 and	3		100	
CA8				
MCS Table	QAM2		56	
CQI Table	TABLE		2	

Table 4-42: gNB >Interface (5G RAN) >Physical layer properties

The Tx\_Antenna\_Count was set to 2 and Rx\_Antenna\_Count was set to 1 in gNB >
 Interface 5G\_RAN > Physical Layer.

- 3. The Tx\_Antenna\_Count was set to 1 and Rx\_Antenna\_Count was set to 2 in UE > Interface 5G\_RAN > Physical Layer.
- 4. Frequency range FR2, Numerology = 3, Bandwidth = 100 MHz with QAM 256 MCS table represent a 5G configuration
- 5. The Uplink and Downlink speed was set to 10000 Mbps and BER were set to 0 in wired links.
- 6. Plots are enabled in NetSim GUI.
- 7. Run simulation for 2 sec. After simulation completes go to metrics window and note down throughput and delay value from application metrics.

Increase number of UE's and number of applications as 40, 60, 80, and 100 and note down throughput and delay value from application metrics.

Throughput Per User (Mbps) = 
$$\frac{Sum \ of \ throughputs \ (Mbps)}{Number \ of \ User}$$

$$Delay \ Per \ User \ (\mu s) = \frac{Sum \ of \ Delays \ (\mu s)}{Number \ of \ User}$$

#### Result:

	4G (Devices downloading video)		5G (Devices downloading video)			
Numb er of	of Inroughput (Mbps)		Delay (µs)	Throughput (Mbps)		Delay (μs)
Users	per user	Aggregate	Average Delay	per user	Aggregate	Average Delay
20	2.44	48.97	3239.26	2.46	49.27	370.70
40	2.43	97.58	5499.57	2.44	97.99	502.51
60	2.45	147.34	7820.54	2.45	147.22	633.95
80	2.45	196.08	10117.91	2.46	197.19	769.64
100	2.17	217.60	66050.97	2.46	246.18	898.21
120	1.81	217.80	138738.35	2.46	295.77	1032.37
140	1.55	217.81	190684.53	2.46	345.11	1162.26

**Table 4-43:** Aggregated and Average throughput and delay per user with different number of users for LTE 4G and 5G NR

For the given settings, the 4G network has a max download capacity available of about 217 Mbps. When this capacity is ready, as the number of users increases the throughput per user starts dropping in 4G. And the latency shoots up once this threshold is crossed. However, 5G can provide necessary bandwidth (has a capacity of 5+ Gbps) for each user to download at the full rate of 2.5 Mbps.

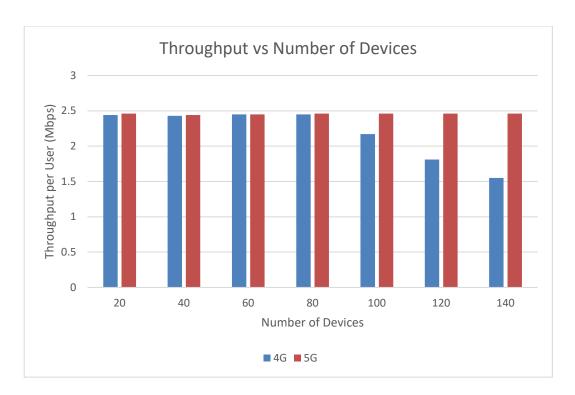


Figure 4-45: Plot of Throughput vs Number of Devices

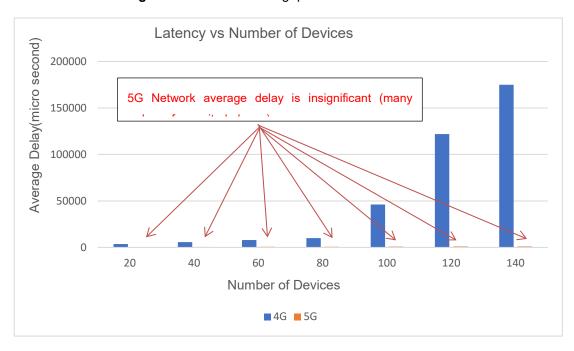


Figure 4-46: Plot of Latency vs Number of Devices

# 4.9 5G-Peak-Throughput

# Open NetSim, Select Examples ->5G NR -> 5G-Peak-Throughput

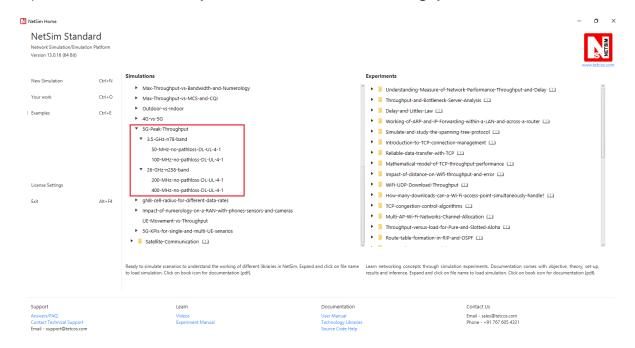


Figure 4-47: Featured Example list

#### 4.9.1 3.5 GHz n78 band

The following network diagram illustrates, what the NetSim UI displays on clicking.

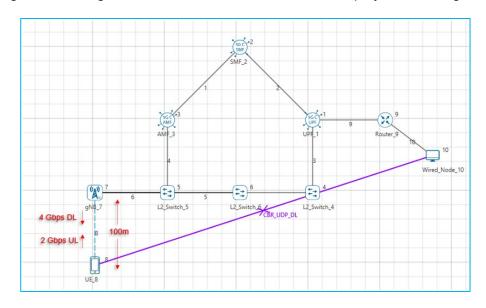


Figure 4-48: Network Topology in this experiment

# Settings done in example config file:

1. Set the following property as shown in below given Table 4-44.

gNB Properties -> Interface (5G_RAN)			
Pathloss Model	None		
Frequency Range	FR1		
CA_Type	SINGLE_BAND		
CA_Configuration	n78		
DL/UL Ratio	4:1		
CA1			
Numerology	2		
Channel Bandwidth	50 MHz		
MCS Table	QAM256		
CQI Table	TABLE2		

Table 4-44: gNB >Interface (5G\_RAN) >Physical layer properties

- The Tx\_Antenna\_Count was set to 8 and Rx\_Antenna\_Count was set to 4 in gNB >
   Interface 5G\_RAN > Physical Layer.
- 3. The Tx\_Antenna\_Count was set to 4 and Rx\_Antenna\_Count was set to 8 in UE > Interface 5G\_RAN > Physical Layer.
- 4. Wired link properties set Uplink speed and Downlink speed as 100000 Mbps.
- 5. Set 2 applications **Downlink** source node as 10, and destination node as 8, **Uplink** source node as 8, and destination node as 10. Transport Protocol is set to **UDP** in all the application.

Application Properties		
App_CBR_UDP_DL		
Start Time (s)	1	
Packet Size (Byte)	1460	
Inter Arrival Time (µs)	2.92	
App_CBR_UDP_UL		
Start Time (s)	1	
Packet Size (Byte)	1460	
Inter Arrival Time (µs)	5.84	

Table 4-45: Application properties

- 6. Plots are enabled in NetSim GUI.
- 7. Run simulation for 1.1 sec. After simulation completes go to metrics window and note down throughput value from application metrics.

Change channel bandwidth to 100 MHz for the sample 2, run simulation for 1.1 sec and note down throughput value from application metrics.

### Result:

Bandwidth (MHz)	Throughput (Mbps) CBR_UDP_UL	Throughput (Mbps) CBR_UDP_DL
DL/UL Ratio of 4:1, with 8 DL MIMO and 4 UL MIMO layers		
50	212.11	1602.49
100	445.94	3376.80

Table 4-46: Results Comparison

### 4.9.2 26 GHz n258 band

### Settings done in example config file:

1. Set the following property as shown in below Table 4-47.

gNB Properties -> Interface (5G_RAN)		
Pathloss Model	None	
Frequency Range	FR2	
CA_Type	SINGLE_BAND	
CA_Configuration	n258	
DL/UL Ratio	4:1	
CA1		
Numerology	3	
Channel Bandwidth	200 MHz	
MCS Table	QAM256	
CQI Table	TABLE2	

Table 4-47: gNB >Interface (5G\_RAN) >Physical layer properties

- 2. The Tx\_Antenna\_Count was set to 8 and Rx\_Antenna\_Count was set to 4 in gNB > Interface 5G\_RAN > Physical Layer.
- 3. The Tx\_Antenna\_Count was set to 4 and Rx\_Antenna\_Count was set to 8 in UE > Interface 5G RAN > Physical Layer.
- 4. Wired link properties set Uplink speed and Downlink speed as 100000 Mbps.
- 5. Set 2 applications **Downlink** source node as 10 destination node as 8, **Uplink** source node as 8 destination node as 10. Transport Protocol is set to **UDP** in all the application.

Application Properties	
App_CBR_UDP_DL	
Start Time (s)	1

Packet Size (Byte)	1460
Inter Arrival Time (µs)	1
App_CBR_UDP_UL	
Start Time (s)	1
Packet Size (Byte)	1460
Inter Arrival Time (µs)	4

Table 4-48: Application properties

- 6. Plots are enabled in NetSim GUI.
- 7. Run simulation for 1.1 sec. After simulation completes go to metrics window and note down throughput value from application metrics.

Change channel bandwidth to 400 MHz for the sample 2, run simulation for 1.1 sec and note down throughput value from application metrics.

### Result:

Bandwidth (MHz)	Throughput (Mbps) CBR_UDP_UL	Throughput (Mbps) CBR_UDP_DL
DL/UL Ratio of 4:1, with 8 DL MIMO and 4 UL MIMO layers		
200	854.16	6293.53
400	1715.56	10787.99

Table 4-49: Results Comparison

# 4.10 Urban gNB cell radius for different data rates

### Open NetSim, Select Examples->5G NR ->gNB-cell-radius-for-different-data-rates

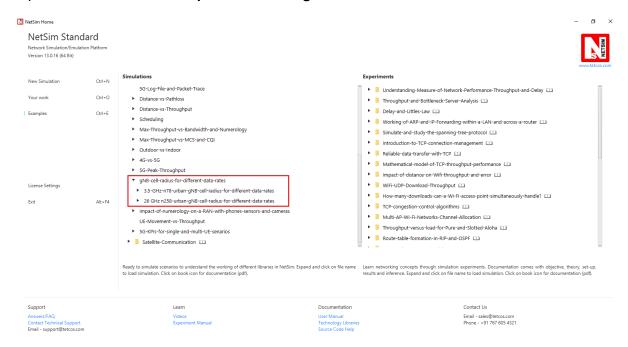


Figure 4-49: Featured Example list

# 4.10.1 3.5 GHz n78 urban gNB cell radius for different data rates

The following network diagram illustrates, what the NetSim UI displays on clicking.

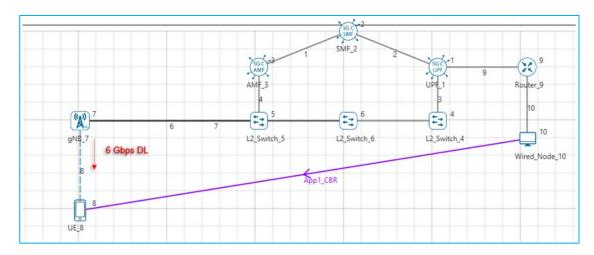


Figure 4-50: Network Topology in this experiment

# Setting done in example config file:

1. Set the following property as shown in below **Table 4-50**.

gNB Properties -> Interface (5G_RAN)	
gNB Height	10m
Tx Power	40
CA Type	Single Band
CA Configuration	n78
DL:UL	4:1
Numerology	2
Channel Bandwidth	50 MHz
MCS Table	QAM256
CQI Table	TABLE2
Outdoor Scenario	Urban Macro
Pathloss Model	3GPPTR38.901-7.4.1
LOS_NLOS Selection	3GPPTR38.901-Table7.4.2-1
Shadow Fading Model	None
Fading _and_Beamforming	NO_FADING
O2I Building Penetration Model	None

Table 4-50: gNB >Interface (5G\_RAN) >Physical layer properties

2. Set the Tx\_Antenna Count as 8 and Rx\_Antenna Count as 1 in gNB> Interface 5G\_RAN > Physical Layer.

- 3. Set the Tx\_Antenna Count as 1 and Rx\_Antenna Count as 8 in UE> Interface 5G\_RAN > Physical Layer.
- 4. Set Uplink speed and Downlink speed as 100000 Mbps and BER as 0.
- 5. Set the following application properties:

App_1_CBR	
Source Id	10
Destination Id	8
Packet Size	1460
IAT	1.94 µs
Start time	1s
Transport Protocol	UDP
Generation Rate	6 Gbps

Table 4-51: Application properties

- 6. Plots are enabled in NetSim GUI.
- 7. Run simulation for 1.1 sec. After simulation completes go to metrics window and note down throughput value from application metrics.

Change distance between gNB and UE to 100m, 130m, 150m, 170m, 190m, 200m, 300m, 330m, and 350m for sample 1 to 9 and run simulation for 1.1 sec.

### Result:

Cell Radius (m)	Data Rate (Mbps). Downlink	
≈1500 Mbps Downlink		
100	1602.49	
130	1359.32	
150	1226.75	
≈1000 Mbps Downlink		
170	1116.14	
190	972.48	
200	839.91	
≈500 Mbps Downlink		
300	508.31	
330	419.90	
350	309.40	

Table 4-52: Results Comparison

# 4.10.2 26 GHz n258 urban gNB cell radius for different data rates

# Setting done in example config file:

1. Set the following property as shown in below given table:

gNB Properties -> Interface (5G_RAN)	
gNB Height	10m
Tx Power	40
MCS Table	QAM256
CQI Table	TABLE2
CA Type	Single Band
CA Configuration	N258
DL:UL	4:1
Numerology	2
Channel Bandwidth	200 MHz
Outdoor Scenario	Urban Macro
Pathloss Model	3GPPTR38.901-7.4.1
LOS_NLOS Selection	3GPPTR38.901-Table7.4.2-1
Shadow Fading Model	None
Fading _and_Beamforming	NO_FADING
O2I Building Penetration Model	None

**Table 4-53:** gNB >Interface (5G\_RAN) >Physical layer properties

- 2. Set the Tx\_Antenna Count as 8 and Rx\_Antenna Count as 1 in gNB> Interface 5G RAN > Physical Layer.
- 3. Set the Tx\_Antenna Count as 1 and Rx\_Antenna Count as 8 in UE> Interface 5G\_RAN > Physical Layer.
- 4. Set Uplink speed and Downlink speed as 100000 Mbps and BER as 0.
- 5. Set the following application properties:

App_1_CBR	
Source Id	10
Destination Id	8
Packet Size	1460
IAT	1.94 µs
Start time	1s
Transport Protocol	UDP

Generation Rate	6 Gbps

Table 4-54: Application properties

- 6. Plots are enabled in NetSim GUI.
- 7. Run simulation for 1.1 sec. After simulation completes go to metrics window and note down throughput value from application metrics.

Change distance between gNB and UE to 20m, 110m, and 150m for sample 1 to 3 and run simulation for 1.1 sec.

#### Result:

Cell Radius (m)	Data Rate (Mbps). Downlink
≈6000 Mbps Downlink	
20	6004.34
≈1000 Mbps Downlink	
110	737.82
≈ 500 Mbps Downlink	
150	303.79

Table 4-55: Results Comparison

# 4.11 Impact of numerology on a RAN with phones, sensors, and cameras

Open NetSim, Select Examples ->5G NR -> Impact-of-numerology-on-a-RAN-with-phones-sensors-and-cameras as shown below Figure 4-51.

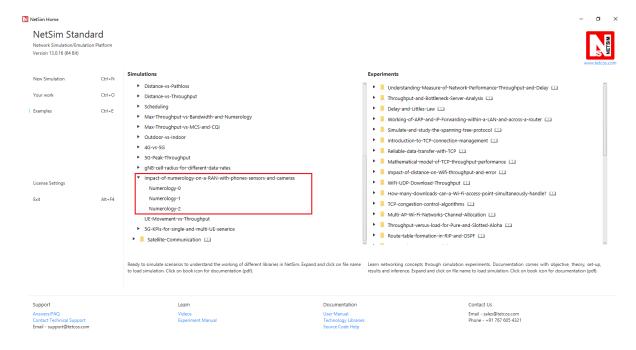
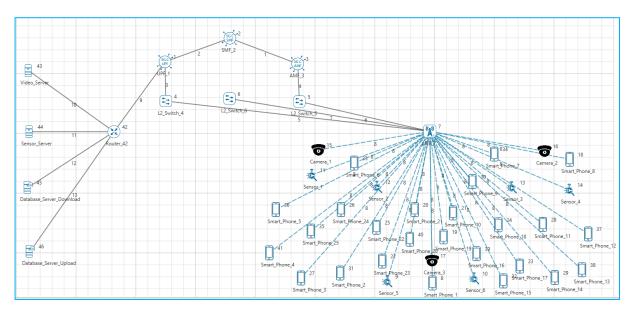


Figure 4-51: Featured Example list

**Network Scenario:** To model a real-world scenario, we base our simulation on the setup shown in **Figure 4-52.** The link between the gNB and the L2\_Switches that represents the Core Network (CN) is made with a point-to-point 10 Gb/s link, without propagation delay. The Radio Area Network (RAN) is served by 1 gNB, in which different UEs share the connectivity. We have 25 smartphones, 6 sensors, 3 IP cameras. The bandwidth is 100MHz and Round Robin MAC Scheduler. The position of the devices in the reference scenario depicted in **Figure 4-52** is quasi-random.



**Figure 4-52:** Network scenario with 25 smartphones, 6 sensors and 3 cameras communicating with respective cloud servers

In terms of application data traffic, the camera (video) and sensor nodes have one UDP flow each, that goes in the UL towards a remote node on the Internet. These flows are fixed-rate flows: we have a continuous transmission of 5 Mb/s for the video nodes, to simulate a 720p24 HD video, and the sensors transmit a payload of 500 bytes each 2.5 ms, that gives a rate of 1.6 Mb/s. For the smartphones, we use TCP as the transmission protocol. These connect to data base servers. Each phone has to download a 25 MB file and to upload one file of 1.5 MB. These flows start at different times: the upload starts at a random time between the 25<sup>th</sup> and the 75<sup>th</sup> simulation seconds, while each download starts at a random time between the 1.5<sup>th</sup> and the 95<sup>th</sup> simulation seconds.

	Flows (No of devices)	Traffic Rate (Mbps)	Segment / File Size (B)	RAN Dir.	TCP ACK Dir.
Camera (UDP)	3	5	500	UL	-
Sensor (UDP)	6	1.6	500	UL	-
Smartphone Upload (TCP)	25	-	1,500,000	UL	DL

Smartphone	25	-	25,000,000	DL	UL
Download (TCP)					

Table 4-56: Various parameters of the Traffic flow models for all the devices

The numerology  $\mu$  can take values from 0 to 3 and specifies an SCS of  $15 \times 2^{\mu}$  kHz and a slot length of  $\frac{1}{2^{\mu}}$  ms. FR1 support  $\mu=0,1$  and 2, while FR2 supports  $\mu=2,3$ . We study the impact of different numerologies, and how they affect the end-to-end performance. The metrics measured and analysed are a) Throughput of TCP uploads & downloads, and b) Latency of the UDP uploads

### Settings done in example config file:

1. For the above scenario set the following given properties:

gNB Properties -> Interface (5G_RAN)				
Pathloss Model	None			
Frequency Range	FR1			
CA Type	Inter Band CA			
CA_Configuration	CA_2DL_2UL_n40_n41			
CA1				
Numerology	0, 1, and 2			
Channel Bandwidth	50 MHz			
DL_UL Ratio	1:4			
CA2				
Numerology	0, 1, and 2			
Channel Bandwidth	50 MHz			
DL_UL Ratio	1:4			
MCS Table	QAM64			
CQI Table	TABLE1			

Table 4-57: gNB >Interface (5G\_RAN) >Physical layer properties

Link Properties (All wired links)				
Uplink/ Downlink Speed (Mbps)	10000			
Uplink/ Downlink BER	0			
Uplink/ Downlink Propagation Delay (μs)	5			

Table 4-58: Wired Link Properties

2. The following Application properties set to the above scenario:

Sensor UL UDP	
Generation Rate (Mbps)	1.6

Transport Protocol	UDP
Application Type	Custom
Packet Size (Bytes)	500
Inter Arrival Time (µs)	2500

Table 4-59: Sensor Application Properties for UL UDP

Camera UL UDP	
Generation Rate (Mbps)	5
Transport Protocol	UDP
Application Type	Custom
Packet Size (Bytes)	500
Inter Arrival Time (µs)	800

Table 4-60: Camera Application Properties for UL UDP

Phone DL TCP	
Transport Protocol	TCP
Start Time (s)	1.5 + 4( $t$ ), Where, $i = 0, 1, 2, \dots, 48$
Stop Time (s)	95
File Size (Bytes)	25,000,000
Inter Arrival Time (s)	200 (Simulation ends at 100s and hence only one file is sent)
Application Type	FTP

Table 4-61: Phone Application Properties for DL TCP

Phone UL TCP	
Application Type	FTP
Transport Protocol	TCP
Start Time (s)	25 + 2(i - 1)
	Where, $i = 1, 2,, 25$
Stop Time (s)	95
File Size (Bytes)	1,500,000
Inter Arrival Time (s)	200 (Simulation ends at 100s and hence
	only one file is sent)

Table 4-62: Phone Application Properties for UL TCP

- 3. The Tx\_Antenna\_Count was set to 2 and Rx\_Antenna\_Count was set to 4 in gNB > Interface 5G\_RAN > Physical Layer.
- 4. The Tx\_Antenna\_Count was set to 4 and Rx\_Antenna\_Count was set to 2 in UE > Interface 5G RAN > Physical Layer.
- 5. Run simulation for 100 sec. After simulation completes go to metrics window and note down throughput and delay value from application metrics.

# Result and Analysis:

Numerology(μ) = 0						
Camera		Sensor		Smartphone		
Uplir	ık	Uplink		Uplink	Downlink	
Throughput	Delay	Throughput	Delay	Throughput	Throughput	
(Mbps)	(µs)	(Mbps)	(µs)	(Mbps)	(Mbps)	
4.99	1841.54	1.6	2288.68	86.92	101.68	
4.99	1838.88	1.6	2290.10	86.92	101.68	
4.99	1836.21	1.6	2283.00	86.92	101.68	
		1.6	2284.42	86.92	101.68	
		1.6	2285.84	86.92	101.68	
		1.6	2287.26	86.92	101.68	
				86.92	101.68	
				86.92	101.68	
				86.92	101.68	
				86.92	101.68	
				86.92	101.68	
				86.92	101.68	
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				86.92	101.68	
				86.92	101.68	
				86.92	101.68	
				86.92	101.68	

**Table 4-63:** Throughput and delay for Camera, Sensors and Smartphones, When  $\mu=0$ 

Numerology(μ) = 1						
Camera		Sens	or	Smartphone		
Uplin	nk	Uplir	Uplink		Downlink	
Throughput	Delay	Throughput	Delay	Throughput	Throughput	
(Mbps)	(µs)	(Mbps)	(µs)	(Mbps)	(Mbps)	
4.99	932.39	1.60	1538.35	173.78	156.43	
4.99	930.75	1.60	1539.77	173.78	156.43	
4.99	929.10	1.60	1532.68	173.78	156.43	
		1.60	1534.10	173.78	156.43	
		1.60	1535.52	173.78	156.43	
		1.60	1536.94	173.78	156.43	
				173.78	156.43	
				173.78	156.43	
				173.78	156.43	
				173.78	156.43	
				173.78	156.43	
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				173.78	156.43	
				173.78	156.43	
				173.78	156.43	
				173.78	156.43	

**Table 4-64:** Throughput and delay for Camera, Sensors and Smartphones, When  $\mu=1$ 

Numerology(μ) = 2						
Camera		Sens	or	Smartphone		
Upli	nk	Uplink		Uplink	Downlink	
Throughput	Delay	Throughput	Delay	Throughput	Throughput	
(Mbps)	(µs)	(Mbps)	(µs)	(Mbps)	(Mbps)	
5.00	477.71	1.60	782.24	347.30	151.75	
5.00	476.29	1.60	783.66	347.30	151.75	
5.00	474.87	1.60	776.56	347.30	151.75	
		1.60	777.98	347.30	151.75	
		1.60	779.40	347.30	151.75	
		1.60	780.82	347.30	151.75	
				347.30	151.75	
				347.30	151.75	
				347.30	151.75	
				347.30	151.75	
				347.30	151.75	
				347.30	151.75	
				347.30	151.75	
				347.30	151.75	
				347.30	151.75	
				347.30	151.75	
				347.30	151.75	
				347.30	151.75	
				347.30	151.75	
				347.30	151.75	
				347.30	151.75	
				347.30	151.75	
				347.30	151.75	
				347.30	151.75	
				347.30	151.75	

**Table 4-65:** Throughput and delay for Camera, Sensors and Smartphones, When  $\mu=2$ 

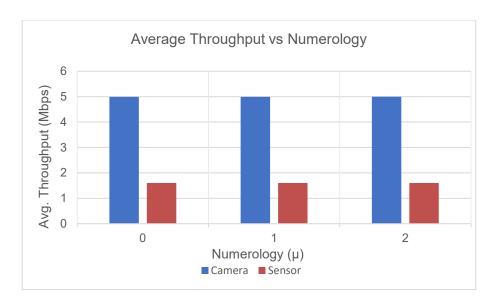


Figure 4-53: Camera Uplink, and Sensor Uplink average throughput vs. Numerology (µ)

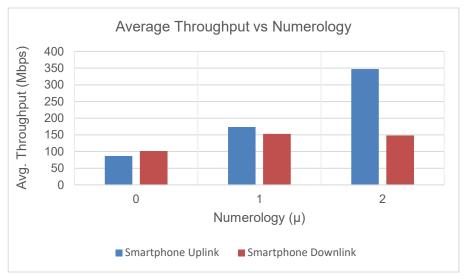


Figure 4-54: Smartphone DL and UL average throughput vs. Numerology (µ)

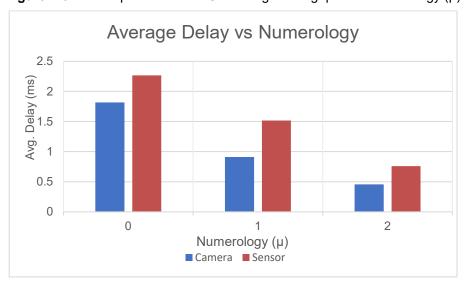


Figure 4-55: Camera Uplink, and Sensor Uplink Latency vs. Numerology

For UDP applications the  $\mu$  does not impact the throughput. However, higher  $\mu$  leads to an obviously lower delay. The variation of delay vs.  $\mu$  is as follows:

	Avg Delay (Camera)	Avg Delay (Sensor)
$\mu = 0$	1.838 ms	2.286 ms
$\mu = 1$	0.930 ms	1.536 ms
$\mu = 2$	0.476 ms	0.780 ms

**Table 4-66:** Variation of delay vs.  $\mu$  for Camera and Sensors

The TCP throughput is inversely proportional to round trip time. Therefore, for applications running over TCP the throughput increases with higher numerology. This is because higher  $\mu$  leads to reduced round-trip (end-to-end) times.

### References

 Natale Patriciello, Sandra Lagen, Lorenza Giupponi, Biljana Bojovic."5G New Radio Numerologies and their Impact on the End-To-End Latency" in 2018 IEEE 23rd International Workshop on Computer Aided Modeling and Design of Communication Links and Networks (CAMAD)

# 4.12 Impact of UE movement on Throughput

Open NetSim, Select Examples ->5G NR -> UE-Movement-vs-Throughput as shown below Figure 4-56.

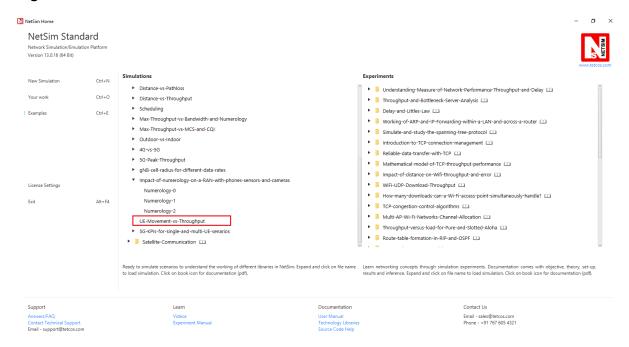


Figure 4-56: Featured Example list

NetSim UI displays the configuration file corresponding to this experiment as shown below **Figure 4-57.** 

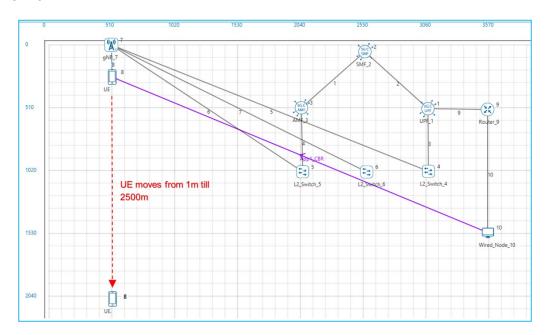


Figure 4-57: Network Topology in this experiment

## Settings done in example config file:

The following set of procedures were done to generate this sample:

- **Step 1:** A network scenario is designed in NetSim GUI comprising of 1 gNB, 5G-Core, and 1 UE and 1 Wired Node in the "**5G NR**" Network Library.
- Step 2: Grid Length was set to 5100 m x 5100 m.
- Step 3: The device positions are set as per the table given below Table 4-67.

Device	UE_8	gNB_7	Wired_Node_10
x- axis	500	500	3500
y- axis	1	0	1020

Table 4-67: Device general properties

Step 4: The following properties were set in Interface (5G\_RAN) of gNB

Parameter	Value
Tx_Power	40
gNB Height	10m
CA Type	Single Band
CA Configuration	n78
DL-UL Ratio	4:1
Numerology	0

Channel Bandwidth	10 MHz
MCS Table	QAM64LOWSE
CQI Table	TABLE3
Propagation Model	Urban Macro
Pathloss Model	3GPPTR38.901-7.4.1
LOS_NLOS_Selection	User Defined
LOS Probability	0
Shadow Fading Model	None
Fading _and_Beamforming	NO_FADING
O2I Building Penetration Model	Low Loss Model

Table 4-68: gNB >Interface (5G\_RAN) >Physical layer properties

**Step 5:** Set Tx\_Antenna\_Count and Rx\_Antenna\_Count as 2 and 1 in gNB properties > Interface(5G\_RAN) > Physical Layer.

**Step 6:** Set Tx\_Antenna\_Count and Rx\_Antenna\_Count as 1 and 2 in UE properties > Interface(5G\_RAN) > Physical Layer.

Step 7: In the General Properties of UE 8, set Mobility Model as File Based Mobility

**Step 8:** A CBR Application was generated from Wired Node 10 i.e. Source to UE 8 i.e. Destination with Packet Size remaining 1460Bytes and Inter Arrival Time remaining 1168µs.

Step 9: The Transport Protocol was set to UDP.

**Step 10:** Additionally, the "Start Time(s)" parameter is set to 1s, while configuring the application.

### File Based Mobility:

In File Based Mobility, users can write their own custom mobility models and define the movement of the mobile users. Create a mobility.txt file for UE's involved in mobility with each step equal to 4 sec with distance 100 m.

The NetSim Mobility File (mobility.txt) format is as follows:

\$time 0.0 "\$node\_(7) 500.0 1.0 0.0"

\$time 4.0 "\$node\_(7) 500.0 101.0 0.0"

\$time 8.0 "\$node\_(7) 500.0 201.0 0.0"

\$time 12.0 "\$node\_(7) 500.0 301.0 0.0"

\$time 16.0 "\$node (7) 500.0 401.0 0.0"

\$time 20.0 "\$node\_(7) 500.0 501.0 0.0"

\$time 24.0 "\$node\_(7) 500.0 601.0 0.0"

\$time 28.0 "\$node\_(7) 500.0 701.0 0.0"

```
$time 32.0 "$node_(7) 500.0 801.0 0.0"
$time 36.0 "$node_(7) 500.0 901.0 0.0"
$time 40.0 "$node_(7) 500.0 1001.0 0.0"
$time 44.0 "$node_(7) 500.0 1101.0 0.0"
$time 48.0 "$node_(7) 500.0 1201.0 0.0"
$time 52.0 "$node_(7) 500.0 1301.0 0.0"
$time 56.0 "$node_(7) 500.0 1401.0 0.0"
$time 60.0 "$node_(7) 500.0 1501.0 0.0"
$time 64.0 "$node_(7) 500.0 1601.0 0.0"
$time 68.0 "$node (7) 500.0 1701.0 0.0"
$time 72.0 "$node_(7) 500.0 1801.0 0.0"
$time 76.0 "$node_(7) 500.0 1901.0 0.0"
$time 80.0 "$node_(7) 500.0 2001.0 0.0"
$time 84.0 "$node_(7) 500.0 2101.0 0.0"
$time 88.0 "$node_(7) 500.0 2201.0 0.0"
$time 92.0 "$node_(7) 500.0 2301.0 0.0"
$time 96.0 "$node_(7) 500.0 2401.0 0.0"
$time 100.0 "$node_(7) 500.0 2501.0 0.0"
Step 11: Plots is enabled in NetSim GUI.
```

Step 12: Run simulation for 100s.

### Results:

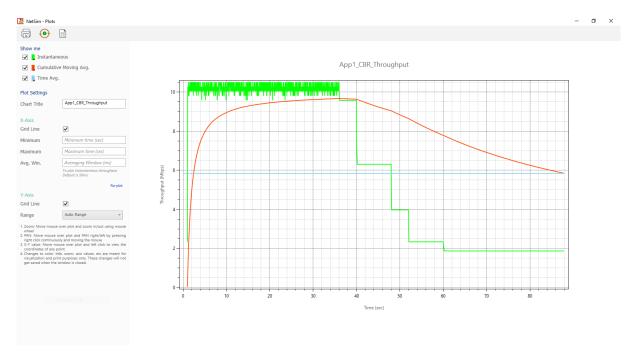


Figure 4-58 Throughput (Mbps) vs Time Plot (sec)

### Discussion:

As the UE moves away from the gNB, the Application throughput starts reducing. The maximum throughput of 10 Mbps is obtained until 36 seconds. At 36s the UE is 900m away from the gNB. Then the throughput drops to 9.6 Mbps till 40 seconds and at time 48 seconds (when UE is 1200m away from gNB), the throughput drops to 6.3 Mbps and at time 52 seconds (when UE is 1300m away from gNB), the throughput drops to 3.97 Mbps and subsequently keeps dropping as till the end of the simulation as the UE continues to move further away from the gNB.

# 5 Omitted Features

- The omitted features in the PHY layer are mentioned in 3.9.2.
- Wireshark packet capture for 5G MAC
- Broadcast and multicast transmissions
- Implementation of ROHC (<u>rfc 5795</u>) for header compression and decompression of IP data flow
- Application
  - o Different resource type and priority levels for applications
- Dual connectivity between LTE and 5G
- RRC
  - Modification and release of RRC connection
- PDCP
  - o ciphering and deciphering
  - integrity protection
  - o for split bearers, routing
- MAC
  - HARQ
  - o Random access procedure
  - o PCH
  - o BCH
  - o DRx
  - S-cells
  - BWP operation
  - SUL operation
  - Beam failure detection
  - MAC CE
  - o RNTI
  - MAC header
- Miscellaneous
  - UE Out of Range
  - o In-sequence delivery of upper layer PDUs at re-establishment of lower layers
  - Duplicate elimination of lower layer SDUs at re-establishment of lower layers for radio bearers mapped on RLC AM.
  - o Timer based discard.
  - Duplicate discarding.

# **6 Reference Documents**

- 1. Andrea Goldsmith, Syed Ali Jafar, Nihar Jindal, Sriram Vishwanath. n.d. 20 11 2020. <a href="https://web.stanford.edu/class/archive/ee/ee359/ee359.1062/cup">https://web.stanford.edu/class/archive/ee/ee359/ee359.1062/cup</a> mimo.pdf>.
- 2. Telatar, Emre. *Capacity of Multi-antenna Gaussian Channels*. n.d. 08 11 2020. <a href="http://web.mit.edu/18.325/www/telatar\_capacity.pdf">http://web.mit.edu/18.325/www/telatar\_capacity.pdf</a>>.
- 3. 3G PPP Standards (Rel 15)
  - a. 37.324
  - b. 38.300
  - c. 38.321
  - d. 38.322
  - e. 38.323
  - f. 23.501
  - g. 38.901 V15.0.0 (2018-06)
- Marco Mezzavilla, Menglei Zhang, Michele Polese, Russell Ford, Sourjya Dutta, Sundeep Rangan, and Michele Zorzi (2018). End-to-End Simulation of 5G mmWave Networks. In IEEE Communication Surveys & Tutorials, Vol 20, No. 3, Third Quarter 2018